02/04/2010

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# Household Survey Vietnam / Thailand

Vulnerability in Southeast Asia

2010

Version 3.2

Introductory statement

Two years ago we have visited your household for interview about livelihood, risks and shocks. This year we have come again for another interview to ask for your situation now. Mostly it will be the same questions like last year and we want to thank you for your kind cooperation.

We assure you that all information you give during the interview is kept strictly confidential. Data will be used for scientific purposes only and will not be given to any outside person. As a sign of our great appreciation that you take your time for our interview please accept this small gift from us.

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Survey information

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Health

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Risks

Household Members

Household dynamics

Section 1

Section 2.1

Section 2.2

Section 2.3

Section 2.4

Section 3.1

Section 3.2

Α

1 died 2 moved away/divorced/disappeared 90 other, specify 99 not applicable

## Section 1: Survey Information

1 Province I.D.:		00-99	7	Date of Interview (dd/mm/y	y)	
2 District I.D.:		00-99	8	3 Time started (hh:mm)		
3 Sub-district I.D.:		00-99	ç	Time finished interview (hh:	:mm)	
4 Village I.D.:		00-99				
5 Household I.D.:		01-20				
<sup>6</sup> Address (or description) of household:						7 Questionnaire version
23 Name of Household Head in round 2 (I.D.	Code: 01)					
24 If not same household head, why?				Code A		
10 Name of Household head				11 I.D. Code		
12 Name of Respondent				13 I.D. Code		insert I.D. for person from section 2.1
14 Name of Interviewer:				15 Code		
16 Name of supervisor:				17 Code		
18 Data entered Name			Code		19 Date	
20 Data revised Name			Code		21 Date	
22 Notes:						

- 1 Head
- 2 Wife/Husband
- 3 Son/Daughter
- 4 Son/daugther in law
- 5 Father/Mother
- 6 Father/Mother in law
- 7 Sister/Brother
- 8 Grandchild
- 9 Nephew/Nice
- 10 Cousin

### 11 Other relatives

- 12 Non-relative
- 13 Brother/sister in law
- 14 Son/daugther adopted
- 98 No answer

### Code B

1 Unmarried

- 2 Married
- 3 Widow
- 4 Divorced/separated
- 98 No answer

### Code D

Founded Household
 Marriage
 Born in the household
 Job opportunity
 Job Search
 Schooling
 Followed the Family
 Came to be looked after (ill, old or alone)
 Came to help the household
 Came to live with the household because of economic distress
 Monk
 Other
 No answer

99 not applicable

### Code C 1 In the same village/commune 2 In the same district (rural area) 3 In the same district (urban area) 4 In the same province (rural area) 5 In the same province (urban area) 6 In another province (rural area) 7 In another province (urban area) 9 In Bangkok 10 In Hanoi 11 In Ho Chi Minh City 20 Laos 21 Cambodia 29 Other Asian country 90 Other country 30 Australia and Oceania 35 Europe 40 North America 99 not applicable 98 No answer

#### Code E

01 Kinh 02 Tay 03 Thai 04 Chinese origin(Han) 05 Khmer 06 Muong 07 Nung 08 Hmong (Meos) 09 Dao 10 Gia rai 11 Ngai 12 Ede 14 Sedang 15 San chay (Cao lan - San chi) 16 Coho 17 Cham (Cham) 20 Mnong 21 Ra glai 23 Bru - Van Kieu/blu 24 Tho 26 Co tu 31 Ta oi 37 Lao 56 Phu Thai 57 Suai 58 foreigner 59 Moo Sir 60 Thai Yor 61 Thai So 62 Kalerng 63 Paco 90 other, specify

99 not applicable

98 no answer

#### Code F

1 Buddhist 2 hoa hao Buddhist sect 3 Muslim 4 Christian 5 Caodaist 6 Animist 7 Atheist 90 other, specify 99 not applicable 98 no answer

#### Code G

1 yes 2 no 99 not applicable 98 no answer

### 2.1: Household Members

(Only transfer the data of household members)

Please check the following persons belonging to your household and add new household members, if applicable. Start with the household head.

1	2	househo	4	5	6	7	8	9	10	11	12	13
I.D. code	Name/Nickname	Gender 1=male, 2=female	Age if >1 year put 0 for < 1 year	Relation to household head	Marital status ask only for members > 13 years of age B	Place of birth	For how long has [NAME] been living with the household? (years)	Reason for joining if born in household go to Q11	Previous location	Ethnic group	Religion	
01			<i>J</i> c c			•	(years)				•	J
02												
03												
04												
05												
06												
07												
08												
09												
10												
11												
12												
13												
14												
15												
16												
17												
18												

#### Code H

- 1 Engaged in own agriculture (including livestock and aquaculture)
- 2 Engaged in fishing, hunting or collecting
- 3 Non-farm owned business
- 4 Casual off-farm labour in agriculture
- 5 Casual labour in non-agriculture
- 6 Permanently employed in agriculture
- 7 Permanently employed in non-agriculture
- 8 Government official
- 9 Housewife
- 10 Student/Pupil
- 11 Child below school age
- 12 Unemployed
- 13

Performing only occasional and light work

- 14 Monk
- 15 joined the army
- 16 Unable to work
- 90 Other, specify
- 99 not applicable
- 98 no answer

#### Code C

- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area) 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 9 In Bangkok
- 10 In Hanoi
- 11 In Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 30 Australia and Oceania
- 35 Europe
- 40 North America
- 90 Other country
- JU TIOL applicable
- 98 No answer

#### Code I

- 1 Died
- 2 Established own household
- 3 Marriage
- 4 Job opportunity
- 5 Job Search
- 6 Schooling or studying
- 7 Followed the Family
- 8 went to help another household
- 9 went to live with another household because of economic distress
- 10 went to be looked after (ill, old or alone)
- 11 Became a Monk
- 12 joined the army
- 25 Personal reasons
- 26 Vacation
- 27 Health
- 28 Other religious reasons
- 90 Other, specify
- 99 not applicable
- 98 no answer

### Code G 1 ves

2 no 99 not applicable 98 no answer

### 2.1: Household Members

Who is mainly in charge of financial or bureaucratic affairs of the household? 0 ID Code

1	2	14	15	16	17	18	19	19a	20	21
•	- Name/Nickname	Main	Second	How many days did	Did	Primary	Where did	Between 5/09	Amount of	Amount of
		occupation	occupation	[NAME] stay in the	[NAME]	reason for	[NAME]	and 04/10 did	money/value	money/value
		between 5/09	between 5/09	household between			go?	[NAME] send	of gifts the	of gifts the
de		and 4/10	and 4/10	5/09 and 4/10?	leave the	being	-	or receive	household	household
I.D. code		according to	according to		house?	temporarily		money from	received from	sent to
U.		time spent	time spent			not in this		the HH while	[NAME]	[NAME]
						house		being absent?		
				if = 365, skip to					and 4/10	an 4/10
				next person				(16		TUD (1000
		н	н	days	G		С	(If no, go to G Q24)	THB/1000 VND	THB/1000 VND
01				uays	G	I	0	<b>G</b> (224)	VIND	VIND
02										
03										
04										
05										
06										
07										
08										
09										
10										
11										
12										
13										
14										
15										
16										
17										
18										

Code A 1 yes 2 no 98 no answer 99 not applicable

TH: <b>Code B</b> 1 P. 1 2 P. 2 3 P. 3 4 P. 4 5 P. 5 6 P. 6 7 P.7	VN: <b>Code B</b> 51 P. 1 52 P. 2 53 P. 3 54 P. 4 55 P. 5 56 P. 6 57 P.7
8 M or MS 1 9 M or MS 2 10 M or MS 3 11 M or MS 4 12 M or MS 5 13 M or MS 6 14 MS 7 15 MS 8	58 M or MS 1 59 M or MS 2 60 M or MS 3 61 M or MS 4 62 M or MS 5 63 M or MS 6 64 MS 7
16 PWC 1 17 PWC 2 18 PWC 3 19 PWS 1 20 PWS 2 21 PWT 1 22 PWT 2	65 Univ. 1 66 Univ. 2 67 Univ. 3 68 Univ. 4 69 Univ. 5 70 Univ. 6 or Master degree 71 PhD 98 No answer 99 not applicable
23 Univ. 1 24 Univ. 2 25 Univ. 3 26 Univ. 4 27 Univ. 5 28 Univ. 6	

### Code C

1	had to work with family business
2	migrated
3	cannot afford to go to school
4	ill
5	finished school
7	drawn into army
9	Don't want to study
10	Lack of qualification
12	Family problems
13	Political disruption (including war)
90	other
98	No answer
99	not applicable

### Code D

1 In the same village/commune
2 In the same district (rural area)
3 In the same district (urban area)
4 In the same province (rural area)
5 In the same province (urban area)
6 In another province (rural area)
7 In another province (urban area)
9 In Bangkok
10 In Hanoi
11 In Ho Chi Minh City
20 Laos
21 Cambodia
29 Other Asian country
90 Other country
99 not applicable

### Section 2.2: Education

In this section, we would like to know the educational attainment of all household members

		Fill in only to	If in only for household members whose educational status has changed during 5/08-4/10 and for new members.											
1	2	3	4	5	6	7	8	9	10	11	12	13		
		Can [NAME]	Is [NAME] currently	What grade is	Has [NAME]	What was [NAME]	How old was [NAME]	Why did [NAME]	Where did [NAME]	How old was	Was [NAME] ever absent	Why was [NAME]		
		read and	enrolled	[NAME]	ever been	highest	when he/she	leave	obtain	[NAME]	for a whole	absent?		
		write?	in school?	currently	to school?	educational	left school?	school?	her/his	when	school year?			
e				enrolled in?		attainment?			highest	he/she	· · · · · · · · · · · · · · · · · · ·			
code									educational	started				
i č				go to					degree?	school?	If no, go to			
D.			if no go to	Question	if no go to				degree.	3011001.	next row			
			Q6	11	section 2.3									
					_	_		-		98=no				
		A	A	В	Α	В	98=no answer	С	D	answer	A			
01														
02														
03														
04														
05														
06														
07														
08														
09														
10														
11														
12														
13														
14														
15														
16														
17														
18														

Fill in only for household members whose educational status has changed during 5/08-4/10 and for new members

1 healthy 2 can manage

3 sick 98 no answer

#### Code B

1 worse 2 same 3 better 98 no answer

#### Code C

1 yes 2 no 98 no answer 99 not applicable

#### Code E

0 did nothing 1 went to a government hospital 2 went to a commune health center

3 went to a pharmacy 4 went to a doctor (clinic) 5 went to health worker

### 6 went to traditional healer 7 went to private hospital

8 Self- treatment

90 other, specify

98 no answer

99 not applicable

Code D 0 none 1 Pneumonia 2 Cataract and other disorders of lens 3 Ischaemic heart diseases 4 Diarrhoea and gastroenteritis of presumed infectious origin 5 Cervical Cancer/ Cancer of Cervix 6 Diseases of appendix 7 Malignant neoplasm of lip, oral cavity and pharynx 8 Malignant neoplasm of breast 9 lung cancer 10 breast cancer 11 leukemia 12 Cerebral infarction 13 Diphtheria 14 Pertussis 15 Tetanus 16 Poliomyelitis 17 Measles 18 Rubella 19 Mumps 20 Encephalitis 21 Hepatitis B 22 Tuberculosis 23 Epilepsy 24 AIDS (Acquired Immune Deficiency Syndrome) 25 Acute diarrhea 26 Dengue Hemorrhagic Fever 54 Bleeding per rectum 27 Influenza 28 Diabetes mellitus 29 Hypertension 30 coronary heart disease 31 Valvular heart disease 32 Fractures of other limb bones 33 Other accident-related injuries 90 other, specify 98 no answer

99 not applicable

34 infection in blood circle 35 bellyache 37 deaf 38 alcoholism 39 headache 40 Decreased bone mass 41 calculus of kidney 42 asthma 44 blind 45 Down-Syndrom 46 artificial kidney 47 back ache 48 absent minded 49 agent organge related disease 50 asthenic 51 bronchitis 52 arthralgia 53 lymph nodes of the neck

### Code F

1 not necessary 2 no facility available 3 facility too expensive 4 transport to facility too expensive 5 low quality of facility 6 could not spare the time 7 Other, specify 99 not applicable 98 no answer

#### Code G

- 1 same village/ward 2 same commune 3 other commune
- 4 district town
- 5 same province capital
- 6 other province capital (e.g. HCMC, Danang, Nha Trang, Hanoi
- 7 abroad
- 99 not applicable
- 98 no answer

#### Code H

1 inpatient 2 outpatient 98 no answer 99 not applicable

### Section 2.3: Health

### Report health status of household members between 05/09-04/10

Fill in for all household members

1	2	3	4	5	6	7	8	9	12	13	13a	13b	13c	14
	Name or nickname	How	Does	Does		height		Please	For how	What did	Where is	How many	Was	lf no
		healthy	[NAME]	[NAME]	Worgin	noigin	suffering	specify the	many weeks		the facility	different	[NAME]	treatment
		is	feel	feel			from any	most severe	was [NAME]	[]	where	health	in	was
		[NAME]		healthier			serious	illness	unable to		[NAME]	facilities	inpatient	
code		?	than last	than 5			disease or	[NAME]	pursue		got main	did [Name]	or	Why not?
S			year?	years ago?			injury?	suffered	his/her main		treatment?		outpatient	
Ö								between 5/09	occupation?			visit before	care?	
								and 4/10		If 0 go to Q14otherwise		getting	as to payt	
										only answer		sufficient	go to next row	
									0 = occupation	Q13a-c		treatment?		
		Α	В	В	(kg)	(cm)	С	D	not affected	E	G		Н	F
01														
02														
03														
04														
05														
06														
07														
08														
09														
10														
11														
12														
13														
14														
15														
16														
17														
18														

1 Head

2 Wife/Husband

3 Son/Daughter

4 Son/daugther in law

5 Father/Mother

6 Father/Mother in law

7 Sister/Brother

8 Grandchild

9 Nephew/Nice

10 Cousin

11 Other relatives

12 Non-relative

13 Brother/sister in law

14 Son/daugther adopted

98 No answer

99 not applicable

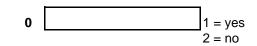
#### Code B

1 In the same village/commune 2 In the same district (rural area) 3 In the same district (urban area) 4 In the same province (rural area) 5 In the same province (urban area) 6 In another province (rural area) 7 In another province (urban area) 9 In Bangkok 10 In Hanoi 11 In Ho Chi Minh City 20 Laos 21 Cambodia 29 Other Asian country 30 Australia and Oceania 35 Europe 40 North America 90 Other country 98 No answer

99 not applicable

### Section 2.4: Household dynamics and Remittances

Are there any other persons (relatives/friends) who provided money, gifts or in kinds (remittances) to the household or to whom the household provided money, gifts or in-kinds during 5/09 and 4/10?



1	2	3	4	5	6	11	12	13
I.D. Code	Name or Nickname	Gender 1=male,	Age	Relation to household head	Place of birth read out answer categories	Location	Amount of money/value of gifts the household received from [NAME] between 5/09 and 4/10	Amount of money/value of gifts the household sent to [NAME] between 5/09 an 4/10
26		2=female		Α	B	D	THB/1000 VND	THB/1000 VND
20 27								
27 28								
28 29								
29 30								
31								
32								
33								
34								
35								
36								
37								
38								
39								
40								
41								
42								
43								
44								
45								
46								
47								

1 Illness of household member 2 Death of household member 3 Household member left the household 4 Person joined the household 5 Money spent for ceremony in the household 24 Accident 38 Law suit 6 House damage 7 Theft 8 Conflict with neighbours in the village 9 Relatives/Friends stopped sending remittances 10 Flooding of agricultural land 11 Drought 12 Unusually heavy Rainfall 13 Crop pests 14 Storage pests (including rats) 15 Livestock Disease 16 Landslide, Erosion 55 Storm 46 being cheated at work/business 60 Job loss (agricultural) 61 Job loss (non-agricultural) 18 Collapse of business 20 Strong increase of interest rate on loans 21 Strong decrease of prices for Output 22 Strong increase of prices for Input 23 Change in market regulations 57 snow / ice rain (VN) 90 Other, specify 98 no answer 99 not applicable

Code B 1 High 2 Medium 3 Low 4 No impact 98 no answer 99 not applicable

#### Code C 1 no other HH 2 some other HH 3 most HH in village 4 most HH in district 5 most HH in province 6 most HH in country 98 no answer 99 not applicable

#### Code E

1 yes 2 no 98 no answer 99 not applicable

Code D 1 Did nothing **Economics** 2 Took up additional occupation 3 Diversify agricultural portfolio 4 Substitute crops 5 Reduced production inputs **Demographics** 6 Took children out of school 7 Sent children to relatives/friends 8 Adult migrated to look for job 9 Adult migrated to live with relatives/friends 10 Adult migrated to marry Sale 11 Sold livestock 12 Sold land 13 Sold storage (e.g. rice) 14 Sold other assets **Borrowing and Savings** 15 Used savings 16 Used insurance 17 Borrowed from relatives 18 Borrowed from friends/neighbours 19 Borrowed from pawnshop 20 Borrowed from informal money-lender 21 Borrowed from village funds 22 Borrowed from commercial bank 23 TH: Borrowed from BAAC/Coop. Bank 24 TH: Borrowed from Government Savings Bank 25 TH: Borrowed from Village bank 26 VN: Borrowed from VBSP 27 VN: Borrowed from VBARD Grants 28 Help from government 29 Help from NGOs 30 Help from relatives 31 Help from friends/neighbours 90 Other, specify 98 no answer 99 not applicable

### Section 3.1 : Shocks

When considering the time period between 05/08 and 04/10, has there been any event causing a big problem (shock) affecting the household? Please think of any problems related to your family, farm, house or job.

### a. What were the three major shocks that affected your household between 05/08 and 04/10?

1	2	2a	3	3a	4	5a	5b	6a	7	8	9	10	11	12
	Type of	HH-	When o	did the	Estimated	Estimated total	Estimated	Estimated loss of	Aside from your	Coping activity to deal		to deal	Did the household	How many
	event	Member	event of	occur?	severity of	loss of income	total extra	assets due to the	HH who else was	wi	with the event		still have to reduce	months did it
		-ID */			the event on	due to the	expenditure	event in the year	affected by the				household	take to
					your	event in the	due to the	of occurance	event?				consumption	recover from
_					household?	year of	event in the year of						expenditures	the event?
Event						occurance	occurance						because of the	
ы							ocourance			major	2nd	3rd	event?	
												activity		(number of
					Interv.:									months; if not
					Read code		THB/1000							yet recovered
	Α		month	year	B 1-4	THB/1000 VND	VND	THB/1000 VND	С	D	D	D	E	fill in "90")
4														
2														
-														
3														

\*/ If the shock affects more than one person, please don't fill in Q2a.

Code B	Code D
1 High	1 Did nothing
2 Medium	Economics
3 Low	2 Took up additional occupation
4 No impact	3 Diversify agricultural portfolio
98 no answer	4 Substitute crops
99 not applicable	5 Reduced production inputs
	6 Demographics
	7 Took children out of school
	8 Sent children to relatives/friends
	9 Adult migrated to look for job
	10 Adult migrated to live with relatives/friends
	11 Adult migrated to marry
	Sale
	12 Sold livestock
	13 Sold land
	14 Sold storage (e.g. rice)
	15 Sold other assets
	Borrowing and Savings
	14 Used savings
	15 Used insurance
	16 Borrowed from relatives
	17 Borrowed from friends/neighbours
	18 Borrowed from pawnshop
	19 Borrowed from informal money-lender
	20 Borrowed from village funds
Code E	21 Borrowed from commercial bank
1 yes	22 TH: Borrowed from BAAC/Coop. Bank
2 no	23 TH: Borrowed from Government Savings Bank
98 no answer	24 TH: Borrowed from Village bank
99 not applicable	25 VN: Borrowed from VBSP
	26 VN: Borrowed from VBARD

#### Grants

28 Help from government 29 Help from NGOs 30 Help from relatives 31 Help from friends/neighbours 90 Other, specify 98 no answer 99 not applicable

#### Code C

1 no other HH 2 some other HH

3 most HH in village 4 most HH in district 5 most HH in province 6 most HH in country 98 no answer 99 not applicable

### Section 3.1 : Shocks

#### b. Was your household affected by any of the following events between 05/08 and 04/10?

(Info: Read out all events. If some events occured more than once, use empty rows at the bottom to record all.)

#### \*/ If the shock affects more than one person, please don't fill in Q2a.

1	<sup>2</sup> / If the shock affects more than one perso	2a		3a	4. 4	5a	5b	6a	7	8	9	10	11	12
Event ID > 3	Type of event	HH- Mem ber- ID */	When did event oc	d the	Estimated severity of the event	Estimated total loss of income due to the	Estimated total extra expenditure due to the event in the	Estimated loss of assets due to the event in the year of occurance	Aside from your HH who else was affected by the event?	Coping wit	activity th the ev 2nd activity	to deal rent 3rd	Did the	How many months did it take to recover from the event? (number of months; if not yet
			month	year	Interv.: Read code B 1-4	THB/1000 VND	THB/1000 VND	THB/1000 VND	С	D	D	D	Е	recovered fill in "90")
	1 Illness of household member													
	3 Household member left the household													
	4 Person joined the household													
	5 Money spent for ceremony in the													
	24 Accident													
	38 Law suit													
	6 House damage													
	7 Theft													
	8 Conflict with neighbours in the village													
	9 Relatives/Friends stopped sending													
_	remittances													
	10 Flooding of agricultural land													
	11 Drought													
	12 Unusually heavy Rainfall													
	13 Crop pests													
	14 Storage pests (including rats)													
	15 Livestock Disease													
	16 Landslide, Erosion													
	55 Storm													
	46 being cheated at work/business													
	60 Job loss (agricultural)													
	61 Job loss (non-agricultural)													
	18 Collapse of business													
	20 Strong increase of interest rate on loans													
	21 Strong decrease of prices for Output													
	22 Strong increase of prices for Input													
	23 Change in market regulations													
	57 snow / ice rain (VN)													
	90 Other, specify													

1 Illness of household member 2 Death of household member 3 Household member left the household 4 Person joined the household 5 Money spent for ceremony in the household 57 Accident 38 Law suit 6 House damage 7 Theft 8 Conflict with neighbours in the village 9 Relatives/Friends stopped sending remittances 10 Flooding of agricultural land 11 Drought 12 Unusually heavy Rainfall 13 Crop pests 14 Storage pests (including rats) 15 Livestock Disease 16 Landslide, Erosion 55 Storm 46 being cheated at work/business 60 Job loss (agricultural) 61 Job loss (non-agricultural) 18 Collapse of business 20 Strong increase of interest rate on loans 21 Strong decrease of prices for Output 22 Strong increase of prices for Input 23 Change in market regulations 57 snow / ice rain (VN) 90 Other, specify 98 no answer 99 not applicable

#### Code B

1 Hiah 2 Medium 3 Low 4 No impact 98 no answer 99 not applicable

Code C 1 no other HH 2 some other HH

3 most HH in village 4 most HH in district 5 most HH in province 6 most HH in country 98 no answer 99 not applicable

Code E 1 ves 2 no 98 no answer 99 not applicable Code D

1 Did nothing **Economics** 2 Took up additional occupation 3 Diversify agricultural portfolio 4 Substitute crops 5 Reduced production inputs Demographics 6 Took children out of school 7 Sent children to relatives/friends 8 Adult migrated to look for job 9 Adult migrated to live with relatives/friends 10 Adult migrated to marry Sale 11 Sold livestock 12 Sold land 13 Sold storage (e.g. rice) 14 Sold other assets **Borrowing and Savings** 15 Used savings 16 Used insurance 17 Borrowed from relatives 18 Borrowed from friends/neighbours 19 Borrowed from pawnshop 20 Borrowed from informal money-lender 21 Borrowed from village funds 22 Borrowed from commercial bank 23 TH: Borrowed from BAAC/Coop. Bank 24 TH: Borrowed from Government Savings Banl 25 TH: Borrowed from Village bank 26 VN: Borrowed from VBSP 27 VN: Borrowed from VBARD Grants 28 Help from government 29 Help from NGOs 30 Help from relatives 31 Help from friends/neighbours 90 Other, specify

98 no answer

99 not applicable

### Section 3.1 : Shocks

### c. Shocks stated two years ago from which the household had not recovered when the last interview took place

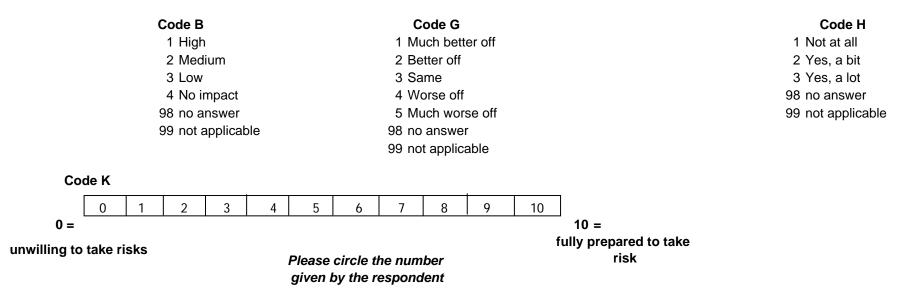
(last year's event ID a	nd type of event to be filled in	previous to interview)

* 1	2	3 4 5		6	7	8	9	10	11	12	
	Type of	When did the	Estimated	Estimated	Estimated loss	Aside from	Coping a	ctivity to	deal with	Did the household	How many months did
	event	event occur?	severity of the	total loss of	of assets due	your HH who	the eve	nt betwee	en 5/08-	still have to reduce	it take to recover from
			event on your	income	to the event in	else was		4/10		household	the event in total?
0			household?	due to the	the year of	affected by				consumption	
t D				event in	occurance	the event?				expenditures	
Event				the year of						because of the	
ш				occurance			moior	0.04	3rd	event between	
							major activity	2nd activity	activity	5/08-4/10?	(number of months; if
			Interv.: Read	THB/1000			activity	activity	activity		not yet recovered fill in
	Α		code B 1-4	VND	THB/1000 VND	С	D	D	D	Е	"90")
			$\sim$								,
			-								
			_		$\times$						

\* Fill in 7 for shocks occured in 2007, or 8 for shocks occured in 2008

### d. Causal relationships between shocks.

21	22	23a	23b	23c
Enumerators: List Event IDs of all shocks mentioned by the household in sections 3.1.a to c	Did shock [event] lead to any of the other shocks you mentioned? (ask for all the shocks indicated by the household in sections 3.1.a and 3.1.c) <i>(if "No" go to next row)</i>	Which of th mentioned were shock <i>(write dow</i>	e consequ [event]	ence of
	_	(inite dell		-0,



### e. Subjective assessment of wellbeing

13a Do you think your household is better off than last year?	G	
13b Do you think you in person are better off than last year?	G	
14a Do you think your household is better off than 5 years ago?	G	
14b Do you think you in person are better off than 5 years ago?	G	
15 How much does your household income fluctuate?	н	if code=1, go to Q17
16 How much do income fluctuations affect the wellbeing of members of your household?	В	
17 What was the best year for your household in the last 5 years?		
18 What was the worst year for your household in the last 5 years?		
19a Do you think your household will be better off next year?	G	
19b Do you think you in person will be better off next year?	G	
20a Do you think your household will be better off in 5 years?	G	
20b Do you think you in person will be better off in 5 years?	G	
24 Are you generally a person who is fully prepared to take risks or do you try to avoid taking risk? (Please choose a number on a scale from 0 to 10)	К	
25 Imagine you had just won 100,000 Baht/ 60 Mio. Dong in a lottery and you can invest this		

25 Imagine you had just won 100,000 Bant/ 60 Mio. Dong in a lottery and you can invest this money in a business. It is equally likely that the business goes well or not. If it goes well you can double the amount invested after one year. If it does not go well you will loose half the amount you invested.

What fraction of the 100,000 Baht/ 60 Mio. Dong would you invest in the business?



1 yes 2 no 98 no answer 99 not applicable

#### Code B

1 1 in 5 years 2 2 in 5 years 3 3 in 5 years 4 4 in 5 years 5 5 in 5 years 6 more than 5 in 5 years 98 no answer 99 not applicable

#### Code C

High
 Moderate
 Low
 No impact
 no answer
 not applicable

#### Code D

1 Crop, plot, livestock diversifiaction 2 Income source diversification 3 Investment in physical and human capital 4 Membership in occupational organisations (e.g. guild) 5 Membership in rotating savings and credit associations 6 Savings accounts in financial institutions 7 Contract insurances 8 Participation in microfinance 9 Sharecropper tenancy 10 Buffer stocks (e.g. storage of food, seeds, money at home) 11 Investment in social capital (networks, associations, reciprocal gift givings, etc.) 12 Old age annuities 13 Preventive health practices (sports etc.) 14 Migration 15 Switch to more secure income sources 16 Collective action for infrastructure, dikes, terraces, irrigation, etc. 17 Common property resource management (of forest, lake, etc.) 18 Investment in security of homestead (watchdog, alarm device, etc.) 19 Investment in travel safety (helmet for motorbike, use more secure means of transportation, etc.) 20 Medical treatment (vaccination, stock medicine etc.) 21 Marriage and extended family 90 other, please specify 98 no answer 99 not applicable

Section 3.2: Risks

Now, please consider the following possible future events:

0	1	2	4	3	3a	13	14	15	16
Risk ID	Type of event		How often, do you think, will [event] occur in next 5 years?	If [ev occured the ne months would impact o house income	d within ext 12 s, what be the on your chold?	Do you do anything to prevent [event] from happening OR to mitigate its impact on your household's income and assets?	What do you do to prevent [event] from happening OR to mitigate its impact on your household's income and assets? (most important strategy only) do not ask if Q13=no	prevent/mitigate? (incl. forgone income) do not record expenses twice	If the same measure is taken to prevent/mitigate for another type of risk and costs have been recorded there, record the code of the event type here
		Α	В	С	С	A	D	THB/1000 VND	
1	1 Illness of household member								
2	3 Household member left the household								
3	4 Person joined the household								
4	5 Money spent for ceremony in the household								
5	24 Accident								
6	38 Law suit								
7	6 House damage								
8	7 Theft								
9	8 Conflict with neighbours in the village								
10	9 Relatives/Friends stopped sending remittances								
11	10 Flooding of agricultural land								
12	11 Drought								
13	12 Unusually heavy Rainfall								
14	13 Crop pests								
15	14 Storage pests (including rats)								
16	15 Livestock Disease								
17	16 Landslide, Erosion								
18	55 Storm								
19	46 being cheated at work/business								
20	60 Job loss (agricultural)								
21	61 Job loss (non-agricultural)								
22									
23									
24									
25	22 Strong increase of prices for Input								
26	23 Change in market regulations								
27	57 snow / ice rain (VN)								

#### Code E

1 Do nothing

Economics

- 2 Take up additional occupation
- 3 Diversify agricultural portfolio

4 Substitute crops

5 Reduce production inputs Demographics

6 Take children out of school

7 Send children to relatives/friends

8 Adult migrate to look for job

- 9 Adult migrate to live with relatives/friends
- 10 Adult migrate to marry

#### Sale

- 11 Sell livestock
- 12 Sell land
- 13 Sell storage (e.g. rice)
- 14 Sell other assets

#### Borrowing and Savings

15 Use savings

16 Use insurance

- 17 Borrow from relatives
- 18 Borrow from friends/neighbours
- 19 Borrow from pawnshop
- 20 Borrow from informal money-lender
- 21 Borrow from village funds
- 22 Borrow from commercial bank
- 23 TH: Borrow from BAAC/Coop. Bank
- 24 TH: Borrow from Government Savings Bank
- 25 TH: Borrow from Village bank
- 26 VN: Borrow from VBSP
- 27 VN: Borrow from VBARD

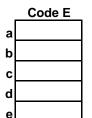
#### Code E (continued) Grants

- 28 Help from government
- 29 Help from NGOs
- 30 Help from relatives
- 31 Help from friends/neighbours
- 90 Other, specify
- 98 no answer
- 99 not applicable

### Section 3.2: Risks

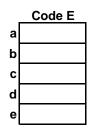
Now, please consider the following possible future events:

5 Suppose you would suddenly need 5,000 THB/1.5 Mill VND. Would you do any of the following things?



- Info: Read out list in Code E and note all that apply.
- 7 How many days would you need to get this amount?
- 11 Suppose you would suddenly need 60,000 THB/15 Mill. VND. Would you do any of the following things?

Info: Read out list in Code E and note all that apj



10 How many days would you need to get this amount?

### 21a Consider the following two days:

Day A: Just a normal day, nothing special happens.

Day B: You win an amount of 1,000 THB in the lottery. However, later, your TV set breaks down and the repair costs you X.

How much could X be at most such that you would still say that Days A and B make you equally happy?

THB/1000 VND

22 Consider the following two days:

Day A: You win an amount of 1,000 THB in the lottery.

Day B: You win an amount of 2,000 THB in the lottery. However, later, your TV set breaks down and the repair costs you X  $\,$ 

How much could X be at most such that you would still say that Days A and B make you equally happy?

THB/1000 VND

23 Consider the following two days:

Day A: Your TV set breaks down and the repair costs you 1,000 THB.

Day B: Your TV set breaks down and the repair costs you 2,000 THB. But later you win an amount of X in the lottery.

How large should X be such that you would say that Days A and B make you equally happy?

THB/1000 VND

- 1 residential use
- 2 rented out
- 3 rice and field crops
- 4 permanent crops
- 5 pasture
- 6 aquaculture or pen
- 7 forest (planted)
- 8 vacant land
- 9 business establishment
- 90 Others, specify
- 98 no answer
- 99 not applicable

### Code B

Use for row 1:

- 11 house and homestead land owned
- 12 house rented, homestead land owned
- 13 house owned, homestead land rented
- 14 house rented, homestead land rented

Use for other rows:

- 1 owned plot (unmortgaged)
- 2 mortgagor
- 3 sale-
- 4 rented for fixed rent
- 5 share

### 6 mortgagee

7 sale-redeemer

- 8 rented from relatives, no rent paid
- 9 rented from non-relatives, no rent paid
- 90 Others, specify
- 98 no answer
- 99 not applicable

### Code C

1 Title deed, NS 5, NS3, NS3K
 2 SPK 4-01, NK, STK, KSN, PBT5
 3 NS2, SK1
 4 no documents
 90 Others, specify
 98 no answer
 99 not applicable

### Code D

bought
 inherited
 obtained as a present
 collateral seized
 land claimed
 government allocated
 Trade with another land
 Others, specify
 no answer
 not applicable

Code F

3 irrigated (well)

90 Others, specify

99 not applicable

98 no answer

4 irrigated (pipe/tap)

5 Irrigated (gravity)

2 irrigated (artesian well)

6 pumped from public irrigation canal

1 rainfed

### Code H

- 1 Jasmin rice (milled)
- 2 Jasmin rice (paddy)
- 3 Other fragrant rice (milled)
- 4 Other fragrant rice (paddy)
- 5 Glutinous rice (milled)
- 6 Glutinous rice (paddy)
- 7 Non-glutinous rice (milled)
- 8 Non-glutinous rice (paddy)
- 9 Vegetables
- 10 Mixture

### Code E

1 in the same village/commune 2 in the same district (rural area) 3 in the same district (urban area) 4 in the same province (rural area) 5 in the same province (urban area) 6 in another province (rural area) 7 in another province (urban area) 9 in Bangkok 10 in Hanoi 11 in Ho Chi Minh City 20 Laos 21 Cambodia 29 Other Asian country 90 Other country 98 no answer 99 not applicable

### Code G

- 1 yes
- 2 stopped cultivating
- 3 stopped renting in
- 4 sold
- 5 wrong information from last wave

### 4.1: Land

Next, we want to learn about your household's landholding including land rented out or in and the property status of your homestead. Note: Home gardening should be included in Section 4.2

1 Do you possess land or use land for agriculture or gardening?

1 yes	;
-------	---

2 no, ask only for the homestead and go to Section 4.3

Please report the household's land and the area used for agriculture of 1 May 2009 separately for each parcel. Please start with the homestead.

2	3	3a	4		5	6		7		8	9a	10 11f 11g				12	13	14
Lan		Does the	Main land	use	Tenure Status			How w		When did	What is the				vhether	Location	Distance	Source
d		househol				Land		the lar		you	current value	ren	ted out o				from	of Water
parc		d still use				docum	ent	obtaine	ed?	purchase	of the land if	in eesk		in kino	•		home-	supply?
el		or own this land			for 1st row if code>12 &			if code >	1	the land?	you wanted to	in cash type		total value		stead		
no.		parcel?			other rows if			then go t			sell/buy it?			of in-				
	TH:	parcers			code>3 go to			ge t 9a						kind				
	rai,				Q9a													
	VN: 1000													THB/100		(km)		
	m <sup>2</sup>	G	А		В	С		D		(year)	THB/Million VND			0 VND	Е		F	
1			homestead	1													0	99
2																		
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		

#### Section 4.2

### Code A

- 101 Jasmine rice
- 102 Other fragrant rice
- 103 Glutinous rice
- 104 Non-alutinous rice (not Jasmine or fragrant!)
- 201 Corn (fodder)
- 202 Corn (human
  - 3 Peanuts
  - 4 Soybeans
  - 5 Mungbeans
  - 6 Cassava
- 701 Sugarcane (processing)
- 702 Sugarcane (human consumption)
  - 8 Kenaf
  - 9 Vegetables
- 10 Para rubber 11 Coffee
- 12 Pepper
- 13 Fruits
- 14 Tea
- 15 Cashew nuts 16 Grass
- 17 Flower
- 19 Eucalyptus
- 20 Bamboo
- 21 Tobacco
- 22 Mulberry
- 23 Kapok
- 25 Oil palm
- 26 sweet potato
- 27 gluey tree
- 28 Cajuput tree
- 30 areca-nut tree
- 31 cotton tree

- Code C 0 not relevant 11 Fragrant rice 12 Non-glutinous rice 13 Glutinous rice 14 Bio rice <sup>21</sup> Fodder maize - w/o specification 22 Sweet corn (cv. Super Sweet) 23 Glutinuous corn 97 don't know 171 Marigold 172 Plumeria 173 Lotus 901 Shallot 902 Onion 903 Garlic 904 Galgant 905 Pumpkin 906 Mushroom 907 Home vegetable garden 908 Cucumber 909 Sweet basil 910 Lady finger/ finger root 911 Ginger 912 Chinese mustard 913 Chinese kale 914 Yard long bean 915 Waxgourd 916 Lemon basil 917 Asiatic pennywort 918 Sesban agasta
- 919 Cauliflower
- 920 Cabbage
- 921 Chinese chive 922 Lead tree

Code C (continued) 923 Spring onion 924 Lemongrass 925 Turmeric 926 Cassod tree 927 Indian long pepper 928 Acacia leaf 929 Siam tulip 930 Betel nuts 931 Ivy gourd 932 Angled gourd 933 Cratoxylum formosum (local vegetable) 934 Chinese mustard 935 Chinese cabbage 936 Coriander 937 Thai parsley 938 Morning glory 939 Dill 940 Cowslip creeper 941 Chilli 942 Eggplant/Aubergine 943 Tomato 944 Kafir lime 945 Lime

- 946 Bitter aourd 947 Water cress 948 Caraway 949 Neem tree 950 Stink bean 951 Kitchen mint/ Peppermint leaves
- 952 Bamboo shoot 953 Water mimosa
- 954 Celery
- 955 Broccoli
- 956 Asparagus
- 1301 Banana

### Code C (continued) 1302 Jackfruit 1303 Taro 1304 Dragon fruit 1305 Santol 1306 Rose apple 1307 Watermelon 1308 Muskmelon 1310 Custard apple 1311 Coconut 1312 Guava 1313 Jujube 1314 Tamarind 1315 Mango 1316 Star gooseberry

### Code B

99 not applicable

1 Tonnes 2 Kilogram 3 Ta 4 1 Kwian = 1,000 kg. 5 1 Hap(small) = 60 kg.6 1 Hap(big) = 100 kg.7 1 Muen (rice) = 12 kg. 8 1 Lit (rice) = 0.75 kg. 9 1 Thang (paddy) = 10 kg. 10 (rice, bean 11 1 kg.(dry cassava)=2.2 kg.(fresh cassava) 12 1 kg.(cassava pellet)=2.4 kg.(fresh cassava) 13 1 kg.(cassava meal)=4.5 kg.(fresh cassava) 14 m<sup>3</sup> 16 Piece 17 Rai 27 1000 square metres 90 Others, specify 98 no answer

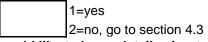
#### Code C (cont.)

1317 Papaya 1318 Sapodilla 1319 Longan 1320 Orange 1321 Pomelo 1322 Cantaloup 1323 Rambutan 1324 Water olive 1801 Teak 1802 Red cotton tree 1803 Eaglewood 1804 Timber wood 90 Others, specify 98 no answer

99 not applicable

### Section 4.2: Agriculture

1. Did you grow rice, field crops, garden crops or permanent crops or forest between 5/09and 4/10?





Next, we would like to know details about your agricultural activities, i.e. crops grown, cost and revenue from agriculture and home gardening.

Please list the crops you planted between 5/09 and 4/10 starting with the most important one in terms of area planted.

0	2		3a	4	5	6	8	9	10	11	11b	12	13	12a	13a
□	Crop		Variety-	Planted	Area	Planting	End of	Unit for	total			Quantity	y used for		
Crop ID	A		where applicabl e	on parcel	planted	period from (in case of perennial or crops growing longer than 1 year: year of planting)	Don't ask in case of perennial or crops growing >1 year		production of main product	con- sumption	give- away	household processing	in kind payments for labour, machine rental, loan repayments	animal feed	seeds (reserve d)
		Α	С	4.1)	1000m <sup>2</sup>	month	month	В	Quantity	Quantity	Quantity	Quantity	Quantity	Quantity	Quantity
				,											
															<u> </u>

101 Jasmine rice 102 Other fragrant rice 103 Glutinous rice 104 Non-glutinous rice (not Jasmine or fragrant!) 201 Corn (fodder) 202 Corn (human consumption) 3 Peanuts 4 Soybeans 5 Mungbeans 6 Cassava 701 Sugarcane (processing) 702 Sugarcane (human consumption) 8 Kenaf 9 Vegetables 10 Para rubber 11 Coffee 12 Pepper 13 Fruits 14 Tea 15 Cashew nuts 16 Grass 17 Flower 19 Eucalyptus 20 Bamboo 21 Tobacco 22 Mulberry 23 Kapok 25 Oil palm 26 sweet potato 27 gluey tree 28 Cajuput tree 30 areca-nut tree

31 cotton tree

#### Code D

1 paddy rice 2 milled rice 3 fresh 4 dried 5 rubber sheets 6 timber 9 leaves 10 latex 11 shredded rubber 12 scion 13 seeds 14 shredded cassava 16 cooked 17 branches/ leaves 90 Others, specify 98 no answer 99 not applicable

#### Code C

1 owned 2 rented 3 borrowed (no fee paid) 98 no answer 99 not applicable



Note: If expenditures cannot be allocated to specific crops, note the total and indicate the crops to which expenditures pertain.

15 15b 19 20 2 14b 16b 17 18 21 22 Crop ID o 14 16 Sale 2 Expenditures for land preparation for Expenditures for seeds Crop Sale 1 Expenand seedlings and ditures for this crop Price for Specify the Price for Specify the planting hand product the product the weeding type/quality production type/quality sold production variable \*hired labor seeds and machine \*hired (hired sold sold sold used is ... incl. food, seedlings labor incl. machine labor)\* drinks food, cost drinks (e.g. paddy, milled rice (e.g. paddy, THB/1000 milled rice etc.) etc.) VND per THB/1000 THB/1000 THB/1000 THB/1000 THB/1000 THB/1000 Α D Quantity D Quantity С VND VND VND VND VND VND per unit unit

\* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

- 101 Jasmine rice
- 102 Other fragrant rice
- 103 Glutinous rice
- 104 Non-glutinous rice (not Jasmine or fragrant!)
- 201 Corn (fodder)
- 202 Corn (human consumption)
  - 3 Peanuts
  - 4 Soybeans
  - 5 Mungbeans
  - 6 Cassava
- 701 Sugarcane (processing)
- 702 Sugarcane (human consumption)
- 8 Kenaf
- 9 Vegetables
- 10 Para rubber
- 11 Coffee
- 12 Pepper
- 13 Fruits
- 14 Tea
- 15 Cashew nuts
- 16 Grass
- 17 Flower
- 19 Eucalyptus
- 20 Bamboo
- 21 Tobacco
- 22 Mulberry
- 23 Kapok
- 25 Oil palm
- 26 sweet potato
- 27 gluey tree
- 28 Cajuput tree
- 30 areca-nut tree
- 31 cotton tree
- 90 Others, specify
- 98 no answer
- 99 not applicable

## 30 Do you usually store part of your agricultural production?

1=yes 2=no, go to section 4.3

			* Interview	ver: for labour	cost ask: n	o. of laborers,	days, rate p	per man day					if yes: Ple	ease list:	
0	2		23	24	25	26	27	28	29	36	29a	30a	31	32	33
Crop ID	Crop			ditures for application *hired labor incl. food,	pesticides insecti	ditures for s (herbicides, icides and s, snail killers)	harvestir threshing	ditures for ng including (if required)	Irrigation expen- ditures	Other expenditur es (including processing	Total expenditure s (If don't know the details)	Storage ID	What do you store?	How much stored as of today (date of the interview)?	Please estimate its current value if you had to sell
				drinks	materials	incl. food, drinks	machiner y cost	incl. food, drinks		)					it?
		Α	VND	THB/1000 VND	THB/1000 VND	THB/1000 VND	VND	THB/1000 VND	THB/1000 VND	THB/1000 VND	THB/1000 VND		А	(kg)	THB/1000 VND
												1			1

1 Buffalo (no.) 2 Beef cattle (no.) 3 Dairy cattle (no.) 4 Pig (fattening) (no.) 5 Pig (piglet production) (no.) 6 Goat (no.) 7 Chicken (no.) 8 Fighting cocks (no.) 9 Duck (no.) 10 Silk worms (m2) 14 Tiger prawns/fresh water prawn (kg) 15 Tilapia (kg) 16 Carp (kg) 19 Wild pig (no.) 20 Milkfish (kg) 21 Turkey (no.) 23 Frog and toad (no.) 24 Locust (kg) 25 Catfish (kg) 26 carp, amur (kg) 27 dove (no) 28 Carp (kg) 31 cat (no) 32 rabbit (no) 33 bee (colonies) 34 stag (no) 35 elephant (no) 91 Local carp (kg) 92 Snake-head fish (kg) 94 dog (no) 90 Others, specify

### Section 4.3: Livestock and aquaculture

### 4.3.1 Stocks

1 Did you keep any of the stocks listed below between 5/09 and 4/10?

1=yes 2=no, go to section 4.4

### Please list the stocks you kept since May 2009

0	2		3	3a	4	5	5a	6	6a	7	7a	8	10	9	9a	12	13	14	15	16
	Animal		Stock					Chan	ges over						at the	Cash ex	penditure	es between	5/09 ar	d 4/10
Ð	species/pro on activit		the pe	ning of riod (1	A	Additions	5			Disp	osals			ena c	of 4/10					
Livestock ID	on dour	.,		2009)	Births	Purcha	ses or	Losse	s (e.g.	Ho	me	Sa	ales							
ives						receive	ed in	death	i, theft)	consu	Imption					veterinar				
		•		I		kind	1		1		1					for		y	hired	
		Α	(units)	(value)	(units)	(units)	(value)	(units)	(value)	(units)	(value)	(units)	(value)	(units)	(value)	restocking	feed	treatment	labor	others
																				1

Milk (VN:I)
 Chicken Eggs (pieces)
 Duck eggs (pieces)
 Silk (kg)
 Silkworm pupae (kg)
 honey (Lit.)
 stag honey (pieces)
 others, specify

# 4.3.2 Livestock Products

1 Did you get livestock products between 5/09 and 4/10?

1=yes 2=no, go to section 4.4

# Now, please list and quantify livestock products produced between 5/09 and 4/10.

0		,	4	5	5a	6	7	8	9	10
Livestock Product ID	Livestock products		Total Production	Home con	sumption	Quantity sold	Sales value		ash cost of inpu THB/1000 VND Other	
		А	(individual unit)	(individual unit)	THB/1000 VND	(individual unit)	THB/1000 VND	packaging and storage	Specification of other inputs	Other cash costs

# Code A 1 fishing 2 hunting/catching 3 collecting 4 logging 98 no answer 99 not applicable

#### Code B

Lake
 Dam
 Pond
 River
 Canal
 Forest
 Vacant land
 Ocean
 crop land
 Others, specify
 no answer
 not applicable

#### Code C

community
 government
 private persons
 private corporations
 this household
 nobody
 Others, specify
 no answer
 not applicable

Code D

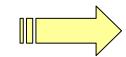
1 Tilapia 2 Catfish 3 Snakehead fish 4 Shrimp 5 Mudfish 6 marine fish... 7 Cuttlefish 8 marine fish... 9 marine fish... 10 other marine fish 11 other animals 12 timber products 13 fire wood 14 honey 15 mushrooms 16 wild plants 17 firewood for making charcoal 18 fish for processing 100 Frog and toad 101 Rat 102 Mollusk 103 Red ant's eggs 104 Gem 105 Lizard 106 Fruits 107 Crab 108 Snake 109 Locust and grasshopper

110 Bird
111 Local carp (Pla Tapian)
112 Vegetable and bamboo
113 Other insects
114 giant water bug
115 Squirrel
116 White fish
117 Other fish
118 Animal eggs
119 Sand goby
120 Common carp
90 others specify
98 no answer
99 not applicable

# Section 4.4: Fishing, hunting, collecting, logging

1 <u>Is your household involved in fishing, hunting, collecting or logging?</u>

1 yes 2 no, go to section 5



# Please report for the period from 5/09 to 4/10: \* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

1a	2	3	4	5	6	8	9	10	11	12	12a	13	
Activity ID	Type of	Where do you	Who	Payment for	lf no	What is the		How often	Fuel cost	*Hired	Other	Type of	
/ity	activity	conduct any of	controls	access in cash or	payment,	season for the		do you	between	labor cost	variable	produce	
ctiv		these activities?		kind per	please	between 05		conduct the		last year	costs	extracted	
A			this resource		specify	04/10	?	activity	4/10	in cash			
				kind, pls. estimate	access			during a		and in kind			
				the value)	regulations			season?					
							1						
	А	В	С	(0 if no payment)		from (month)	to (month)	(days/season)	THB/1000 VND	THB/1000 VND	THB/1000 VND		D
								(uays/season)	VIND	VIND	VIND		
1													
2													
3													
4													
5													
6													
7													
8													
9													
10													
11													
12													
13													
14													

# Code E

1 ton 2 kg 3 Ta 4 m<sup>3</sup> 5 gram 7 cart load/truck load (only use it for wood) 8 bundle 10 piece 14 Muen (12 kg) 15 Bottle 90 others specify

# \*15a: Whenever possible convert to kg

2	14	*15a	18	16	16a	17a
ID	Total output between 5/09 and 4/10	Specify Unit	Quantity sold (use unit of 15a!)	Quantity consumed (use unit of 15a!)	Value of home consumption	Value of total output
		Е			THB/1000 VND	THB/1000 VND
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						

# 3 Fisher

Code A

Aariculture

2 Logger

# Industry worker

1 Agricultural wage labourer

4 Food processing 5 Textile, Apparel 6 Electronics 7 Wood Products 8 Furniture 9 Metal Products and Machinery 66 rubber production 67 brickyard 10 other industry 18 Miner, Quarryman 19 Construction worker Service 21 Watchman 22 Carwasher 24 Shoemaker 25 Barber 26 Tailor 27 Vendor / Salesman 28 Cook 29 Waiter 30 Cleaner 31 Housemaid 32 Driver 33 Carpenter 34 Mechanician 35 Electrician 36 Plumber

- 57 accountant / bank clerk
- 71 Tourist guide
- 37 Other service worker

# Section 5: Off Farm employment

# Code A (continued)

Public Sector 38 Nurse (public clinic)

#### 68 Nurse (private clinic)

39 Policeman
40 Teacher
41 Soldier
72 central governmental administration
73 local government administration
74 Other public servant
90 Other, specify
98 no answer
99 not applicable

# Code D

1 in the home village/commune 2 in the same district (rural area) 3 in the same district (urban area) 4 in the same province (rural area) 5 in the same province (urban area) 6 in another province (rural area) 7 in another province (urban area) 9 in Bangkok 10 in Hanoi 11 in Ho Chi Minh City 20 Laos 21 Cambodia 29 Other Asian country 30 Australia and Oceania 35 Europe 40 North America 90 Other countries 98 no answer 99 not applicable

#### Code F 1 Walk 2 Ox cart 3 Bicycle 4 Motorcycle 5 Own car 6 Taxi / hired car 7 Company bus/ pick-up 8 Public bus 10 Train 11 Airplane 12 Boat 90 Other, specify 98 no answer 99 not applicable

#### Code G

Unlimited (written contract)
 Unlimited (verbal agreement)

3 Limited (written contract)4 Limited (verbal agreement)

90 Other, specify98 no answer99 not applicable

#### Code B

1 yes 2 no 98 no answer 99 not applicable In this section and in the following section we would like to talk about all off-farm employment that your household members have maintained between 5/09 and 4/10. By off-farm employment we refer to all activities not related to agricultural production on your own farm.

First, we ask you about the wage-employed activities, for example: agricultural worker on other farms, factory worker, construction worker, service worker ... ).

1 Has anyone of your household members worked as a wage-employee between 5/09 and 4/10

1 yes 2 no, go to next section

person (s)

# 2 How many members of your household have worked as a wage-employee between 5/09 and 4/10?

Interviewer: Use separate rows for each off-farm occupation for each household member.

f Estimate the cost of a one way trip to	t of [Na	/ far is ame]	What is	If you had
	] 's home of and k long o take to	l how does it <mark>o reach</mark>		the choice, which type of contract do you prefer?
THB/1000 VND		hours	G	G
	or place worl	or place of and work long take t	THB/1000	or place of work long does it take to reach it?

#### Code I

Job experience
 gender
 age
 family and friends
 primary school
 lower secondary school
 upper secondary school
 upper secondary school
 university degree
 technical/ computer skills
 foreign languages skills
 vocational skills/ training
 political party membership
 place of residence

17 vocational degree20 Good Health90 Other, specify98 no answer

99 not applicable

#### Code B

1 yes 2 no 98 no answer 99 not applicable

#### Code H

1 On my own
 2 Media (newspaper, tv, internet...)
 3 Family and friends
 4 Private job agency
 5 Public job agency
 90 Other, specify
 98 no answer
 99 not applicable

#### Code J

- 2 Day 4 Month 5 Year 6 lumpsum payment 90 Other, specify
- 98 no answer
- 99 not applicable

#### Code K

- 1 accomodation
- 2 food
- 3 transport
- 4 insurance
- 8 education fee for children

16 bonuses

- 90 Other, specify
- 98 no answer
- 99 not applicable

2a	3	4	15	16	17	18	19	21	22	23	25	26	27	27b	27c	28	28a	29	30
			How did you know about the job?	How much was the agency fee?	How long did it take to find	importai for [Na	the most nt reason ame] to this job?	If Q18 or 19 is (1)	Cash in (inclue regu bonu	ding Ilar	non inc bene	job inc -monet comes fits, ple cify wh	tary or ease	If free meals are included, estimate the value	If job involves irregular bonuses, pls.	Average number of hours worked per day	Average number of days worked per	Number of months worked per job	Will [Name] also work in this job
Occupation I.D.	HH Member I.D.	Occupation No.	if < (4) go to Q17		this job?			How many years of job experience does [Name]						per day	estimate the value per year	in this job between 5/09-4/10	month in this job between 5/09-4/10	between 5/09 - 4/10	between 5/10 - 4/11?
			H	THB/1000 VND	months	most important I	other I	have in this job?	THB/100 0 VND	Time unit <b>J</b>	1 <b>K</b>	2 <b>K</b>	3 <b>K</b>	THB/1000 VND	THB/1000 VND				В
		-																	
		-																	

Agriculture related

28 Agricultural services 46 Fisher

# Production

- 1 Rice mill
- 2 Silk spinnery/weaving
- 3 Mat making
- 4 Basket making
- 5 Brickyard
- 6 Pottery

#### **Trade, Transport and Communication**

- 7 Retail-Shop (sales store)
- 8 Petty trader (sales on street)
- 9 Wholesale
- 10 Taxi and transport
- 11 Internet-cafe

#### Hotel and Food

12 Hotel / guesthouse

- 13 Restaurant/ Bar
- 14 Foodstall operator
- 15 Butchery
- 16 other small scale food processing incl. alcohol production

#### **Crafts and Services**

- 17 Hair salon / barber
- 18 Repair shop
- 19 Carpenter
- 20 Mechanican
- 21 Electrician
- 22 Plumber
- 23 Shoe-maker
- 24 Tailor
- 25 Car-washing
- 26 Shoe-cleaner
- 37 Handicrafts/Carver
- 32 Doctor
- 47 construction
- 48 cement tile yard
- 49 carver
- 90 other, specify
- 98 no answer
- 99 not applicable

#### Code B

- 1 Sole proprietorship
- 2 Private Limited Company
- 3 Public Limited Company
- 4 Limited Partnership
- 5 Partnership
- 6 HH-enterprises 7 Informal
- 90 Other, specify
- 98 no answer
- 99 not applicable

# Code D

- in the home village/commune
   in the same district (rural area)
   in the same district (urban area)
   in the same province (rural area)
   in the same province (rural area)
   in another province (rural area)
   in another province (rural area)
   in another province (urban area)
   in Bangkok
   in Hanoi
   in Ho Chi Minh City
   Laos
   Cambodia
   Other Asian country
   Other countries
   n oanswer
- 99 not applicable

#### Code F

- 1 Walk 2 Ox cart 3 Bicycle 4 Motorcycle 5 Own car 6 Taxi/hired car 7 Company bus/ pick-up 8 Public bus 10 Train 11 Airplane
- 11 Airpia 12 Boat
- 90 Other, specify 98 no answer
- 99 not applicable

- CodeG
- 1 yes 2 no 98 no answer 99 not applicable

# Section 6: Non-farm self-employment including cottage industries

In this Section we would like to talk about all non-farm self-employed activities your household members have maintained between 5/09 and 4/10.

By non-farm self-employment we mean that your are an own-account worker without employees (e.g.: handicraftman, petty-trader, mat-making, ... ) or that you are running an own business with family workers or other employees (e.g.: restaurant owner, shop owner, hair salon, taxi/bus owner, rice miller, coffee roasting, ... ).

	1	Ha	s any of this I	hous	ehold's memb	ers been en	igaged in non	-farm s	elf-en	nloyment be	tween 5/09	and 4/10?				yes no, go to ne	xt section	
	2	Ho	w many hous	ehol	d members we	ere engaged	in non-farm	self-em	ployn	nent betweei	n 5/09 and	4/10?			person	n (s)		•
2a	3	4	5	5a	6	7	8	10	10a	9	11a	12	12a	13	14	15	16a	16b
Business I.D.	HH Member I.D. (head of business)	Business No.	Describe the T of Business words		Since when do you run this business? if less than 1	Amount of initial investment	Form of organisation (legal form)	Where the busine locate Name City Provin Cour	ess ed? e of / nce/	Is [Name] commuting daily from home village to his/her place of business?	How many times does [Name] return home per year?	What kind of vehicle does [Name] use for commuting/ travelling for	How much does a one- way-trip cost to reach the place of business?	How f [Name] of bus from h village how lon it tak reac	place iness nome e and g does e to	Does [Name] have employees (including family members)?	Numb emplo perso	yees
Bus	HH Member I.	Bus		Α	Year (BE/CE)	THB/1000 VND	В	if 1 gc Q1		lf 1 go to Q12 <b>G</b>		returning home? F	THB/1000 VND	km	hours	If 2 go to Q22 <b>G</b>	Family members	Non- family member s
																	<b> </b>	
-																	<b> </b>	
																	<b> </b>	
																	<u> </u>	
																	1	

#### Code H

11 2 2-5 3 6-10 4 More than 10

# Code I

- 1 Consumer 2 Trader 3 Manufacturer 90 Other, specify 98 no answer
- 99 not applicable

#### Code J

1 Subcontract 2 Non-subcontract 3 Both 90 Other, specify 98 no answer 99 not applicable

#### CodeG

1 yes 2 no 98 no answer 99 not applicable

For each business fill in Small Scale Business Survey Form (6.1) after completing this section.

2a	3	4	17 18 19 20	21	22	23	24	25	26	27a	29	30	30a	31	32	33	34	35 36a	38	39	40a	40b
			Number of	Monthly	No. of	Main	Type of		ere a		Volume	Does	Average	Does your	Average	Costs for	V	Vhere	Average	No. of	Average	Average
			contract types	payroll	custo-	type	business		stome		of sales	[Name]		household	monthly	input		does	monthly	months	number of	number
	ss)		with	(average	mers	of	relationshi	lo	cated	d?	(average		monthly	consume	value of	purchases		me] buy	cash	engaged	days	of hours
	ine		employees	per		custom					per		value of	parts of	self-	(average	his	inputs?	profit(+)/l	in this	worked	worked
	snq		(in absolute	month)		ers	customer		(in %	)	month)?	returns	in-kind	[Name]´s	consumed	per	(ir	n % of	oss(-)	business		per day in
Ö	of	o. No	numbers)									as well?	returns	production	products	month)?		/alue)	between	between	in this	this
	ead	ŝ	<del>Q</del>						1										5/09 and 4/10	5/09 and 4/10	business between	business between
nes	<del>ц</del>	Sec	act)							d)								a a		4/10	5/09and	5/09and
Business I.D	<u> </u>	Business	coni bal ontr							nce								u C			4/10	4/10
ш	HH Member I.D. (head of business)	щ	nited (written con unlimited (verbal agreement) ted (written contr ad (verbal agreen					ರ	province	<sup>o</sup> vi							ರ	ovi			1/10	1/10
	lem		vritt ed ( eerr itte					district	ovir	Id e							district	province ide provi				
	≥ ⊥		d (v imit agre (wi ver					σ	pre	side							σ	pre side				
	т		nlimited (written contract unlimited (verbal agreement) limited (written contract) mited (verbal agreement							outside province					TUD/4000	TUD/4000		province outside province				
			unlimited (written contract) unlimited (verbal acreement) limited (written contract) limited (verbal agreement)	THB/100 0 VND	н	1	J			Ŭ	THB/1000 VND	G	THB/100 0 VND	G	THB/1000 VND	THB/1000 VND			THB/100 0 VND			
	╉			UVIND								-			VIND	VIND						
-																						
	$\left  \right $																					
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$\vdash$	$\left  \right $																					

Land and buildings

- 1 Farm land
- 2 Residential land
- 3 Land for business purposes
- 4 Barn
- 5 Cow stable
- 6 Pig stable
- 7 Chicken stable
- 8 Pond
- 9 Storage facilities
- 10 Office
- 11 Shop
- 12 residential building
- 13 other real estate, specify

#### Farm and business equipment

- 14 Tractor 2 wheel 15 Tractor 4 wheel 16 Rice mill 17 Threshing machine 18 Water tank (field) 19 Water pump 20 Pipe 21 Engine spray 22 Knapsack spray 23 other farm equipment, specify
- 24 Fencing
- 25 Boat
- 26 Nets
- 27 Traps
- 28 Trawlers
- 29 other fishing equipment, specify

30 furniture for shop

- 31 non farm machinery
- 32 other non-farm equipment, specify

# Section 6.2: Investment

Code A (cont.) Vehicles 33 Truck 34 Pick up 35 car 36 Motorcycle 37 Bicycle 38 vending cart 39 other vehicles, specify

# Livestock/ permanent crops 40 Buffalo 41 Beef cattle 42 Diary cattle 43 Pig (fattening) 44 Pig (piglet production) 45 Goat 46 Chicken 47 Duck 48 other livestock, specify 49 Para rubber plantation 50 Coffee plantation 51 Eucalyptus plantation

- 52 Tea plantation 53 other permanent crops
- 90 Others, specify

# Code B

- 1 m2 (for buildings) 2 HP (for tractors)
- 3 I (for tanks and sprays)
- 4 l/ min (for water pump)
- 5 m (pipe)
- 90 Others, specify

# Code C

0 next to house 1 In the same village/commune 2 In the same district (rural area) 3 In the same district (urban area) 4 In the same province (rural area) 5 In the same province (urban area) 98 no answer 6 In another province (rural area) 7 In another province (urban area) 9 In Bangkok 10 In Hanoi 11 In Ho Chi Minh Citv 20 Laos 21 Cambodia 29 Other Asian country 30 Australia and Oceania

35 Europe 40 North America 90 Other country, specify 98 no answer 99 not applicable

# Code K

1 Most of them in the same village 2 Most of them in a provincial city 3 them in

- 90 Others, specify
- 98 no answer
- 99 not applicable

# Code D

1 private 2 farm 3 business 98 no answer 99 not applicable

# Code E

- 1 increase income 2 reduce income risk 3 diversify income sources 4 improve food security 5 make work easier 90 Others, specify
  - Code F
- 1 sucessful 2 mixed
- 3 not sucessful
- 98 no answer
- 99 not applicable

# Code G

1 mostly own savings 2 mostly borrowd 3 money from business partner 4 money sent by relatives 90 Others, specify 98 no answer 99 not applicable

# Code H

- 1 needed the money for consumption
- 2 needed the money for investment
- 3 the item did not bring enough return
- 4 replacement
- 5 no need for it anymore 90 Others, specify
- 98 no answer
- 99 not applicable

- Code I
- 1 ves 2 no 98 no answer 99 not applicable

# Code J

1 increased 2 decreased 3 no change 98 no answer 99 not applicable 1 Did your HH in the last 5 years (05/05 - 04/10) buy any durable goods at a purchase price above 5000 THB/ 1.5 Mio VND for farm or business purposes (investment)?

1 yes 2 no, go to Q 13 **13** Did your HH in the last 5 years (05/05 - 04/10) sell durable goods above 5000 THB/ 1.5 Mio VND that were used for farm or business purposes (divestment)?

Please list all divestments above 5000 THB/ 1.5 Mio

VND your HH undertook in the last five years (05/05 -

04/10), start with the newest one.

1 yes 2 no, go to Q 20

Please list all investments above 5000 THB/ 1.5 Mio VND your HH undertook in the last five years (05/05 -04/10), start with the newest one.

14 2 3a 5 5a 6a 7 8 15 16 18 18a 3 4 6 9 10 11 12 17 19 Investment type Technical characteris-When did How Estimat Primary Subjective When did Locati Main What Type How Whv tics (most expensive) you invest? much use ed reason was the much you sell did Divestment I.D. on Investment I.D. did you did you the item? duration to assessme main you spend? of use? invest nt of source of get? sell finance into performan the FININ/ for [INI\/-ഫ itom? THB/ THB/ 1000 С F. Α В MM YYYY 1000 VND D E G Α VND MM YYYY н size/ name years 1 1 2 2 3 3 4 4 5 5 6 6 7 7 8 8 9 9 10 10 What are your plans for the next 5 years? 11 12 20 Invest in agriculture 13 21 Invest in Non-farm enterprise 14 15 What do you plan in regard of the... 16 22 land area for crop production 17 18 **23** land area for livestock 24 number of crop varieties grown 19 **25** number of livestock 20 26 time used for agricultural production

28 Where do you expect your children/ grandchildren to live when they are grown up

27 labor hired in

1 Jewellery

- 2 Other durable goods
- 3 Agricultural inputs (fertilizer, pesticides etc.)
- 4 Food (rice etc.)
- 5 Cash
- 90 Other, specify
- 98 no answer
- 99 not applicable Code B
- 1 Business investments
- 2 Agricultural investments
- 3 Business related expenses
- 4 Agriculture related expenses (e.g. fertilizer pesticides)
- 5 Pay back other debt6 House or land purchase/construction
- 7 Buy durable household goods
- 8 Improving infrastructure (water supply, sanitation etc.)
- 9 buying consumption good (e.g. food)
- 10 Medical treatment
- 11 Ceremony (wedding, funeral, tet)
- 12 Study
- 14 work abroad
- 18 relend to family members or relatives
- 19 relend to non-relatives
- 90 Other, specify
- 98 no answer
- 99 not applicable

# Section 7.1: Borrowing and Lending A. Borrowing

Code H 0 in the same village 1 in the same commune 2 in the same district (rural area) 3 in the same district (urban area) 4 in the same province (rural area) 5 in the same province (urban area) 6 in another province (rural area) 7 in another province (urban area) 9 in Bangkok 10 in Hanoi 11 in Ho Chi Minh City 30 Australia and Oceania 35 Europe 40 North America 90 Other, specify 98 no answer 99 not applicable Code CC 1 pay fixed amount periodically 2 pay varied but specific amounts at scheduled time. 3 pay whenever the borrower has enough money. 98 no answer code E

99 not applicable Code C 1 yes 2 no 98 no answer

99 not applicable

# Code D

- 51 Government Housing Bank
- 52 Bank for Agriculture and Agricultural Cooperatives (BAAC)
- 53 Government Savings Bank
- 54 Small Industry Finance Corporation/ SME Development Bank
- 55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion
- 56 Urban Community Development Organization
- 57 Agricultural cooperatives
- 58 Other socio-political organization
- 59 Village bank
- 60 Village Fund/Community Fund(Taksin village fund)
- 61 Business partner/trader/supplier
- 62 Money lender
- 63 pawnshop
- 64 Commercial bank
- 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.) 70 relative in village
- 71 relative outside village (same province)
- 72 relative other province
- 73 relative other provin
- 74 friends in village
- 75 friends outside village (same province)
- 76 friends other province
- 77 friends abroad
- 78 Self help credit group
- 79 poverty eradication project
- 80 student loan fund
- 82 saving cooperative and credit union
- 83 insurance company
- 90 Other, specify

1 year

2 month

3 week

4 day

98 no answer 99 not applicable For each of the following financial institutions, ask for the location of the nearest branch of each institution and the travel times from the household to the nearest branch. (Ask every household, not only households that have borrowed.)

	Institution	Location	Travel	time
	Institution	Н	km.	minutes
33	BAAC	а	b	С
34	Agricultural cooperatives	а	b	С
35	GSB	а	b	С
36	Commercial bank	а	b	С

1 Did you ever borrow cash or goods (rice,	1 Yes 2 No, go to next section
fertilizer etc.) or buy on <sup>L</sup> installments?	

2a Do you have any loans that are still owed or that have been completely repaid between 5/09 and 4/10?

1 Yes 2 No, go to section 7.1 B

Please record all loans that are still owed or loans that have been completely repaid in the period between 5/09 and 4/10 in decreasing order of value.

3	4	5	6a	6b	6c	7	8	9	10	11	12	13	14	14a	14b	16	17
	loan the (if not indicate	the amount of HH borrowed? n-cash loan, the good and	actua loan? mos	vhat did ally use (give t t import	the hree tant	Did you have to borrow because of a shock	What was the shock, that caused	Where did you borrow?	When d receive loa	e the	duratio	t is the on of the an?		Repa	yment as in	tially agreed	e as agreed
Loan ID	estim	ate its value)	l	usages)	)	affecting your household?	you to borrow? (Use schock ID from sec. 3.1)						Type of payment	Repay- ment schedul e	Frequency of repayment per year	Interest rate	time unit of interest rate
	Туре	Value	_			If no, go to Q9		5		year (CE/BE		_					-
1	Α	THB/ 1000 VND	B	В	В	С		D	month	)	on	E	Α	CC		%	E
2																	
3																	
4																	
5																	
6																	
7										<u></u>		<u> </u>					
8																	
9																	
10																	

#### code F

land
 use savings to guanrantee credit
 use future crops to guanrantee credit
 life insurance
 other assets (e.g. farm equipment, livestock, valuables)
 single guarantor
 multiple guarantors
 no collateral required
 salary/work contract
 Other, specify
 no answer
 not applicable

#### code G

0 no other requirement
1 credit group membership
2 membership in social/political group (e.g. VWU, farmers' union, party, church)
3 other multiple garantors
4 individual garantor
5 savings account at the bank
6 currently enrolled in school or university
10 salary/work contract

90 Other, specify

98 no answer

99 not applicable

#### Code H

0 in the same village 1 in the same commune 2 in the same district (rural area) 3 in the same district (urban area) 4 in the same province (rural area) 5 in the same province (urban area) 6 in another province (rural area) 7 in another province (urban area) 9 in Bangkok 10 in Hanoi 11 in Ho Chi Minh City 30 Australia and Oceania 35 Europe 40 North America 90 Other, specify 98 no answer 99 not applicable

3	15a	15b	19a	19b	20	21	22	23	24	25	31	32
Loan ID	Actual repaym between 5/09 Total amount of payments (repayment + interest)		loan as of end 4/10	debt as of end 4/10	What is the collateral for this loan?	Estimated value of collateral at the time you got the loan	the thre re	e most im quirement	portant ts)	When did you first borrow from this lender?	Where is this lender located?	Travel time from the household to the lender
1	THB/ 1000 VND		THB/ 1000 VND	THB/ 1000 VND	F	THB/ 1000 VND	G G G		year (CE/BE)	Н	minutes	
2												
2												
4												
5												
6												
7												
8												
9												
10												

- Code A 1 Jewellery 2 Other durable goods 3 Agricultural inputs (fertilizer, pesticides 4 Food (rice etc.) 90 Other, specify 98 no answer 99 not applicable Code C 1 Business investments 2 Agricultural investments 3 Business related expenses Agriculture related expenses (e.g. fertilizer pesticides) 5 Pay back other debt 6 House or land purchase/construction 7 Buy durable household goods
- 8 Improving infrastructure (water supply, sanitation etc.)
- 9 buying consumption good (e.g. food)
- <sup>10</sup> Medical treatment
- 11 Ceremony (wedding, funeral, tet)
- 12 Study

etc.)

5 Cash

4

- 14 work abroad
- 18 relend to family members or relatives
- 19 relend to non-relatives
- 90 Other, specify
- 96 I don't care
- 98 no answer
- 99 not applicable

- Code B 1 yes 2 no 98 no answer 99 not applicable
- 1 relative in village 2 relative outside village (same province) 4 relative abroad 5 credit group (VN: Ho/Hui or Phuong) 6 friend in village 7 friend outside village (same province) 8 friend other province 9 friend abroad 10 Business partner/trader/supplier 90 Other, specify 98 no answer

Code I

Code E

Code D

- 1 year 2 month 3 week 4 day 98 no answer 99 not applicable Code CC
- 1 pay fixed amount periodically
- 2 pay varied but specific amounts at scheduled time.
- 3 pay whenever the borrower has enough money.
- 90 Other, specify 98 no answer 99 not applicable

- 1 Illness of household member 2 Death of household member 3 Household member left the household 4 Person joined the household 5 Money spent for ceremony in the household 24 Accident 38 Law suit 6 House damage 7 Theft 8 Conflict with neighbours in the village 9 Relatives/Friends stopped sending remittances
- 10 Flooding of agricultural land
- 11 Drought
- 12 Unusually heavy Rainfall
- 13 Crop pests 14 Storage pests (including rats) 15 Livestock Disease 16 Landslide, Erosion 55 Storm 46 Was cheated 60 Job loss (agricultural) 61 Job loss (non-agricultural) 18 Collapse of business
- 20 Strong increase of interest rate on loans
- 21 Strong decrease of prices for Output
- 22 Strong increase of prices for Input
- 23 Change in market regulations
- 57 snow / ice rain (VN)
- 90 Other, specify
- 98 no answer

99 not applicable

Section 7.1

# B. Lending

1 Did you ever lend out cash or goods (rice, fertilizer etc.) or sell by installments?

2 No, go to next section

1 Yes

2 No, go to next section

57

Please record all loans that are still owed to you or that have been completely repaid to you between 5/09 and 4/10

2a Do you have any lendings that still own or that have been completely repaid to you between 5/09 and 4/10?

3	4	5	6	6b	6c	7	8	9	10	11	12	13	14	14a	14b	16	17
	What is t	he amount of	For wh	at purpo	se	Did	What was	To whom	When	did you	What	is the		Repaym	nent as initia	ally agreed	
	loan the	HH lend? (If	did the	e borrow	er	borrower	the type	did you	give th	e loan?	duration						
		n-cash please		the loan		need loan	of shock	lend?			loa	ın?			I.	1.	
		the good and		three mo		because of	for which									Interest rate	e as agreed
	estimat	te its value)	import	ant usag	es)	a shock	the										
						affecting	borrower										
c						his/her household?	needed the loan?							Repay-	Frequency		
loan						nousenoiu?							Type of	ment	of	Interest	time unit
													payment	schedule	repayment	rate	of interest
															per year		rate
						If we we to						1					
						lf no, go to Q9											
	Туре	Value		1	i i	40					duration	time unit					
	Α	THB/ 1000 VND	С	С	С	В	I	D	month	year (CE/BE)		Е	А	СС		%	Е
1																	
2																	
3																	
3																	
4																	
5																	
6																	
Ľ																	
7																	
8																	

7



#### Code F

1 land

2 use savings to guanrantee credit3 use future crops to guanrantee credit4 life insurance

5 other assets (e.g. farm equipment, livestock, valuables)

6 single guarantor

7 multiple guarantors

8 no collateral required

13 salary/work contract

98 no answer

99 not applicable

Code G

0 no other requirement

1 credit group membership

2 other multiple garantors

3 individual garantor

4 savings account at the bank

6 currently enrolled in school or university

7 salary/work contract

90 Other, specify

98 no answer

99 not applicable

#### Code H

0 in the same village

in the same commune
 in the same district (rural area)
 in the same district (urban area)
 in the same province (rural area)
 in the same province (urban area)
 in another province (rural area)
 in another province (urban area)
 in another province (urban area)
 in Bangkok
 in Hanoi
 in Ho Chi Minh City
 Australia and Oceania
 Europe
 North America
 Other country

98 no answer

99 not applicable

3	15a	15b	19a	19b	20	21	22	23	24	25	31	32
loan	Actual repaymo between 5/09 Total amount of payments (repayment + interest)		Total repayments of loan as of end 4/10	Remaining debt as of end 4/10	What is the collateral for this loan?	Estimated value of collateral at time you granted the loan	requirements)			When did you first give credit to this lender?	Where is the borrower located?	Travel time from the household to the borrower
	THB/ 1000 VND		THB/ 1000 VND	THB/ 1000 VND	F	THB/ 1000 VND	G	G	G	year (CE/BE)	н	minutes
1												
2												
3												
4												
5												
6												
7												
8												

- 51 Government Housing Bank 52 Bank for Agriculture and Agricultural Cooperatives (BAAC) 53 Government Savings Bank 54 Small Industry Finance Corporation/ SME Development Bank 55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion 56 Urban Community Development Organization 57 Agricultural cooperatives 58 Other socio-political organization 59 Village bank 60 Village Fund/Community Fund(Taksin village fund) 61 Business partner/trader/supplier 62 Money lender 63 pawnshop 64 Commercial bank 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.) 70 relative in village 71 relative outside village (same province) 72 relative other province 73 relative abroad 74 friends in village 75 friends outside village (same province) 76 friends other province 77 friends abroad 78 Self help credit group 79 poverty eradication project 80 student loan fund Code E 82 saving cooperative and credit 1 default 83 insurance company 90 Other, specify 98 no answer
- 99 not applicable

# Code B

1 Business investments 2 Agricultural investments 3 Business related expenses 4 Agriculture related expenses (e.g. fertilizer pesticides) 5 Pay back other debt 6 House or land purchase/construction 7 Buy durable household goods 8 Improving infrastructure (water supply, sanitation etc.) 9 buying consumption good (e.g. food) 10 Medical treatment 11 Ceremony (wedding, funeral, tet) 12 Study 14 work abroad 18 relend to family members or relatives 19 relend to non-relatives 90 other, specify 98 no answer 1 yes 2 no

4 ever defaulted on loan from this credit source

6 lender has limited amount of money to lend

5 ever repaid late on loan from this credit source

#### Code F

- 1 Jewellery
- 2 Other durable goods
- 3 Agricultural inputs (fertilizer, pesticides etc.)

# 4 Food (rice etc.) 5 Cash 90 Other, specify 98 no answer code G 1 land 2 use savings to guanrantee credit 3 use future crops to guanrantee credit 4 life insurance 5 other assets (e.g. farm equipment, livestock, valuables) Code C 6 single guarantor 7 multiple guarantors 8 no collateral required 90 other, specify 98 no answer 99 not applicable 99 not applicable

# Code H

- 1 none
- 2 not able to borrow again from this lender
- 3 not able to borrow again from this lender and others
- 4 collateral was seized
- 5 had to pay higher interest
- 90 other, specify
- 98 no answer
- 99 not applicable

- 2 late payment
- 98 no answer
- 90 other, specify 98 no answer

Code D

1 Lack of collaterals

2 Bad business plan

3 Low social standing

8 not in the lending period

99 not applicable

# 1 Did you apply for credit between 5/09 and 4/10 without getting it or without getting the full amount you applied for?

#### 2 No, go to next section 5 6 9 10 11 2 4 7 8 When? Where did you apply Amount of Loan applied for Amount actually Why did Did you have to apply for Pls. indicate Why was your How many times the I.D. of for credit? credit because of a received you apply application was your for a shock affecting your event in shock rejected? application credit? household? from section (partially or 3.1 completely) rejected? if no, go to Q10 THB/ 1000 VND THB/ 1000 VND month В С D Α

#### D. Default history

12	During the past	12 months, have you ever	defaulted or failed	to pay back	a loan on time?		1 Yes 2 No,	go to next section	n
13	14	15	16	17	18	19	20	21	22
DI C	Did you default or pay late?	Did you pay late or default as a consequence of a shock affecting your household?	Pls. indicate the I.D. of event in shock from section 3.1		e amount of loan you porrowed?	Where did you borrow?	What was the collateral of this loan?	What was the value of the collateral?	What were the consequence of default or late payment?
Loan		if no, go to Q17		Туре	Value of loan				
	E	С		F	THB/ 1000 VND	Α	G	THB/ 1000 VND	Н
1									
2									
3									

1 Yes

Code ACode A (cont.)ThailandVietnam51 Government Housing Bank1 Bank for social policy522 Bank for Agriculture and Agricultural Cooperatives (BAAC)53 Government Savings Bank3 Credit organization (e.g. PCF)54 Corporation/ SME3 Credit organization (e.g. PCF)55 Export-Import Bank of Thailand or Business Promotion3 Covernment support fund56 Urban Community Development Organization1 Business partner/trader/supplier57 Agricultural cooperatives12 money lender58 Other socio-political organization13 pawnshop59 Village bank14 Commercial bank60 Village Fund/Community Fund(Taksin village fund)20 relative in village61 Business partner/trader/supplier21 relative outside village (same province)62 Money lender22 relative other province63 pawnshop23 relative abroad64 Commercial bank24 friends in village65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)25 friends outside village (same province)70 relative in village27 friends sotroad72 relative abroad27 friends sotroad73 relative abroad1 salary/wages74 friends in village3 money transfers from relatives75 friends outside village (same province)2 soring roup (Ho/Hui or Phuong)73 relative abroad1 salary/wages75 friends outside village (same province)2 profits from business76 friends other province2 profits from busines77 friends abroad1 yes77 friends abroad2 pub			
51       Government Housing Bank       1       Bank for social policy         52       2       Bank for agriculture and Agriculture and Agriculture and Agriculture and rural development         53       Government Savings Bank       3       Credit organization (e.g. PCF)         54       Corporation' SME       4       Vietnam Post (VNPT)         54       Corporation' SME       7       Job placement support fund         55       Export-Import Bank of Thailand or Business Promotion       8       Socio-political organization(VWU, agricultural organization)         56       Urban Community Development Organization       11       Business partner/trader/supplier         57       Agricultural cooperatives       12       money lender         58       Other specify       21       relative outside village (same province)         59       Village Fund/Community Fund(Taksin village fund)       20       relative outside village (same province)         50       Doney lender       22       relative outside village (same province)       23         50       Trelative outside village (same province)       25       friends other province       23         71       relative other province       27       friends abroad       24       friends other province       2       friends other province	Code A	Code A (cont.)	
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Bank for Agriculture and Agricultural Cooperatives (BAAC)3 Credit organization (e.g. PCF)53 Government Savings Bank4 Vietnam Post (VNPT)54 Corporation/SME7 Job placement support fund55 Export-Import Bank of Thailand or Business Promotion8 Socio-political organization(VWU, agricultural organization(VWU, agricultural organization)54 Urban Community Development Organization11 Business partner/trader/supplier57 Agricultural cooperatives12 money lender58 Other socio-political organization13 pawnshop59 Village bank14 Commercial bank60 Village Fund/Community Fund(Taksin village fund)20 relative in village61 Business partner/trader/supplier21 relative outside village (same province)62 Money lender22 relative other province63 pawnshop23 relative abroad64 Commercial bank24 friends in village65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)25 friends outside village (same province)70 relative in village27 friends abroad72 relative other province27 friends abroad73 relative abroad24 friends in village74 friends in village2 profits from business75 friends outside village (same province)2 profits from business76 friends other province1 salary/wages77 friends abroad4 money transfers from friends78 Self help credit group9 not applicable79 poverty eradication project6 selling land80 Other, specify9 other, specify90 Other, specify98 no answer	51 Government Housing Bank		
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78 Self help credit group3 money transfers from relatives98 no answer79 poverty eradication project4 money transfers from friends99 not applicable80 student loan fund5 public5 public82 saving cooperative and credit union6 selling land83 insurance company6 selling other assets90 Other, specify8 inheritance98 no answer90 Other, specify99 not applicable98 no answer99 not applicable98 no answer	76 friends other province	1 salary/wages	1 yes
79 poverty eradication project4 money transfers from friends99 not applicable80 student loan fund5 public5 public82 saving cooperative and credit union6 selling land7 selling other assets83 insurance company6 selling other assets90 Other, specify90 Other, specify8 inheritance90 Other, specify98 no answer90 Other, specify98 no answer99 not applicable98 no answer98 no answer	77 friends abroad	2 profits from business	2 no
80 student loan fund5 public82 saving cooperative and credit union6 selling land83 insurance company6 selling other assets90 Other, specify8 inheritance98 no answer90 Other, specify99 not applicable98 no answer	78 Self help credit group	3 money transfers from relatives	98 no answer
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7 selling other assets90 Other, specify8 inheritance98 no answer90 Other, specify99 not applicable98 no answer	82 saving cooperative and credit union		
90 Other, specify8 inheritance98 no answer90 Other, specify99 not applicable98 no answer	83 insurance company	6 selling land	
98 no answer90 Other, specify99 not applicable98 no answer		7 selling other assets	
99 not applicable 98 no answer	90 Other, specify	8 inheritance	
	98 no answer	90 Other, specify	
99 not applicable	99 not applicable	98 no answer	
		99 not applicable	

#### Code E

0 in the same village 1 in the same commune 2 in the same district (rural area) 3 in the same district (urban area) 4 in the same province (rural area) 5 in the same province (urban area) 6 in another province (rural area) 7 in another province (urban area) 9 in Bangkok 10 in Hanoi 11 in Ho Chi Minh City 30 Australia and Oceania 35 Europe 40 North America 90 Other country 98 no answer 99 not applicable

# Code D

1 save for old age
 2 leave bequest for children
 3 business investment
 4 agricultural investment
 5 house or land purchase/construction
 6 buy durable household good (e.g. cell phone, tv)
 7 buy bicycle, motorcycle or car
 8 buy food in case of emergency
 9 use for medical treatment
 10 use for ceremony (wedding, funeral, tet)

11 study12 save for family member to work abroad13 to be eligible for receiving loan90 Other, specify98 no answer99 not applicable

E. Savings

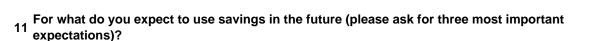
Read out to household head:

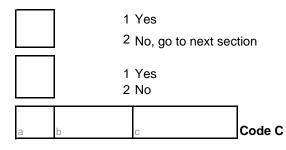
We reassure you that all information given is strictly confidential. It will not be given to others and will only serve scientific purposes.

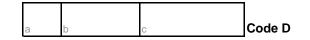
1 Do you have any savings?

 $^{\rm 2}$  During the period of May 2009 to April 2010, could you save parts of your income?

What were the 3 most important sources of savings during the period May 2009 and April 2010?







0	12	13	14	15	16	17	5	18	19	20
Saving ID	Kinds of savings	Do HH members have any of the following kinds of savings?	How much is the current value (04/10) of this kind of saving? (THB/1000 VND)	1 year ago	How many times did you withdraw money from [] during the last year?	you add money to	At what institution do you have your savings account?	Where do you hold this kind of saving?	Travel time from the household to the savings institution	Returns received during the last year (interest, dividends, profits etc.) (THB/1000 VND)
		В					Α	E	minutes	
1	bank account 1									
2	bank account 2									
3	bank account 3									
4	bank account 4									
	more than 4 bank accounts (pls. sum up the remaining accounts)									
	Other financial assets (shares, deposits etc.)									
7	Ho/Hui or Phuong									

#### **Social Assistance**

1 Social guarantee fund for regular relief

- 2 contingency fund for pre-harvest starvateion and disaster relief
- 3 hunger eradication and poverty reduction (HERP) program
- 4 allowances for war veterans and martyrers

# **Social Security**

5 retirement pensions

6 work accidents and industrial disease

7 sickness benefits

- 8 maternity leave benefits
- 9 survivor benefits

# Other payments

10 Other government program

11 Poverty alleviation

- 12 support from church/temple or other religious institution
- 13 other social assistence

14 other social security

65 support for the elderly

66 scholarship, financial aid

- 67 allowance for government official
- 68 Social Security cash benefit for children

70 project of donors

90 other payments

98 no answer

99 not applicable

Code A Social Assistance 52 Social relief for natural disasters 53 Poverty alleviation 54 allowances for war veterans and martyrers **Social Security** 55 retirement pensions 57 Occupational accident and disease 58 sickness benefits 59 survivor benefits 60 Other government program 61 Other commune program 63 other social assistence 64 other social security 65 support for the elderly 66 scholarship, financial aid 67 allowance for government official 68 Social Security cash benefit for children 70 project of donors

90 other payments 98 no answer 99 not applicable Code C

- 1 in cash 2 in kind
- 3 in cash and kind

# Section 7.2: Public Transfers, other payments and Insurance

A. Public transfers and other payments

7 Has the household or any of the household memebr received public transfes between 05/09 and 04/10?

# Please list public and other payments the household has received in the period between May 2009 and April 2010.

Interviewer: Read out items of code A

0	1	2	2a	3	6
er I.D	HH member I.D. of recipient	Which public and other p	ayments did the household rerceive	during the last year?	When did you receive payment for the last time?
Transfer I.D	H member I. of recipient	type of program	type of payment	Total value over past 12 months	
	Ī	Α	С	THB/ 1000 VND	(month)

1 yes 2 if no, go to next section

They don't offer insurance here
 I don't need insurance
 Insurance is too expensive
 There is no adequate insurance for me
 Other, specify
 no answer
 not applicable

# Code B Thailand

51 Life insurance
52 Property insurance
53 Health insurance
54 Disability health insurance
55 Livestock insurance
56 Crop insurance
57 "Funeral insurance"

58 accident insurance59 government pension fund

#### Vietnam

Life insurance
 Property insurance
 Health insurance
 Disability health insurance
 Livestock insurance
 Crop insurance

90 Other, specify98 no answer99 not applicable

# Code C

Thailand 51 Social security fund 52 Other Goverment agency Life insurance companies 53 American International Assurance Co., Ltd. 54 Bangkok Life Assurance Co., Ltd. 55 Thai Life Insurance Co., Ltd. 56 Siam Commercial New york Life Insurance Public Co.,Ltd. 57 Ocean Life Insurance Co., Ltd. 58 Thanachart Life Assurance Co., Ltd. 59 Ayudhya Allianz C.P. Life Public Co.,Ltd. 60 Muang Thai Life Assurance Co., Ltd. 61 Siam Life Insurance Co., Ltd. 62 Thanachart Life Assurance Co., Ltd. 63 The SouthEast Life Insurance Co., Ltd. Non-life insurance companies 64 American International Assurance Co., Ltd.(NON LIFE INSURANCE) 65 Road Accident Victims Protection Co.,Ltd. 66 Bangkok Insurance Public Co., Ltd. 67 Krungthai Panich Insurance Co., Ltd. 68 Allianz C.P. General Insurance Co., Ltd.) 69 Dhipaya Insurance Public Co., Ltd. 70 Deves Insurance Public Co., Ltd. 71 Thai Insurance Public Co., Ltd. 72 Mittare Insurance Co.,Ltd. 73 Phatra Insurance Public Co., Ltd. 74 Muang Thai Insurance Co., Ltd. 75 Viriyah Insurance Co., Ltd. 76 Ayudhya Insurance Public Co., Ltd. 77 Siam City Insurance Co., Ltd. 78 Union Prospers Insurance Co., Ltd. 79 Sampanh Insurance Co., Ltd. 80 The Siam Commercial Samaggi Insurance Public Co., Ltd.

# Code C

Vietnam 1 Bao Viet 2 Bao Minh 3 Petro Vietnam Insurance 4 Prudential 5 Manulife 6 AIA 7 Allianz-AGF 8 Groupama 9 Bao Minh CMG 10 Mutual Aid Fund of sociopolitical organization (VWU, Elderly Association) 11 Informal arrangement 90 Other, specify 98 no answer

#### Code E

99 not applicable

1 same village/ward
 2 same commune
 3 other commune
 4 district town
 5 same province capital
 6 other province capital
 7 abroad
 98 no answer
 99 not applicable

#### Code F

1 mandatory 2 voluntary 98 no answer 99 not applicable

#### Code G

1 Yes 2 No 98 no answer 99 not applicable

# Section 7.2: Public Transfers, other payments and Insurance

- B. Insurance excluding social security payments
  - 1 Are members of this household entitled to use the free health card?
  - 2 Does this household have any other insurance? Interviewer: Read out items of Code C
  - 3 If your household members do not have any insurance, why not?

Please list insurance arrangements the household maintains at the moment (excluding the free health card)

0	4	5	6	15	5a	9	12	16	17	18	19	20	21	22	23	24	25	26	27	28
		Type of	Who	Where	Did pay	What	Amount of	Is health	Did you		Outpa	tient care	-		Inpatie	ent care		١	Medicine	
			offers the	did you	for the	premium	compen-	insurance	use	Pay		Reimbur-	Private	Pay		Reimbur-	Private	Pay	Copay	Reimbur-
	t		insurance ?	get this insurance	insurance ?	do you pay?	sation payment	voluntary or	health insurance		ments?	sement by	health provider	medical treat-		sement by policy?	health provider			sement by policy?
	ipie			?		(total	received	mandatory	during	ment in		policy?	allowed?	ment in		by policy.	providor	advance?		by policy.
	rec					amount)	during the	?	the last	advance				advance ?			allowed			
Ce II	of of						past 12 months?		year?	?				ſ			?			
insurance ID							monuns?													
inst	nbe	If code other than 3 stop			If 2 go to Q12															
	HH member I.D. of recipient	after Q12			QIZ															
	王																			
						THB/1000														
		В	С	Е	G	VND	VND	F	G	G	G	G	G	G	G	G	G	G	G	G
1																				
2																				
3																				
4																				
5																				
6																				
7																				
8																				
9																				
10																				
11																				
12																				
13																				

1 yes 2 no

2 no

Α

1 yes, go to Q4

go to next section

**Section 8: Household Expenditures** 

How much did you spend for the following items between 05/09 and 04/10? Please estimate carefully how much the household spent on each item on a monthly or annual basis. Exclude expenditure for durable goods! They will be asked on the next page.

Expenditures for celebrations and funerals in other households we already asked in section 2.4 - to be exluded here

0			1	2	1
-				Amount spent	1
≙			Item:	in an average	
				THB/1000 VND	
		1	Rice		
			other staple food		
		2	beef/pork		-
		3	Fish		
		4	Poultry		
		5	Eggs		
		6	Vegetable		
	p	7	Fruit		
	Food	8	Food ingredients, spices (includ. Salt/Sugar)		
		9	Fermented fish		
		10	Oil		
			Beverages		
		12	Take home and eat out		
		13	Alcohol, cigarettes		
		14	Other food		
		15	Total Food		
		17			
			Personal care supplies		-
	σ		Clothes, shoes and bags, accessories		-
	Non-Food		Detergent/washing powder		4
	ц -		Electricity		4
	°Z		Water cost		1
			Liquid propane gas/Charcoal		1
		-	Hair dresser		1
			Total Non-Food		1
			Fuel for car and motorbike		1
	. uo		Public transportation		1
	it + ăti		Telecommunication (includes mobile credit)		
	spo inic		Other transportation, Communication		
	Transport + ommunicatio	127	Maintenance for car and motorbike		per ye
	Transport + communication	128	Insurance and tax for car and motorbike		per ye
		30	Total Transp. Commun.		

0			1	2
				Amount spent
₽			Item:	in the last 12
				THB/1000 VND
		31	School fees, books	
	_		Tuition fee	
	Education	34	Rental fee (Dorm, apartment)	
	nca	35	Other costs of schooling	
	Щd	36	School bus	
		37	Pocket money and lunch	
		38		
		39	Total Education	
		40	Medicine (Purchase in Pharmacy only)	
		41	Doctor fee	
	lth	42	Other health	
	Health			
	-		Total Health (incl. Health expenditures later refunded	
		45	by insurance)	
			, ,	
		46	Celebrations and funerals in own household	
		47		
	F		Donations (to temples, social organizations, schools)	
	Social		Recreation and entertainment	
	ŏ		Lottery	
		50	Taxes (income, land Taxes)	
		52		
		55	Total Social	

9 How much rent do you pay per month for the house(s) you live in? (ask only if the home is rented and a rental fee paid)

THB/1000 VND

11b Consider the following two days:

Day A: Just a normal day, nothing special happens.

Day B: Your TV set breaks down and the repair costs 1,000 THB. But later you win an amount of X in the lottery

How large should X be such that you would say that Days A and B make you equally happy?

THB/1000 VND

1 mostly business use

2 business and private use

3 mostly private use

98 no answer

99 not applicable

#### Code B

1 Much better off

2 Better off

3 Same

4 Worse off

5 Much worse off 98 no answer

99 not applicable

Section 9.1: Household Wealth

2         Trac           3         Kna           4         Eng           5         Wat           6         Wat           7         Wat           8         Pipe           9         Oth           10         rice           11         three           124         pigs           13         Boa           14         Fish           15         Fish           16         Float           17         Nor	Assets	How many items does	What was the value of the most recently obtained	How old is the most	How much	8a What is the	0			How many	What was the	How old is	How	
2         Trac           3         Kna           4         Eng           5         Wat           6         Wat           7         Wat           8         Pipe           9         Oth           10         rice           11         three           124         pigs           13         Boa           14         Fish           15         Fish           16         Float           17         Nor	Assets		item at the time when you got/bought it? THB/1000 VND	recently obtained item? years	vould you get if you sold all items today? THB/100 0 VND	use of the asset? A	Asset ID			items does the household own?	value of the most recently obtained item at the time when you got/bought it? THB/1000 VND	the most recently obtained item?	now much would you get if you sold all items today? THB/100 0 VND	What is the use of the asset? A
3         Kna           4         Eng           5         Wat           6         Wat           7         Wat           8         Pipe           9         Oth           10         rice           11         thre           124         pigs           13         Boa           14         Fish           15         Fish           16         Float           17         Nor	ractor 2 wheel (s)							26	TV (s)					
4         Eng           5         Wat           6         Wat           7         Wat           8         Pipe           9         Oth           10         rice           11         thre           124         pigs           13         Boa           14         Fist           15         Fist           16         Float           17         North	ractor 4 wheel (s)							27	Video cassette player/DVD (s)					
5         Wat           6         Wat           7         Wat           8         Pipe           9         Oth           10         rice           11         three           124         pigs           13         Boa           14         Fist           15         Fist           16         Float           17         North	napsack sprayer (s)							28	Satellite Dish (s)					
6         Wat           7         Wat           8         Pipe           9         Oth           10         rice           11         thre           124         pigs           13         Boa           14         Fist           15         Fist           16         Floa           17         Nor	ngine spray (s)							29	Radio (s) and Stereo (s)					
7         Wat           8         Pipe           9         Oth           10         rice           11         thre           114         pus           124         pigs           13         Boa           14         Fish           15         Fish           16         Float           17         North	Vater tanks (house)							30	Regular Phone (s)					
8         Pipe           9         Oth           10         rice           11         thre           114         pus           124         pigs           13         Boa           14         Fish           15         Fish           16         Floa           17         Nor	Vater pump (s)							31	Mobile Phone (s)					
9         Oth           10         rice           11         thre           114         pus           124         pigs           13         Boa           14         Fish           15         Fish           16         Float           17         North	Vater tanks (field use)							32	Refrigerator (s)					
10         rice           11         thre           114         pus           124         pigs           13         Boa           14         Fist           15         Fist           16         Float           17         Nor	ipe (s)							33	Gas Stove (s)					
11         three           114         pus           124         pigs           13         Boa           14         Fish           15         Fish           16         Floa           17         Nor	Other farm tools/implements							34	Water heater (s)					
114         pus           124         pigs           13         Boa           14         Fish           15         Fish           16         Floa           17         Nor	ce mill							35	Washing Machine (s)					
124         pigs           13         Boa           14         Fish           15         Fish           16         Float           17         Nor	nreshing machine							36	Sewing Machine (s)					
13         Boa           14         Fish           15         Fish           16         Float           17         Nor	ushcart							37	Iron (s)					
14Fish15Fish16Float17Nor	igsty, stable or pen							38	Vacuum cleaner (s)					
15 Fish 16 Floa 17 Nor	oat							39	Air Conditioner (s)					
16 Floa 17 Nor	ishing Net (s)							40	Electric Fan (s)					
16 Floa 17 Nor	ishing Traps							41	Electric rice cooker					
17 Nor	loating trawl (s)							42	Personal computer					
	lon-farm productive assets								Jewellery					
	-								Furniture					
21 biog	iogas plant							45	Sofa set					
	ruck (s)							46	Mattress					
	lick up (s)							47	Bed					
									Watches and clocks					
25 Bicy	lotorcycle (s)	1						90						

5 How well-off do you consider your household in comparison to other residents of your village?

Code B

6 How well-off do you consider your household in comparison to other residents of your country?

7 What do you consider as an absolute minimum net income per month for a household such as yours?



1 in the same village/commune

2 in the same district (rural area)

3 in the same district (urban area)

4 in the same province (rural area)

5 in the same province (urban area)

6 in another province (rural area)

7 in another province (urban area)

9 in Bangkok

10 in Hanoi

11 in Ho Chi Minh City

20 Laos

21 Cambodia

29 Other Asian country

30 Australia and Oceania

35 Europe

40 North America

90 Other country

98 no answer

99 not applicable

#### Code B

1 house

2 apartment

3 boat

Section 9.2: Housing conditions

0 How many houses do you inhabit?		
0a Type of dwelling		Code B
1 What is the size of the house/dwelling?		m <sup>2</sup> (if inhabit more than one, give total)
2 Number of rooms		rooms (if inhabit more than one, give total)
3 No. of floors		give answer based on the newest house if inhabit more than one
4 For how many years has this household been living in this c	dwelling?	give answer based on the newest house if inhabit more than one
5 Where has the household been living before?	Code A	
**give answer based on the newest house if inhabit more that	an one for Q3 to	15

6		7		8		9		10		11	
What is the household tenure main residence?	status of	How did the household acqui dwelling?	re this	What is the s construction of y	•	The walls of r dwelling is mainl of		The windows of main dwe mainly made of	The roof of main dwelling is mainly made of		
Owner	1	Purchased	1	on ground	1	wood	1	glass	1	straw	1
Rented ->8	2	Constructed	2	wooden poles	2	bricks/cement	2	bamboo	2	tin	2
Other, specify	3	Inherited	3	cement poles	3	metal	3	wood	3	wood	3
no answer	98	Gift	4	no answer	98	clay	4	metal	4	slate	4
		Other, specify	90			canvas	7	no answer	98	flat roof	5
		no answer	98			bamboo mat	8			no answer	98
						no answer	98				

12		13	
What is the main source of drinki	ng water?	What is the main kind of toilet facil this household uses?	ities
Tap inside house	1	Flush Toilet (private)	1
Tap in compound	2	Flush Toilet (shared)	2
	<i>L</i>	Latrine (private)	3
Tap outside shared	3	Latrine(shared)	4
Well	4	None (outside)	5
Rain water	5	no answer	98
River, lake, pond	6		
bottled water / buy water	7		
no answer	98		

	14	15
What is the main sour	rce of fuel for	?
	lighting	cooking
Firewood	1	1
Charcoal	2	2
Kerosine	3	3
Gas (bottle)	4	4
Gas (pipe)	5	5
Electricity (net)	6	6
Electricity (generator)	7	7
rice husks		8
candle	10	
Other, specify	90	90
answer	98	99

THB/1000 VND

16 What is the current value of the house(s) you live in (also ask if house is rented in)?

1	Engaged in own	agriculture	(including	livestock and	l aquaculture)
	Lingageu in own	agriculture	(including	investock and	aquaculture)

- 2. Engaged in fishing, hunting or collecting 3. Non-farm owned business 4. Casual off-farm labour in agriculture 5. Casual labour in non-agriculture Permanently employed in agriculture 6. 7. Permanently employed in non-agriculture Government official 8. 9. Housewife 10. Student/Pupil 11. Child below school age 12. Unemployed 13. Performing only occasional and light work 14. Monk 15. joined the army 16. Unable to work 90. Others, specify 98. no answer
- 99. not applicable

Code B:	
1.	In the same village/commune
2.	In the same district (rural area)
3.	In the same district (urban area)
4.	In the same province (rural area)
5.	In the same province (urban area)
6.	In another province (rural area)
7.	In another province (urban area)
9.	In Bangkok
10.	In Hanoi
11.	In Ho Chi Minh City
20.	Laos
21.	Cambodia
29.	Other Asian country
90.	Other
98.	no answer
99.	not applicable

#### Code C:

1.	inherited the business
2.	previous experience in this kind of business
3.	saw other successful businesses of that kind
4.	figured that this kind of business can be successful
5.	unemployment
7.	insufficient income from farming
8.	insufficient income from agricultural job
9.	insufficient income from non-agricultural job
90.	Other, specify
98.	no answer
99.	not applicable

Code D: 1. yes 2. no

98. no answer

99. not applicable

#### Code E:

¢

- 2. medium importance
- 3. low importance
- 4. no importance
- 98. no answer
- 99. not applicable

#### Code F:

- 1. high competence
- 2. medium competence
- 3. low competence
- 4. no competence
- 98. no answer
- 99. not applicable

# Small scale business survey

#### Thailand / Vietnam

Notes: Please ask questions to the head of business directly AFTER the self-employment section! If the head of business is not available please ask the person who knows best about the business and its owner. In that case, please note that "you" in the questions refers to the owner of the business.

#### 1. Motivation and knowledge

1. MOL	valioi	1 and knowledg	2	3	4	5.		6	7	8					9.				Т	10.								
	Mem ID of respondent	Before starting the present business, did you ever run any other business?	If yes, did this business produce similar products/ services as the present business?	What was your main employment status right before starting the business?	Where was this activity located?	a. What was the most important reason for starting your business?	b. What was the second most important reason for starting your business?	How many days did it take to register the current business?	How much did you have to pay for the registration?	following skills for running the business?										of How/where did you acquire skills i are useful for the business?								
		If yes proceed to question 5; If no, proceed to question 6						Leave empty if not registered/ don't know	THB/1000	a. Marketing skills	b. Finance and accounting skills	с. Handicraft/technical skills	d. Communication skills (speaking)	e. Leadership skills	a. Marketing skills	<ul> <li>Finance and accounting skills</li> </ul>	c. Handicraft/technical skills	Communication skills (speaking)	Leadership skills	a. In school/university	b. In vocational training	In farming activities	d. In previous non-farm business	In previous non-farm wage activity	f. Learned from friends and other family members	<ul> <li>g. Learned while operating the current business</li> <li>h. TV/Radio/Newspaper/Internet etc.</li> </ul>		
		D	D	А	В	С	С		VND	a. N	р. F	с. F	о. р	e. L	a. P	b. F	с Ч	о. р	e. L	a. I	р. I	ц С.	d.	e.	<del>1</del> .Г	<mark>9.</mark> Г Р. Т		
	_																											
		-																								—		
	_																											
																										—		
	_																									+		

Code A	Code E		Code H	
1. yes	1.	severe competition	1.	Only hand tools, no machinery
2. no	2.	moderate competition	2.	Manually operated machinery only
98. no answer	3.	no competition	3.	Power driven machinery only
99. not applicable	98.	no answer	4.	Both manually and power driven machinery
	99.	not applicable	90.	Other, specify
Code B			98.	no answer
1. owned plot			99.	not applicable
2. rented plot	Code F			
3. no legal status	1.	In the same village/commune	Code I	
4. do not use any land	2.	In the same district (rural area)	1.	Add to capacity
98. no answer	3.	In the same district (urban area)	2.	Replace old equipment
99. not applicable	4.	In the same province (rural area)	3.	Improve productivity
	5.	In the same province (urban area)	4.	Improve quality of output
Code C	6.	In another province (rural area)	5.	Produce a new output
1. Not enough work to do	7.	In another province (urban area)	6.	Safety
2. Lack of appropriate staff	9.	In Bangkok	7.	Environmental requirements
3. High wages	10.	In Hanoi	90.	Other, specify
4. Strict employment laws	11.	In Ho Chi Minh City	98.	no answer
5. Working conditions not attractive	20.	Laos	99.	not applicable
90. Other, specify	21.	Cambodia		
98. no answer	29.	Other Asian country	Code J	
99. not applicable	90.	Other	1.	could not get credit
	98.	no answer	2.	lack of own resources
Code D	99.	not applicable	3.	lack of know how
1. relatives			4.	previous investments in earlier years
2. friends	Code G		5.	lack of demand for products/services
3. customers	1.	Increased	6.	too risky because of natural hazards
4. suppliers	2.	Same	7.	too risky because of strong competition
5. competitors	3.	Decreased	8.	lack of support of local authorities
6. Internet	98.	no answer	9.	lack of suitable land
7. business associations	99.	not applicable	90.	Other, specify
8. government officials			98.	no answer
9. TV and radio			99.	not applicable

- 9. TV and radio
- 90. Other, specify
- 98. no answer
- 99. not applicable

	ny Strategy															
11.			14.	18.		20.	21.		24.	25.	26.	27.	28.		30.	
Is the business located in the family home?	Do you own or rent the land on which you pursue the business?	Do you plan to hire any additional employees in the next year (besides family members)?	Why not?	How do you mainly hear about new products and services that may be interesting for your business?	How is the level of competition in the field of activity of the business?	Where is your main competitor located?	How is the level of competition as compared to 2 years ago?	If your business is not involved in services, does it use:	Did you make any investment in the business in the last two years?	How much did you actually invest?	What was the main purpose of the investment?	Did you borrow money to finance this investment?	How much did you borrow?	How many days did it take to get the loan?	a. What was the most important reason not to invest in the business?	b. What was the second most important reason not to invest in the business?
		If yes proceed to question 15; If no, proceed to question 14							If yes, proceed to question 25. If no, proceed to question 30			If yes, proceed to question 28. If no, proceed to question 31		Proceed to question 31		
А	В	A	С	D	E	F	G	Н	A	THB/1000 VND	I	A	THB/1000 VND		J	J
	Ī						Ì									
	1			1				ł			ł					†

- 1. yes
- 2. no
- 98. no answer
- 99. not applicable

Code B

- 1. high
- 2. medium
- 3. low
- 98. no answer
- 99. not applicable

3. Business 31.	32.	33.	34.	35.	36./37	7																											
Have you	If yes,	How often	What was	Please rate				Н	ow impo	ortant	are the	e follov	ving fa	actors	or rui	nning you	ur bu	isiness	and	how d	Ιο γοι	asses	them	at th	e loca	tion c	of the l	ousine	ss?				
received any financial support from the local authorities during the last two	how much was it in total?	did you have contact with local authorities during the last year?	the main reason for it?	the quality level of the local authorities` response/ help.									U																				
years?																		В															
		If no contact, proceed to question 36			a. Availability of credit		b. Good relations to local government officials	o Government program	OTOP (TH) / 135/147 (VN)	d. Presence of business	associations	e. Proximity to ————————————————————————————————————	nearby district town	<ol> <li>Proximity to customers/ suppliers in provincial</li> </ol>	capital	g. Proximity to ——customers/ suppliers in Randi/ HCMC		h. Access to land		. Permissions		j. Made roads		k. Public water supply		I. Public transport		m. Electricity		n. Internet		o. Mobile phone network	
А	THB/1000 VND			В	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	Importance for business Quality of local conditions	nce for	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	mportance for business	Quality of local conditions	Importance for business	Quality of local conditions
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