

Vulnerability in Southeast Asia

Household Survey Vietnam / Thailand

2007

Version 1.4

Introductory statement

We are German university researchers working together with researchers from Thailand and Vietnam to study the situation of people in the rural areas. We are especially interested in the role of risk in the livelihood of rural people. To achieve the objective of our research we kindly ask for your cooperation.

We assure you that all information you give during the interview is kept strictly confidential. Data will be used for scientific purposes only and will not be given to any outside person. As a sign of our great appreciation that you take your time for our interview please accept this small gift from us.

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Section 1: Survey Information

1 Province I.D.:	<input type="text"/>	00-99	7 Date of Interview (dd/mm/yy)	<input type="text"/>	<input type="text"/>	<input type="text"/>
2 District I.D.:	<input type="text"/>	00-99	8 Time started (hh:mm)	<input type="text"/>		
3 Sub-district I.D.:	<input type="text"/>	00-99	9 Time finished interview (hh:mm)	<input type="text"/>		
4 Village I.D.:	<input type="text"/>	00-99				
5 Household I.D.:	<input type="text"/>	01-20				
6 Address (or description) of household:	<input type="text"/>					

10 Name of Household Head (I.D. Code: 01)	<input type="text"/>	11 I.D. Code	<input type="text" value="01"/>
12 Name of Respondent	<input type="text"/>	13 I.D. Code	<input type="text"/> <i>insert I.D. for person from section 2.1</i>
14 Name of Interviewer:	<input type="text"/>	15 Code	<input type="text"/>
16 Name of supervisor:	<input type="text"/>	17 Code	<input type="text"/>

18 Data entered	Name	<input type="text"/>	19 Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
20 Data revised	Name	<input type="text"/>	21 Date	<input type="text"/>	<input type="text"/>	<input type="text"/>

22 Notes: _____

Code A

- 1 Head
- 2 Wife/Husband
- 3 Son/Daughter (incl. adopted)
- 4 Son/daughter in law
- 5 Father/Mother
- 6 Father/Mother in law
- 7 Sister/Brother
- 8 grandchild
- 9 nephew/niece
- 10 cousin
- 11 other relatives
- 12 non-relative
- 97 don't know
- 98 no answer

Code B

- 1 unmarried
- 2 married
- 3 widow
- 4 divorced/separated
- 97 don't know
- 98 no answer
- 99 not applicable

Code C

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 97 don't know
- 98 no answer
- 99 not applicable

Code D

- 1 Founded Household
- 2 Marriage
- 3 Born in the household
- 4 Job opportunity
- 5 Job Search
- 6 Schooling
- 7 Followed the Family
- 8 Came to be looked after (ill or old)
- 9 Came to help the household
- 10 Came to live with the household because of economic distress
- 11 Monk
- 90 Other
- 97 don't know
- 98 no answer
- 99 not applicable

Code E

- 01 kinh
- 02 tay
- 03 thai
- 04 Chinese origin(Han)
- 05 khmer
- 08 Hmong (Meos)
- 17 Cham (Cham)
- 23 bru - Van Kieu/blu
- 37 lao
- 42 lu
- 55 Kula
- 56 Phu Thai
- 57 Suai
- 58 foreigner
- 90 other, specify
- 97 don't know
- 98 no answer
- 99 not applicable

Code F

- 1 Buddhist
- 2 hoa hao Buddhist sect
- 3 Muslim
- 4 Christian
- 5 Caodaist
- 6 Animist
- 7 atheist
- 90 other, specify
- 97 don't know
- 98 no answer

Code G

- 1 yes
- 2 no
- 97 don't know
- 98 no answer

2.1: Household Members

Please list all persons belonging to your household. Start with the household head.

1	2	3	4	5	6	7	8	9	10	11	12	13
I.D. code	Name/Nickname	Gender 1=male, 2=female	Age >1year put 0 for < 1 year	Relation to household head A	Marital status <i>ask only for members > 13 years of age</i> B	Place of birth C	For how long has ... been living with the household? (years) D	Reason for joining <i>if born in household go to Q11</i> D	Previous location C	Ethnic group E	Religion F	Is ... member of a socio-political organization (e.g. party, VWU, Veterans' Union)? G
01												
02												
03												
04												
05												
06												
07												
08												
09												
10												
11												
12												
13												
14												
15												

Code H

- 1 Engaged in own agriculture
- 2 Engaged in fishing, hunting or collecting
- 3 Non-farm owned business
- 4 Casual off-farm labour in agriculture
- 5 Casual labour in non-agriculture
- 6 Permanently employed in agriculture
- 7 Permanently employed in non-agriculture
- 8 Government official
- 9 Housewife
- 10 Student/Pupil
- 11 Child below school age
- 12 Unemployed

- 13 Performing only occasional and light work
- 14 Monk
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code C

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 97 don't know
- 98 no answer

Code I

- 1 Died
- 2 Established own household
- 3 Marriage
- 4 Job opportunity
- 5 Job Search
- 6 Schooling or studying
- 7 Followed the Family
- 8 went to help another household
- 9 went to live with another household
because of economic distress
- 10 went to be looked after (ill or old)
- 11 Became a Monk
Other, specify
- 90
- 97 Don't know
- 98 no answer
- 99 not applicable

Code G

- 1 yes
- 2 no
- 97 Don't know
- 98 no answer
- 99 not applicable

2.1: Household Members

...

1	2	14	15	16	17	18	19	20	21
I.D. code	Name/Nickname	Main occupation between 5/06 and 4/07 according to time spent	Second occupation between 5/06 and 4/07 according to time spent	How many days did ... stay in the household during the past 12 months?	Did ... permanently leave the house?	Primary reason for leaving or being temporarily not in this house	Where did ... go?	Money received from the absent household member between 5/06 and 4/07	Money sent to the absent household member between 5/06 and 4/07
		H	H	(Day)	G	I	C	(currency/year)	(currency/ year)
01									
02									
03									
04									
05									
06									
07									
08									
09									
10									
11									
12									
13									
14									
15									

Code A

- 1 yes
- 2 no
- 97 don't know
- 98 no answer
- 99 not applicable

Code B

- 1 P. 1
- 2 P. 2
- 3 P. 3
- 4 P. 4
- 5 P. 5
- 6 P. 6
- 7 P.7
- 8 M or MS 1
- 9 M or MS 2
- 10 M or MS 3
- 11 M or MS 4
- 12 M or MS 5
- 13 M or MS 6
- 14 MS 7
- 15 MS 8
- 16 PWC 1
- 17 PWC 2
- 18 PWC 3
- 19 PWS 1
- 20 PWS 2
- 21 PWT 1
- 22 PWT 2
- 23 Univ. 1
- 24 Univ. 2
- 25 Univ. 3
- 26 Univ. 4
- 27 Univ. 5
- 28 Univ. 6
- 97 don't know
- 98 no answer
- 99 not applicable

Code C

- 1 had to work with family business
- 2 migrated
- 3 cannot afford to go to school
- 4 ill
- 5 finished school
- 7 drawn into army
- 90 other
- 97 don't know
- 98 no answer
- 99 not applicable

Code D

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 97 don't know
- 98 no answer
- 99 not applicable

Section 2.2: Education

In this section, we would like to know the educational attainment of all household members

Fill in for all household members (use I.D. codes from section 2.1)

1	2	3	4	5	6	7	8	9	10	11	12	13
I.D. code	Name/Nickname	Can ... read and write? A	Is ... currently enrolled in school? <i>if no go to Q6</i> A	What grade is ... currently enrolled in? <i>go to Question 11</i> B	Has ...ever been to school? <i>if no go to section 2.3</i> A	What was ...'s highest educational attainment? B	How old was ... when he/she left school? <i>97=don't know, 98=no answer</i>	Why did ... leave school? C	Where did ... obtain her/his highest educational degree? D	How old was ... when he/she started school? <i>97=don't know, 98=no answer</i>	Was ... ever absent for a whole school year? A	Why was .. absent? C
01												
02												
03												
04												
05												
06												
07												
08												
09												
10												
11												
12												
13												
14												
15												

Code A

- 1 healthy
- 2 can manage
- 3 sick
- 97 don't know
- 98 no answer
- 99 not applicable

Code B

- 1 worse
- 2 same
- 3 better
- 97 don't know
- 98 no answer

Code C

- 1 yes
- 2 no
- 97 don't know
- 98 no answer
- 99 not applicable

Code D

- 1 Pneumonia
- 2 Cataract and other disorders of lens
- 3 Ischaemic heart diseases
- 4 Diarrhoea and gastroenteritis of presumed infecti
- 5 Cervical Cancer/ Cancer of Cervix
- 6 Diseases of appendix
- 7 Malignant neoplasm of lip, oral cavity and pharynx
- 8 Malignant neoplasm of breast
- 9 lung cancer
- 10 breast cancer
- 11 leukemia
- 12 Cerebral infarction
- 13 Diphtheria
- 14 Pertussis
- 15 Tetanus
- 16 Poliomyelitis
- 17 Measles
- 18 Rubella
- 19 Mumps
- 20 Encephalitis
- 21 Hepatitis B
- 22 Tuberculosis
- 23 Epilepsy
- 24 AIDS (Acquired Immune Deficiency Syndrome)
- 25 Acute diarrhea
- 26 Dengue Hemorrhagic Fever
- 27 Influenza
- 28 Diabetes mellitus
- 29 Hypertension
- 30 coronary heart disease
- 31 Valvular heart disease
- 32 Fractures of other limb bones
- 33 Other accident-related injuries

- 90 Other, specify
- 97 don't know
- 98 no answer
- 99 not applicable

Code E

- 0 did nothing
- 1 went to a government hospital
- 2 went to a commune health center
- 3 went to a pharmacy
- 4 went to a doctor
- 5 went to health worker
- 6 went to traditional healer
- 97 don't know
- 98 no answer

Code F

- 1 not necessary
- 2 no facility available
- 3 facility too expensive
- 4 transport to facility too expensive
- 5 low quality of facility
- 6 could not spare the time
- 7 other
- 97 don't know
- 98 no answer

Section 2.3: Health

Fill in for ALL household members

1	2	3	4	5	6	7	8	9	10	11	12	13	14
I.D. code	Name or nickname	How healthy is ... ?	Does ... feel healthier than last year?	Does ... feel healthier than 5 years ago?	weight	height	Is ... suffering from any serious disease or injury?	If ... suffered from any serious disease, symptoms or injury between 5/06 and 4/07, pls. specify. <i>If no go to section 2.4</i>			For how many weeks was ... unable to pursue his/her main occupation?	What did ... do?	If ... did nothing why not?
		A	B	B	(kg)	(cm)	C	1	Code D 2	3	0 = occupation not affected	E	F
01													
02													
03													
04													
05													
06													
07													
08													
09													
10													
11													
12													
13													
14													
15													

Code A

- 1 Head
- 2 Wife/Husband
- 3 Son/Daughter (incl. adopted)
- 4 Son/daughter in law
- 5 Father/Mother
- 6 Father/Mother in law
- 7 Sister/Brother
- 8 grandchild
- 9 nephew/niece
- 10 cousin
- 11 other relatives
- 12 non-relative
- 97 don't know
- 98 no answer

Code B

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 97 don't know
- 98 no answer

Code C

- 1 unmarried
- 2 married
- 3 widow
- 4 divorced/separated
- 97 don't know
- 98 no answer
- 99 not applicable

Code D

- 1 Engaged in own agriculture
- 2 Engaged in fishing, hunting or collecting
- 3 Non-farm owned business
- 4 Casual off-farm labour in agriculture
- 5 Casual labour in non-agriculture
- 6 Permanently employed in agriculture
- 7 Permanently employed in non-agriculture
- 8 Government official
- 9 Housewife
- 10 Student/Pupil
- 11 Child below school age
- 12 Unemployed
- 13 Performing only occasional and light work
- 14 Monk
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code E

- 1 Died
- 2 Established own household
- 3 Marriage
- 4 Job opportunity
- 5 Job Search
- 6 Schooling or studying
- 7 Followed the Family
- 8 went to help another household
- 9 went to live with another household because of
- 10 went to be looked after (ill or old)
- 11 Became a Monk
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code A

- 1 Head
- 2 Wife/Husband
- 3 Son/Daughter (incl. adopted)
- 4 Son/daughter in law
- 5 Father/Mother
- 6 Father/Mother in law
- 7 Sister/Brother
- 8 grandchild
- 9 nephew/niece
- 10 cousin
- 11 other relatives
- 12 non-relative
- 97 don't know
- 98 no answer

Code B

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 97 don't know
- 98 no answer

Code C

- 1 unmarried
- 2 married
- 3 widow
- 4 divorced/separated
- 97 don't know
- 98 no answer
- 99 not applicable

Code D

- 1 Engaged in own agriculture
- 2 Engaged in fishing, hunting or collecting
- 3 Non-farm owned business
- 4 Casual off-farm labour in agriculture
- 5 Casual labour in non-agriculture
- 6 Permanently employed in agriculture
- 7 Permanently employed in non-agriculture
- 8 Government official
- 9 Housewife
- 10 Student/Pupil
- 11 Child below school age
- 12 Unemployed
- 13 Performing only occasional and light work
- 14 Monk
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code E

- 1 Died
- 2 Established own household
- 3 Marriage
- 4 Job opportunity
- 5 Job Search
- 6 Schooling or studying
- 7 Followed the Family
- 8 went to help another household
- 9 went to live with another household because of
- 10 went to be looked after (ill or old)
- 11 Became a Monk
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Section 2.4: Household dynamics

Are there any other persons who belonged to your household but left this house in the past 5 years (including those who died)?

1	2	3	4	5	6	7	8	9	10	11	12	13
I.D. Code	Name or Nickname	Gender <i>1=male, 2=female</i>	Age	Relation to household head A	Place of birth B	Marital status C	Main occupation between 5/06 and 4/07 D	In which year did he/she leave the household?	Reason for leaving <i>if died skip question 11</i> E	Location B	Money/gifts received from ... between 5/06 and 4/07 monetary value (B/Dong)	Money/gifts sent to ... between 5/06 and 4/07 monetary value (B/Dong)
16												
17												
18												
19												
20												
21												
22												

Are there any other persons (relatives/friends) who provided money, gifts or in kinds (remittances) to the household or to whom the household provided money, gifts or in-kinds during the past 12 months?

1	2	3	4	5	6	7	8	9	10	11	12	13	
I.D. Code	Name or Nickname	Gender <i>1=male, 2=female</i>	Age	Relation to household head A	Place of birth B	Marital status C	Main occupation between 5/06 and 4/07 D	In which year did he/she leave the household?	Reason for leaving E	Location B	Money/gifts received from ... between 5/06 and 4/07 monetary value (B/Dong)	Money/gifts sent to ... between 5/06 and 4/07 monetary value (B/Dong)	
23		X				X							
24													
25													
26													
27													

Code A**Demographic**

- 1 Illness of household member
- 2 Death of household member
- 3 Household member left the household
- 4 Person joined the household
- 5 Money spent for ceremony in the household

Social

- 6 Household Damage
- 7 Theft
- 8 Conflict with neighbours in the village
- 9 Relatives/Friends stopped sending money (remittances)

Agriculture

- 10 Flooding
- 11 Drought
- 12 Unusually heavy Rainfall
- 13 Crop pests
- 14 Storage pests (including rats)
- 15 Livestock Disease
- 16 Landslide, Erosion

Economic

- 17 Job Loss
- 18 Collapse of business
- 19 Unable to pay back loan
- 20 Strong increase of interest rate on loans
- 21 Strong decrease of prices for Output
- 22 Strong increase of prices for Input
- 23 Change in market regulations
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code G

- 1 Much richer
- 2 Richer
- 3 The same
- 4 Poorer
- 5 Much poorer
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code C

- 1 no other HH
- 2 some other HH
- 3 most HH in village
- 4 most HH in district
- 5 most HH in province
- 6 most HH in country
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code E

- 1 yes
- 2 no
- 97 don't know
- 98 no answer

Code F

- 0 less than 1 year
- 1 1 year
- 2 more than 1 year, but now recovered
- 3 not yet recovered
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code H

- 1 Not at all
- 2 Yes, a bit
- 3 Yes, a lot
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code D

- 1 Did nothing
- Economics**
- 2 Took up additional occupation
- 3 Diversify agricultural portfolio
- 4 Substitute crops
- 5 Reduced production inputs
- Demographics**
- 6 Took children out of school
- 7 Sent children to relatives/friends
- 8 Adult migrated to look for job
- 9 Adult migrated to live with relatives/friends

- 10 Adult migrated to marry

Sale

- 11 Sold livestock
- 12 Sold land
- 13 Sold storage (e.g. rice)
- 14 Sold other assets

Borrowing and Savings

- 15 Used savings
- 16 Used insurance
- 17 Borrowed from relatives
- 18 Borrowed from friends/neighbours
- 19 Borrowed from pawnshop
- 20 Borrowed from informal money-lender
- 21 Borrowed from village funds
- 22 Borrowed from commercial bank
- 23 TH: Borrowed from BAAC/Coop. Bank
- 24 TH: Borrowed from Government Savings Bank
- 25 TH: Borrowed from Village bank
- 26 VN: Borrowed from VBSP
- 27 VN: Borrowed from VBARD

Grants

- 28 Help from government
- 29 Help from NGOs
- 30 Help from relatives
- 31 Help from friends/neighbours
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Section 3.1 : Shocks

When considering the past 5 years, has there been any event causing a big problem (shock) affecting the household?
Please think of any problems related to your family, farm, house or job.

a. What were the three major shocks that affected your household in the past 5 years?

1	2	3	4	5	6	7	8	9	10	11	12
Event ID	Type of event	When did the event occur?	Estimated severity of the event on your household?	Estimated loss of income due to the event in the year of occurrence?	Estimated loss of assets due to	Aside from your HH who else was affected by the event?	What was your major coping activity to deal with the event?	2nd coping activity	3rd coping activity	Did the household still have to reduce household consumption expenditures because of the event?	How many years did it take to recover from the event?
	A	97 if not known	Interv.: Read code B 1-4	(local currency)	(local currency)	C	D	D	D	E	F
1											
2											
3											

b. Was your household affected by any of the following events in the last 5 years?

(Info: Read out Code A and note all those events, for which the respondent says yes. Skip those already noted in Question a.)

4											
5											
6											
7											
8											

13 Do you think you are better off than last year?

Code G

14 Do you think you are better off than 5 years ago?

Code G

15 Does your income fluctuate a lot?

Code H if code=1, go to section 3.2

16 What is the impact of income fluctuations on the wellbeing of members of your household?

Code B

17 What was the best year for your household in the last 5 years?

97=don't know

18 What was the worst year for your household in the last 5 years?

97=don't know

Code A

- 1 yes
- 2 no
- 97 don't know
- 98 no answer

Code B

- 1 High
- 2 Moderate
- 3 Low
- 4 No impact
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code C

- 1 1 in 5 years
- 2 2 in 5 years
- 3 3 in 5 years
- 4 4 in 5 years
- 5 5 in 5 years
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code D

- 1 Borrow from relatives
- 2 Borrow from friends/neighbours
- 3 Borrow from pawnshop
- 4 Borrow from informal money-lender
- 5 Borrow from village funds
- 6 Borrow from commercial bank
- 7 Borrow from government bank
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code F

- 1 Much richer
- 2 Richer
- 3 The same
- 4 Poorer
- 5 Much poorer
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code E

- 1 Did nothing

Economics

- 2 Took up additional occupation
- 3 Diversify agricultural portfolio
- 4 Substitute crops
- 5 Reduced production inputs

Demographics

- 6 Took children out of school
- 7 Sent children to relatives/friends
- 8 Adult migrated to look for job
- 9 Adult migrated to live with relatives/friends

- 10 Adult migrated to marry

Sale

- 11 Sold livestock
- 12 Sold land
- 13 Sold storage (e.g. rice)
- 14 Sold other assets

Borrowing and Savings

- 15 Used savings
- 16 Used insurance
- 17 Borrowed from relatives
- 18 Borrowed from friends/neighbours
- 19 Borrowed from pawnshop
- 20 Borrowed from informal money-lender
- 21 Borrowed from village funds
- 22 Borrowed from commercial bank
- 23 TH: Borrowed from BAAC/Coop. Bank
- 24 TH: Borrowed from Government Savings Bank
- 25 TH: Borrowed from Village bank
- 26 VN: Borrowed from VBSP
- 27 VN: Borrowed from VBARD

Grants

- 28 Help from government
- 29 Help from NGOs
- 30 Help from relatives
- 31 Help from friends/neighbours
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Section 3.2: Risks

Now, please consider the following possible future events:

	1	2	3	4
	Event	Do you think that any of the following events will occur in the next 5 years?	What would be the impact of the event on your household's income if it occurred next year?	How often, do you think, will any of the following events occur in next 5 years? <i>do not ask if Q2=no</i>
		A	B	C
Demographic	1 Illness of household member			
	2 Death of household member			
	3 Household member left the household			
	4 Person joined the household			
	5 Money spent for ceremony in the household			
Social	6 Household Damage			
	7 Theft			
	8 Conflict with neighbours in the village			
	9 Relatives/Friends stopped sending money (remittances)			
Agriculture	10 Flooding			
	11 Drought			
	12 Unusually heavy Rainfall			
	13 Crop pests			
	14 Storage pests (including rats)			
	15 Livestock Disease			
Economic	16 Landslide, Erosion			
	17 Job Loss			
	18 Collapse of business			
	19 Unable to pay back loan			
	20 Strong increase of interest rate on loans			
	21 Strong decrease of prices for Output			
	22 Strong increase of prices for Input			
	23 Change in market regulations			

5 Suppose you would suddenly need 5,000 THB. Would you do any of the following things?

Info: Read out list in Code E and note all that apply.

Code E

a	<input type="checkbox"/>
b	<input type="checkbox"/>
c	<input type="checkbox"/>
d	<input type="checkbox"/>
e	<input type="checkbox"/>

6 In case of applying for a loan: Whom would you ask first?

Code D

7 How many days would you need to get this amount?

8 Suppose you would suddenly need 60,000 THB. Would you need and try to apply for a loan?

Code A

9 In case of applying for a loan: Whom would you ask first?

Code D

10 How many days would you need to get this amount?

11 Suppose you would suddenly need 60,000 THB. Would you do any of the following things?

Info: Read out list in Code E and note all that apply.

Code E

a	<input type="checkbox"/>
b	<input type="checkbox"/>
c	<input type="checkbox"/>
d	<input type="checkbox"/>
e	<input type="checkbox"/>

12 Do you think you will be better off in the next 5 years?

Code F

Code A

- 1 residential use
- 2 rented out
- 3 rice and field crops
- 4 permanent crops
- 5 pasture
- 6 aquaculture or pen
- 7 forest (planted)
- 90 Others, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code B

- 1 owned plot (unmortgaged)
- 2 mortgagor
- 3 sale-redeem
- 4 rented for fixed rent
- 5 sharecropping
- 6 mortgagee
- 7 sale-redeemer
- 8 rented from relatives, no rent paid
- 9 rented from non-relatives, no rent paid
- 90 Others, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code C

- 1 Title deed, NS 5, NS3, NS3K
- 2 SPK 4-01, NK, STK, KSN, PBT5
- 3 NS2, SK1
- 4 no documents
- 90 Others, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code D

- 1 bought
- 2 inherited
- 3 obtained as a present
- 4 collateral seized
- 5 land claimed
- 6 government allocated
- 90 Others, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code E

- 1 in the same village
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 8 in Bangkok
- 10 Laos
- 11 Cambodia
- 19 Other Asian country
- 20 Other country
- 90 Others, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Code F

- 1 rainfed
- 2 irrigated (artesian well)
- 3 irrigated (well)
- 4 irrigated (pipe/tap)
- 5 Irrigated (gravity)
- 6 pumped from public irrigation canal
- 90 Others, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

4.1: Land

Next, we want to learn about your household's landholding including land rented out and the property status of your homestead.

Note: Home gardening should be included in Section 4.2

1 Do you possess land or use land for agriculture or gardening?

1 yes

2 no, ask only for the homestead and go to Q15

Please report the household's land and the area used for agriculture of 1 May 2006 separately for each parcel. Please start with the homestead.

2	3	4		5		6		7		8	9	10		11	12	13	14
Land parcel no.	Land Area TH: rai, VN: hectare	Main land use		Tenure Status <i>if code > 3 go to Q10</i>		Type of Land document		How was the land obtained? <i>if code > 1 then go to Q12</i>		When did you purchase the land?	How much did you pay for the land? <i>go to Q12</i>	Rental rate per year whether rented out or rented in		Location	Distance from homestead (km)	Source of Water supply?	
		A		B		C		D		(year)	local	in cash (local currency)	in kind (kind and quantity)				E
1		homestead	1												1	0	99
2																	
3																	
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	

15 What would be the value if you sold your homestead including the house?

local currency

16 What would be the value if you sold your agricultural land?

local currency

Code A

- 1 Rice
- 2 Corn
- 3 Peanuts
- 4 Soybeans
- 5 Mungbeans
- 6 Cassava
- 7 Sugarcane
- 8 Kenaf
- 9 Vegetables
- 10 Para rubber
- 11 Coffee
- 12 Pepper
- 13 Fruits
- 14 Tea
- 15 Cashew nuts
- 90 Others, specify
- 97 Don't know
- 98 no answer

Code B

- 1 Tonnes
- 2 Kilogram
- 3 Ta
- 4 1 Kwian = 1,000 kg.
- 5 1 Hap (small) = 60 kg.
- 6 1 Hap (big) = 100 kg.
- 7 1 Muen (rice) = 12 kg.
- 8 1 Lit (rice) = 0.75 kg.
- 9 1 Thang (paddy) = 10 kg.
- 10 1 Thang (rice, other beans, and maize) = 15 kg.
- 11 1 kg. (dry cassava) = 2.2 kg. (fresh cassava)
- 12 1 kg. (cassava pellet) = 2.4 kg. (fresh cassava)
- 13 1 kg. (cassava meal) = 4.5 kg. (fresh cassava)
- 90 Others, specify
- 97 Don't know
- 98 no answer

Code C
1 owned
2 rented
3 borrowed (no fee paid)
97 don't know
98 no answer
99 not applicable

Code A

- 1 Rice
- 2 Corn
- 3 Peanuts
- 4 Soybeans
- 5 Mungbeans
- 6 Cassava
- 7 Sugarcane
- 8 Kenaf
- 9 Vegetables
- 10 Para rubber
- 11 Coffee
- 12 Pepper
- 13 Fruits
- 14 Tea
- 15 Cashew nuts
- 90 Others, specify
- 97 Don't know
- 98 no answer
- 99 not applicable

Section 4.3: Livestock and aquaculture

4.3.1 Stocks

1 Did you keep any of the stocks listed below between May 2006 to April 2007?

1=yes

2=no, go to section 4.4

Please list the stocks you kept since May 2006

2		3	4				5	6		7	8	9	10	11	12					13	14	15	16							
Animal species/production activity		Stock at the beginning of the period (1 May 2006) (units)	Changes over the year (units)								Stock at the end of the year (units)	Sales value (local currency)	If stock in the past 5 years has never been above ... units go to next row.	Cash expenditures between 5/06 and 4/07					for restocking	feed	veterinary treatment	hired labor	others							
			Births	Purchases	Losses (e.g. death, theft)	Home consumption	Disposals		Sales																					
1	Buffalo													2																
2	Beef cattle													2																
3	Dairy cattle													2																
4	Pig (fattening)													5																
5	Pig (piglet production)													2																
6	Goat													5																
7	Chicken													20																
8	Fighting cocks													4																
9	Duck													20																
10	Silk worms (kg)													5																
11	Others, specify																													
12	Others, specify																													
13	Others, specify																													
14	Tiger prawns/fresh water prawn																													
15	Tilapia																													
16	Carp																													
17	Others, specify																													
18	Others, specify																													

4.3.2 Livestock Products

1 Did you get livestock products between May 2006 to April 2007?

1=yes
2=no, go to section 4.4

Now, please list and quantify livestock products produced between 5/06 and 4/07

2		4	5	6	7	8	9	10
Livestock products		Total Production (individual unit)	Home consumption (individual unit)	Quantity sold (individual unit)	Estimated sales value (Local currency)	packaging and storage	Cash cost of inputs (Local currency) Others, specify Specification	
1	Milk (kg)							
2	Calves (heads)							
3	Piglets (heads)							
4	Chicken Eggs (pieces)							
5	Duck eggs (pieces)							
6	Silk (kg)							
7	fish fry,specify							
8	others, specify							
9	others, specify							

Code A

- 1 fishing
- 2 hunting
- 3 collecting
- 4 logging
- 98 no answer

Code B

- 1 Lake
- 2 Dam
- 3 Pond
- 4 River
- 5 Canal
- 6 Forest
- 7 Vacant land
- 90 Others, specify
- 97 Don't know
- 98 no answer

Code C

- 1 community
- 2 government
- 3 private persons
- 4 private corporations
- 5 this household
- 90 Others, specify
- 97 Don't know
- 98 no answer

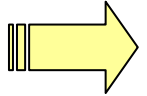
Code D

- 1 Tilapia
- 2 Catfish
- 3 Snakehead fish
- 4 0
- 5 Mudfish
- 6 marine fish...
- 7 marine fish...
- 8 marine fish...
- 9 other marine fish
- 10 0
- 11 other animals
- 12 timber products
- 13 fire wood
- 14 honey
- 15 mushrooms
- 16 wild plants
- 90 others specify
- 97 Don't know
- 98 no answer

Section 4.4: Fishing, hunting, collecting, logging

1 Is your household involved in fishing, hunting, collecting or logging?

- 1 yes
- 2 no, go to section 5



Please report for period May 2006 to April 2007:

2		3		4		5		6		7		8		9		10		11		12		13	
ID	Type of activity	Where do you conduct any of these activities?		Who controls access to this resource		Payment for access in cash or kind per year/activity		If no payment, please specify access regulations		Since when have you been using the resource?		What is the normal season for the activity?		How often do you conduct the activity during a season?		Fuel cost between 5/06 and 4/07		Hired labor cost last year in cash and in kind		Type of produce extracted			
	A	B		C		<i>(0 if no payment)</i>				<i>(year)</i>		from <i>(month)</i> to <i>(month)</i>		<i>(days/season)</i>		<i>(local currency)</i>		<i>(local currency)</i>		D			
1																							
2																							
3																							
4																							
5																							
6																							
7																							
8																							
9																							
10																							
11																							
12																							
13																							
14																							

2	14	15	16	17
ID	Total output between 5/06 and 4/07	Specify Unit	thereof home consumption	Estimated selling price (local currency/unit)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				

Code A
Agriculture
 1 Agricultural wage labourer
 2 Logger
 3 Fisher
Industry
Production worker
 4 Food processing
 5 Textile, Apparel
 6 Electronics
 7 Wood Products
 8 Furniture
 9 Metal Products and Machinery
 10 other industry
Clerk/ white collar worker
 11 Food processing
 12 Textile, Apparel
 13 Electronics
 14 Wood Products
 15 Furniture
 16 Metal Products and Machinery
 17 other industry
 18 Miner, Quarryman
 19 Construction worker
 20 Silk spinner/ weaver

Code A continued
Service
 21 Watchman
 22 Carwasher
 23 Shoecleaner and shoerepairer
 24 Shoemaker
 25 Barber
 26 Tailor
 27 Vendor
 28 Cook
 29 Waiter
 30 Cleaner
 31 Housemaid
 32 Driver
 33 Carpenter
 34 Mechanician
 35 Electrician
 36 Plumber
 37 Other service worker
Public Sector
 38 Nurse
 39 Policeman
 40 Teacher
 41 Soldier
 42 Other public servant
 90 Other, specify
 97 Don't know
 98 no answer
 99 does not apply

Code B
 1 yes
 2 no
 97 don't know
 98 no answer
 99 does not apply

Code C
 0 job in the same village
 1 commuting
 2 migrated
 90 Other, specify
 97 Don't know
 98 no answer
 99 does not apply

Code D
 1 in the same village/commune
 2 in the same district (rural area)
 3 in the same district (urban area)
 4 in the same province (rural area)
 5 in the same province (urban area)
 6 in another province (rural area)
 7 in another province (urban area)
 9 in Bangkok
 10 in Hanoi
 11 in Ho Chi Minh City
 20 Laos
 21 Cambodia
 29 Other Asian country
 90 Other country
 97 don't know
 98 no answer

Code E
 1 daily
 2 weekly
 3 monthly
 4 four times a year
 5 twice a year
 6 annually
 90 Other, specify
 97 Don't know
 98 no answer
 99 does not apply

Code F
 1 Walk
 2 Ox cart
 3 Bicycle
 4 Motorcycle
 5 Own car
 6 Taxi
 7 Company bus/ pick-up
 8 Public bus
 90 Other, specify
 97 Don't know
 98 no answer
 99 does not apply

Code G
 1 Unlimited
 2 Limited
 3 Day to day
 4 verbal agreement/ no contract
 90 Other, specify
 97 Don't know
 98 no answer
 99 does not apply

Section 5: Off Farm employment

In this section and in the following section we would like to talk about all off-farm employment that your household members have maintained between 5/06 and 4/07. By off-farm employment we refer to all activities not related to agricultural production on your own farm.

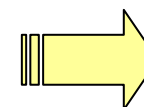
First, we ask you about the wage-employed activities, for example: agricultural worker on other farms, factory worker, construction worker, service worker ...).

1 Has anyone of your household members worked as a wage-employee between 5/06 and 4/07?

- 1 yes
2 no, go to next section

2 How many members of your household have worked as a wage-employee between 5/06 and 4/07?

person (s)



Interviewer: Use separate rows for each off-farm occupation for each household member.

3	4	5	6	7	8	9	10	11	12	13	14
I.D. code	Occupation No.	Describe the type of Occupation in words (for example: production worker in canning factory)	Does ... have a leading position?	Since when is ... working in this job?	Is ... commuting or migrated for this job?	Name of City/ Province/ Country	How often does ... return home?	What kind of vehicle does ... use for commuting/ travelling for returning home?	How far is ... place of work from home and how long does it take to reach it?		Type of contract
			A	B	(year) C	If (0) go to Q14 D	E	F	(km)	(hours)	G
	1										
	2										
	3										
	1										
	2										
	3										
	1										
	2										
	3										

Code I

- 1 Job experience
- 2 gender
- 3 age
- 4 family and friends
- 5 primary school
- 6 lower secondary school
- 7 upper secondary school
- 8 university degree
- 9 technical/ computer skills
- 10 foreign languages skills
- 11 vocational skills/ training
- 12 political party membership
- 13 ethnic membership
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code B

- 1 yes
- 2 no
- 97 don't know
- 98 no answer

Code H

- 1 On my own
- 2 Media (newspaper, tv, internet...)
- 3 Family and friends
- 4 Private job agency
- 5 Public job agency
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code J

- 1 Hour
- 2 Day
- 3 Week
- 4 Month
- 5 Year
- 6 fixed amount
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code K

- 1 accomodation
- 2 food
- 3 transport
- 4 insurance
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

3	4	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
I.D. code	Occupation No.	How did you know about the job?	How much was the agency fee?	How long did it take to find this job?	Which is the most important reason for ... to obtain this job?			<i>If Q18, 19 or 20 is (1)</i>	Cash income		Does this job include any non-monetary incomes or other benefits?	If yes which one?			Average number of hours worked per day in this job	Number of months worked per job between 5/06 and 4/07	Will ... also work in this job between 5/07 and 4/08?
		<i>if < (4) go to Q17</i>	(local currency)	(months)	most important	other	other	How many years of job experience does ... have in this job?	(local currency)	Time unit	B	1	2	3		(fractions)	B
H					I	I	I			J		K	K	K			
	1																
	2																
	3																
	1																
	2																
	3																
	1																
	2																
	3																

Code A**Production**

- 1 Rice mill
- 2 Silk spinning/weaving
- 3 Mat making
- 4 Basket making
- 5 Brickyard
- 6 Pottery

Trade, Transport and Communication

- 7 Retail-Shop (sales store)
- 8 Petty trader (sales on street)
- 9 Wholesale
- 10 Taxi and transport
- 11 Internet-cafe

Hotel and Food

- 12 Hotel / guesthouse
- 13 Restaurant/ Bar
- 14 Foodstall operator
- 15 Butchery
- 16 other small scale food processing

Handicrafts

- 17 Hair salon / barber
- 18 Repair shop
- 19 Carpenter
- 20 Mechanican
- 21 Electrician
- 22 Plumber
- 23 Shoe-maker
- 24 Tailor
- 25 Car-washing
- 26 Shoe-cleaner
- 90 other, specify
- 97 don't know
- 98 no answer
- 99 does not apply

Code B

- 1 Sole proprietorship
- 2 Private Limited Company
- 3 Public Limited Company
- 4 Limited Partnership
- 5 Partnership
- 6 Informal / HH-enterprises
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code C

- 1 job in the same village
- 2 commuting
- 3 migrated
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code D

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 97 don't know
- 98 no answer

Code E

- 1 daily
- 2 weekly
- 3 monthly
- 4 four times a year
- 5 two times a year
- 6 annually
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code F

- 1 Walk
- 2 Ox cart
- 3 Bicycle
- 4 Motorcycle
- 5 Own car
- 6 Taxi
- 7 Company bus/ pick-up
- 8 Public bus
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

CodeG

- 1 yes
- 2 no
- 97 don't know
- 98 no answer
- 99 does not apply

Section 6: Non-farm self-employment including cottage industries

In this Section we would like to talk about all non-farm self-employed activities your household members have maintained between 5/06 and 4/07.

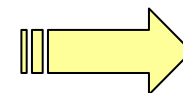
By non-farm self-employment we mean that you are an own-account worker without employees (e.g.: handicraftman, petty-trader, mat-making, ...) or that you are running an own business with family workers or other employees (e.g.: restaurant owner, shop owner, hair salon, taxi/bus owner, rice miller, coffee roasting, ...).

1 Has any of this household's members been engaged in non-farm self-employment between 5/06 and 4/07?

- 1 yes
2 no, go to next section

2 How many household members were engaged in non-farm self-employment between 5/06 and 4/07?

person (s)



Note: Each household-member could have multiple non-farm self-employment activities (Q 1). Use a separate row for each of them.

3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
I.D. code (head of business)	Business No.	Describe the Type of Business in words	Since when do you run this business ?	Amount of initial investment	Form of organisation (legal form)	Is ... commuting or migrated for this business?	Name of City/ Province/ Country	How often does ... return home?	What kind of vehicle does ... use for commuting/ travelling for returning home?	How far is ... place of work from home and how long does it take to reach it?		Does ... have employees (including family members)?	Number of employees person (s)	
										(km)	(hours)		Family members	Non-family members
		A	(year)	(local currency)	B	C	D	E	F			G		
	1													
	2													
	3													
	1													
	2													
	3													
	1													
	2													
	3													

Code H

- 1 1
- 2 2-5
- 3 6-10
- 4 More than 10

Code I

- 1 Consumer
- 2 Trader
- 3 Manufacturer
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

Code J

- 1 Subcontract
- 2 Non-subcontract
- 3 Both
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

CodeG

- 1 yes
- 2 no
- 97 don't know
- 98 no answer

3	4	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40
I.D. code (head of business)	Business No.	Number of contract types with employees (in absolute numbers)				Monthly payroll (average per month) (local currency)	No. of customers H	Main type of customers I	Type of business relationship to customer J	Where are customers located? (in %)				Volume of sales (average per month)? (local currency)	Does ... have in-kind returns as well? G	Does your household consume parts of ...'s production? G	If yes, value of self-consumed production currenc	Costs for input purchases (average per month)? (local currency)	Where does ... buy his inputs? (in % of value)				Average monthly profit/loss between 5/06 and 4/07 (local currency)	No. of months engaged in this business between 5/06 and 4/07 (fractions)
		unlimited	limited	day to day	verbal agreement					district	province	national	international						district	province	national	international		
	1																							
	2																							
	3																							
	1																							
	2																							
	3																							
	1																							
	2																							
	3																							

- Code A**
- 1 Jewellery
 - 2 Other durable goods
 - 2 Agricultural inputs (fertilizer, pesticides etc.)
 - 3 Food (rice etc.)
 - 4 Cash
 - 90 Other, specify
 - 97 don't know
 - 98 no answer
 - 99 not applicable
- Code B**
- 1 Business investments
 - 2 Agricultural investments
 - 3 Business related expenses
 - 4 Agriculture related expenses (e.g. fertilizer pesticides)
 - 5 Pay back other debt
 - 6 House or land purchase/construction
 - 7 Buy durable household goods
 - 8 Improving infrastructure (water supply, sanitation etc.)
 - 9 buying consumption good (e.g. food)
 - 10 Medical treatment
 - 11 Ceremony (wedding, funeral, tet)
 - 12 Study
 - 90 Other
 - 97 don't know
 - 98 no answer
 - 99 not applicable
- Code C**
- 1 yes
 - 2 no
 - 97 don't know
 - 98 no answer
 - 99 not applicable

- Code D (Thailand)**
- 51 Government Housing Bank
 - 52 Bank for Agriculture and Agricultural Cooperatives (BAAC)
 - 53 Government Savings Bank
 - 54 Small Industry Finance Corporation/ SME Development Bank
 - 55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion
 - 56 Urban Community Development Organization
 - 57 Agricultural cooperatives
 - 58 Other socio-political organization
 - 59 Village bank
 - 60 Village Fund/Community Fund(Taksin village fund)
 - 61 Business partner/trader/supplier
 - 62 Money lender
 - 63 pawnshop
 - 64 Job placement support fund
 - 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)
 - 70 family in village
 - 71 family outside village (same province)
 - 72 family other province
 - 73 family abroad
 - 74 friends in village
 - 75 friends outside village (same province)
 - 76 friends other province
 - 77 friends abroad
 - 78 Self help credit group
 - 90 Other, specify
 - 97 don't know
 - 98 no answer
 - 99 not applicable

- code E**
- 1 year
 - 2 month
 - 3 week
 - 4 day
- code F**
- 1 land
 - 2 use savings to guarantee credit
 - 3 use future crops to guarantee credit
 - 4 life insurance
 - 5 other assets (e.g. farm equipment, livestock, valuables)
 - 6 single guarantor
 - 7 multiple guarantors
 - 8 no collateral required
 - 98 no answer
 - 97 don't know
 - 99 not applicable
- code G**
- 0 no other requirement
 - 1 credit group membership
 - 2 membership in social/political group (e.g. VWU, farmers' union, party, church)
 - 3 other multiple guarantors
 - 4 individual guarantor
 - 5 savings account at the bank
 - 97 don't know
 - 98 no answer
 - 99 not applicable

Section 7.1: Borrowing and Lending

A. Borrowing

1 Did you ever borrow cash or goods (rice, fertilizer etc.) or buy on installments?

1 Yes

2 No, go to next section

2 Did you borrow cash or goods fully repaid between 5/06 and 5/07 or not fully repaid yet?

1 Yes

2 No, go to section 7.1 B

Please record all loans that are still owed or loans that have been completely repaid in the period between 5/06 and 4/07 in decreasing order of value.

3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	
loan	What is the amount of loan the HH borrowed? (if non-cash loan, indicate the good and estimate its value)		For what purpose did you borrow?	Did you have to borrow because of a shock affecting your household?	Pls. give I.D. of shock from section 3.1 to which the loan is related.	Where did you borrow?	When did you receive the loan?		What is the duration of the loan?	Repayment of loan					What is the collateral for this loan?	Estimated value of collateral at the time you got the loan	Other requirements (give the three most important requirements)	When did you first borrow from this lender?					
	Type	Value (local currency)		<i>If no, go to Q9</i>			month	year	duration	Type	value of Interest payment (local currency)	interest rate (%)	time unit	frequency					In case of lump sum repayment: specify amount (local currency)	year			
A		B	C	D	E					A			E		F	G							
1																							
2																							
3																							
4																							
5																							
6																							
7																							
8																							

Code A

- 1 Jewellery
- 2 Other durable goods
- 3 Agricultural inputs (fertilizer, pesticides etc.)
- 4 Food (rice etc.)
- 5 Cash
- 90 Other, specify
- 97 don't know
- 98 no answer
- 99 not applicable

Code B

- 1 yes
- 2 no
- 97 don't know
- 98 no answer

Code C

- 1 Business investments
- 2 Agricultural investments
- 3 Business related expenses
- 4 Agriculture related expenses (e.g. fertilizer pesticides)
- 5 Pay back other debt
- 6 House or land purchase/construction
- 7 Buy durable household goods
- 8 Improving infrastructure (water supply, sanitation etc.)
- 9 buying consumption good (e.g. food)
- 10 Medical treatment
- 11 Ceremony (wedding, funeral, tet)
- 12 Study
- 90 Other
- 96 I don't care
- 97 don't know
- 98 no answer
- 99 not applicable

Code D

- 1 family in village
- 2 family outside village (same province)
- 3 family other province
- 4 family abroad
- 5 credit group (VN: Ho/Hui or Phuong)
- 6 friend in village
- 7 friend outside village (same province)
- 8 friend other province
- 9 friend abroad
- 10 Business partner/trader/supplier
- 90 Other, specify
- 97 don't know
- 98 no answer
- 99 not applicable

Code E

- 1 year
- 2 month
- 3 week
- 4 day
- 97 don't know
- 98 no answer
- 99 not applicable

code F

- 1 land
- 2 use savings to guarantee credit
- 3 use future crops to guarantee credit
- 4 life insurance
- 5 other assets (e.g. farm equipment, livestock, valuables)
- 6 single guarantor
- 7 multiple guarantors
- 8 no collateral required
- 98 no answer
- 97 don't know
- 99 not applicable

Code G

- 1 credit group membership
- 2 other multiple guarantors
- 3 individual guarantor
- 4 savings account at the bank
- 97 don't know
- 98 no answer
- 99 not applicable

B. Lending

1 Did you ever lend cash or goods (rice, fertilizer etc.) or sell by installments?

- 1 Yes
- 2 No, go to next section

2 Did you lend cash or goods fully repaid between 5/06 and 5/07 or not fully repaid yet?

- 1 Yes
- 2 No, go to next section

Please record all loans that are still owed to you or that have been completely repaid to you between 5/06 and 4/07

3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25
loan	What is the amount of loan the HH lend? (If loan is non-cash please indicate the good and estimate its value)		For what purpose did the borrower need the loan?	Did borrower need loan because of a shock affecting his/her household?	Pls. give I.D. of shock from section 3.1 to which the loan is related.	To whom did you lend?	When did you give the loan?		What is the duration of the loan?		Repayment of the loan?				Interest	What collateral did you ask for?	Estimated value of collateral at time you granted the loan	Other Requirements	When did you first give credit to this lender?			
	Goods	Value	If no, go to Q9	local currency	C	B	D	month	year	duration	time unit	E	Goods	Value of interest payments						Interest rate	time unit	frequency
A	local currency	A											local currency	%	E							
1																						
2																						
3																						
4																						
5																						
6																						

Code A		Code B		Code F
51 Government Housing Bank		1 Business investments		1 Jewellery
52 Bank for Agriculture and Agricultural Cooperatives (BAAC)		2 Agricultural investments		2 Agricultural inputs (fertilizer, pesticides etc.)
53 Government Savings Bank		3 Business related expenses		
54 Small Industry Finance Corporation/ SME Development Bank		4 Agriculture related expenses (e.g. fertilizer pesticides)		3 Food (rice etc.)
55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion		5 Pay back other debt		4 Cash
56 Urban Community Development Organization		6 House or land purchase/construction		90 Other, specify
57 Agricultural cooperatives		7 Buy durable household goods		97 don't know
58 Other socio-political organization		8 Improving infrastructure (water supply, sanitation etc)		98 no answer
59 Village bank		9 buying consumption good (e.g. food)		99 not applicable
60 Village Fund/Community Fund(Taksin village fund)		10		code G
61 Business partner/trader/supplier		Medical treatment		1 land
62 Money lender		11 Ceremony (wedding, funeral, tet)		2 use savings to guarantee credit
63 pawnshop		12 Study		3 use future crops to guarantee credit
64 Commercial bank		90 Other		4 life insurance
65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)		97 don't know		5 other assets (e.g. farm equipment, livestock, valuables)
70 family in village		98 no answer		6 single guarantor
71 family outside village (same province)		99 not applicable	Code C	7 multiple guarantors
72 family other province			1 yes	8 no collateral required
73 family abroad			2 no	98 no answer
74 friends in village			97 don't know	97 don't know
75 friends outside village (same province)			98 no answer	99 not applicable
76 friends other province		Code D		
77 friends abroad		1 Lack of collaterals		Code H
78 Self help credit group		2 Bad business plan		1 none
90 Other, specify		3 Low social standing		2 not able to borrow again from this lender
97 don't know	Code E	4 ever defaulted on loan from this credit source		3 not able to borrow again from this lender and others
98 no answer	1 default	5 ever repaid late on loan from this credit source		4 collateral was seized
99 not applicable	2 late payment	90 other		5 had to pay higher interest
	97 don't know	97 don't know		90 other, specify
	98 no answer	98 no answer		97 don't know
	99 not applicable	99 not applicable		98 no answer
				99 not applicable

C. Credit Rationing

1 Did you apply for credit during the last 5 years without getting it or without getting the full amount you applied for?

1 Yes

2 No, go to next section

2	3	4	5	6	7	8	9	10	11
When?		Where did you apply for credit?	Amount of Loan applied for	Amount actually received	Why did you apply for a credit?	Did you have to apply for credit because of a shock affecting your household? <i>if no, go to Q10</i>	Pls. indicate the shock ID from section 3.1	Why was your application rejected?	How many times was your application (partially or completely) rejected?
month	year	A	(local currency)	(local currency)	B	C		D	

D. Default history

12 During the last 5 years, have you ever defaulted or failed to pay back a loan on time?

1 Yes

2 No, go to next section

13	14	15	16	17	18	19	20	21	22
loan	Did you default or pay late?	Did you pay late or default as a consequence of a shock affecting your household?	Pls. indicate the shock ID from section 3.1	What was the amount of loan you borrowed?		Where did you borrow?	What was the collateral of this loan?	What was the value of the collateral?	What were the consequence of default or late payment?
		if no, go to Q17		Good	Value of loan				
	E	C		F	(local currency)	A	G	(local currency)	H
1									
2									
3									

Code A

- 51 Government Housing Bank
- 52 Bank for Agriculture and Agricultural Cooperatives (BAAC)
- 53 Government Savings Bank
- 54
 - Small Industry Finance Corporation/ SME Development Bank
- 55 Export-Import Bank of Thailand or Business Promotion
 - Office at Department of Export Promotion
- 56 Urban Community Development Organization
- 57 Agricultural cooperatives
- 58 Other socio-political organization
- 59 Village bank
- 60 Village Fund/Community Fund(Taksin village fund)
- 61 Business partner/trader/supplier
- 62 Money lender
- 63 pawnshop
- 64 Commercial bank
- 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)
- 70 family in village
- 71 family outside village (same province)
- 72 family other province
- 73 family abroad
- 74 friends in village
- 75 friends outside village (same province)
- 76 friends other province
- 77 friends abroad
- 78 Self help credit group
- 90 Other, specify
- 97 don't know
- 98 no answer
- 99 not applicable

E. Savings

Read out to household head:

We reassure you that all information given is strictly confidential. It will not be given to others and will only serve scientific purposes.

1 Do you have any savings?

<input type="checkbox"/>	1 Yes
<input type="checkbox"/>	2 No

2 During the period of May 2006 to April 2007, could you save parts of your income?

<input type="checkbox"/>	1 Yes
<input type="checkbox"/>	2 No

3 How many savings accounts does this household have?

<input type="text"/>	number
----------------------	--------

4 Estimated value of all savings accounts

<input type="text"/>	(local currency)
----------------------	------------------

5 At which institutions do you have your savings accounts?

Code A

a	<input type="text"/>	Amount	b	<input type="text"/>	(local currency)
c	<input type="text"/>	Amount	d	<input type="text"/>	(local currency)
e	<input type="text"/>	Amount	f	<input type="text"/>	(local currency)
g	<input type="text"/>	Amount	h	<input type="text"/>	(local currency)

6 Is anyone in your household member of Ho/Hui or Phuong?

<input type="checkbox"/>	1 Yes
<input type="checkbox"/>	2 No, go to Q8

7 Estimated Value of deposit with Ho/hui or Phuong

<input type="text"/>	(local currency)
----------------------	------------------

8 Do you possess other financial assets?

<input type="checkbox"/>	1 Yes
<input type="checkbox"/>	2 No, go to next section

9 Estimated Value of other financial assets

<input type="text"/>	(local currency)
----------------------	------------------

Code A

Social Assistance

- 52 Social relief for natural disasters
- 53 Poverty alleviation
- 54 Allowances for war veterans and martyrs

Social Security

- 55 Pension
- 57 Occupational accident and disease
- 58 Sickness
- 59 Survivorship
- 60 Other government program
- 61 Other commune program
- 63 other social assistance
- 64 other social security
- 90 other payments
- 97 don't know
- 98 no answer
- 99 not applicable

Code B

- 1 year
- 2 month
- 3 week
- 4 day
- 90 Other, specify
- 97 don't know
- 98 no answer
- 99 not applicable

Code A	Code C	Code D
1 They don't offer insurance here		1 year
2 I don't need insurance	Thailand	2 month
3 Insurance is too expensive	51 Social security fund	3 week
4 There is no adequate insurance for me	52 Other Government agency	4 day
90 Other	Life insurance companies	90 Other, specify
97 don't know	53 American International Assurance Co., Ltd.	97 don't know
98 no answer	54 Bangkok Life Assurance Co., Ltd.	
99 not applicable	55 Thai Life Insurance Co., Ltd.	
	56 Siam Commercial New York Life Insurance Public Co., Ltd.	
	57 Ocean Life Insurance Co., Ltd.	
	58 Thanachart Life Assurance Co., Ltd.	
	59 Ayudhya Allianz C.P. Life Public Co., Ltd.	
	60 Muang Thai Life Assurance Co., Ltd.	
	61 Siam Life Insurance Co., Ltd.	
	62 Thanachart Life Assurance Co., Ltd.	
	63 The SouthEast Life Insurance Co., Ltd.	
	Non-life insurance companies	
	64 American International Assurance Co., Ltd.(NON LIFE INSURANCE)	
	65 Road Accident Victims Protection Co., Ltd.	
	66 Bangkok Insurance Public Co., Ltd.	...
	67 Krungthai Panich Insurance Co., Ltd.	76 Ayudhya Insurance Public Co., Ltd.
	68 Allianz C.P. General Insurance Co., Ltd.)	77 Siam City Insurance Co., Ltd.
	69 Dhipaya Insurance Public Co., Ltd.	78 Union Prospers Insurance Co., Ltd.
	70 Deves Insurance Public Co., Ltd.	79 Sampanh Insurance Co., Ltd.
	71 Thai Insurance Public Co., Ltd.	80 The Siam Commercial Samaggi Insurance Public Co., Ltd.
	72 Mittare Insurance Co., Ltd.	81 Assets Insurance Co., Ltd.
	73 Phatra Insurance Public Co., Ltd.	82 Synmunkong Insurance Public Co., Ltd.
	74 Muang Thai Insurance Co., Ltd.	83 South East Insurance (2000) Co., Ltd.
	75 Viriyah Insurance Co., Ltd.	84 Other Private company
		85 BAAC, cooperatives, saving groups
Code B		
Vietnam		
1 Life insurance		
2 Property insurance		
3 Health insurance		
4 Disability health insurance		
5 Livestock insurance		
6 Crop insurance		
Thailand		
51 Life insurance		
52 Property insurance		
53 Health insurance		
54 Disability health insurance		
55 Livestock insurance		
56 Crop insurance		
57 "Funeral insurance"		
90 Other		
97 don't know		
98 no answer		
99 not applicable		

- Code A**
- 1 Daily
 - 2 Weekly
 - 3 Monthly
 - 4 Bi-annually
 - 5 Annually
 - 90 Other, specify
 - 97 Don't know
 - 98 no answer
 - 99 does not apply

Section 8: Household Expenditures

How much did you spend for the following items in the period May 2006 to April 2007?

Please estimate carefully how much the household spent on each item on a daily, weekly, monthly or annual basis.

		1	2	3			1	2	3	
		Item:	Amount (local currency)	specify time period A			Item:	Amount (local currency)	specify time A	
Food	1	Rice			Education	31	School fees, books			
	2	beef/pork				32	Student's dress/uniform			
	3	Fish				33	Tuition fee			
	4	Poultry				34	Rental fee (Dorm, apartment)			
	5	Eggs				35	Other costs of schooling			
	6	Vegetable				36				
	7	Fruit				37				
	8	Food ingredients, spices				38				
	9	Fermented fish				39	Total Education			
	10	Oil				Health	40	Medicine (Purchase in Pharmacy only)		
	11	Beverages					41	Doctor fee		
	12	Take home and eat out					42	Other health		
	13	Alcohol, cigarettes					43			
	14	Other food					44			
	15	Total Food					45	Total Health		
Non-Food	16	Rent (for housing)			Social	46	Celebrations and funerals			
	17	Personal care supplies				47	Donations (to temples, social organizations, schools)			
	18	Clothes, shoes and bags, accessories				48	Recreation and entertainment			
	19	Detergent/washing powder				49	Lottery			
	20	Electricity				50	Taxes (income, land Taxes)			
	21	Water cost				51	Other social			
	22	Liquid propane gas/Charcoal				52				
	23	Hair dresser				53				
	24	Other Non-food				54				
	25	Total Non-Food				55	Total Social			
Transportation + communication	26	Fuel, maintenance, insurance and tax for motorbike/car			56					
	27	Public transportation			57					
	28	Telecommunication			58					
	29	Other transportation, Communication			59					
	30	Total Transp. Commun.			60					

Section 9.1: Household Wealth

Code A

- 1 Much richer
- 2 Richer
- 3 the same
- 4 poorer
- 5 much poorer
- 90 Other, specify
- 97 Don't know
- 98 no answer
- 99 does not apply

1		2	3	4	1		2	3	4
Assets		How many items does the household own?	How much did you pay for the last recent obtained item? (local currency)	How old is the most recently obtained item? years	Assets		How many items does the household own?	How much did you pay for the last recent obtained item? (local currency)	How old is the most recently obtained item? years
1	Tractor 2 wheel (s)				26	TV (s)			
2	Tractor 4 wheel (s)				27	Video cassette player/DVD (s)			
3	Knapsack sprayer (s)				28	Satellite Dish (s)			
4	Engine spray (s)				29	Radio (s) and Stereo (s)			
5	Water tanks (house)				30	Regular Phone (s)			
6	Water pump (s)				31	Mobile Phone (s)			
7	Water tanks (field use)				32	Refrigerator (s)			
8	Pipe (s)				33	Gas Stove (s)			
9	Other farm tools/implements				34	Water heater (s)			
10	rice mill				35	Washing Machine (s)			
11	threshing machine				36	Sewing Machine (s)			
12					37	Iron (s)			
13	Boat				38	Vacuum cleaner (s)			
14	Fishing Net (s)				39	Air Conditioner (s)			
15	Fishing Traps				40	Electric Fan (s)			
16	Floating trawl (s)				41	Electric rice cooker			
17	Non-farm productive assets				42	Personal computer			
18					43	Rings and Necklaces			
19					44	Furniture			
20					45	Sofa set			
21	biogas plant				46	Mattress			
22	Truck (s)				47	Bed			
23	Pick up (s)				48	Watches and clocks			
24	Motorcycle (s)				49				
25	Bicycle (s)				50				

5 How well-off do you consider your household in comparison to other residents of your village?

A

6 How well-off do you consider your household in comparison to other residents of your country?

A

7 What do you consider as an absolute minimum net income per month for a household such as yours?

(local currency)

Code A

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 97 don't know
- 98 no answer

Section 9.2: Housing conditions

1 What is the size of the house/dwelling? m²

2 Number of rooms

3 Number of floors

4 For how many years has this household been living in this dwelling?

5 Where has the household been living before? A

6		7		8		9		10		11	
What is the household tenure status of main residence?		How did the household acquire this dwelling?		What is the style of construction of your house?		The walls of main dwelling is mainly made of ...		The windows of main dwelling is mainly made of ...		The roof of main dwelling is mainly made of ...	
Owner	1	Purchased	1	on ground	1	wood	1	glass	1	straw	1
Rented ->8	2	Constructed	2	wooden poles	2	cement	2	bamboo	2	tin	2
Other	3	Inherited	3	cement poles	3	metal	3	wood	3	wood	3
no answer	98	Gift	4	no answer	98	no answer	98	metal	4	slate	4
does not apply	99	Other	90	apply	99	does not apply	99	no answer	98	flat roof	5
		no answer	98					does not apply	99	no answer	98
		does not apply	99							does not apply	99

12		13	
What is the main source of drinking water?		What is the main kind of toilet facilities this household uses?	
Tap inside house	1	Flush Toilet (private)	1
Tap in compound	2	Flush Toilet (shared)	2
Tap outside shared	3	Latrine (private)	3
Well	4	Latrine(shared)	4
Rain water	5	None (outside)	5
River, lake, pond	6	no answer	98
no answer	98	does not apply	99
does not apply	99		

	14	15
What is the main source of fuel for ... ?		
	lighting	cooking
Firewood	1	1
Charcoal	2	2
Kerosine	3	3
Gas (bottle)	4	4
Gas (pipe)	5	5
Electricity (net)	6	6
Electricity (generator)	7	7
Other	90	90
no answer	98	99