

# Vulnerability in Southeast Asia Migrant Survey Vietnam / Thailand

2010

Version 1.3

#### Introductory statement

In 2007 and 2008 we have visited your household in the village for interview about livelihood, risks and shocks. This year we also would like to interview you about your situation in the city. Our interviewer team has just visited your family in the village and we have received permission from your head of household to interview your today. You may have received a call from your family member or from our survey coordinator to inform you about the interview today. We want to thank you for your kind cooperation.

We assure you that all information you give during the interview is kept strictly confidential. Data will be used for scientific purposes only and will not be given to any outside person, as well not any person from your family. As a sign of our great appreciation that you take your time for our interview please accept this small gift from us.

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37

**Future Plans** 

1 own dwelling 2 workplace 3 friends /relatives dwelling 4 public place 90 other, please specify Code B 1 yes 2 no 98 No answer 99 not applicable

# Section 1: Survey Information

30 Rural household I.D.:		7 Date of Interview (dd/mm/yy)
12 Name of the migrant		8 Time started (hh:mm)
	1 a Member I.D insert member I.D. from HH survey	9 Time finished interview (hh:mm)
6 Address: (current adress in the city)		27 Location of interview
25 Telephone No.		10 Name of rural HH head
1 Province I.D.:	000-999	Don't read out: 10a Does reported name of rural HH head match with rural HH listing? B
2 District I.D.:	00-99	If reported head of rural HH does not match, call the rural HH and confirm the identity if urban respondent.
3 Sub-district I.D.:	00-99	If urban respondent does not belong to rural HH, stop interview and contect supervisor for replacement.
14 Name of Interviewer:		15 Code
16 Name of supervisor:		17 Code
18 Data entered Name		Code 19 Date
20 Data revised Name		Code 21 Date
22 Notes:		

Code A	Code F (TH)	Code F (VN)
1 house	1 P.2	51 P. 1
2 room(s) in a house	2 P. 2	52 P. 2
3 apartment or condominium	3 P. 3	53 P. 3
4 shack in a slum area	4 P. 4	54 P. 4
5 temporary dwelling at construction site	5 P. 5	55 P. 5
6 dormitory in factory or working place	6 P. 6	56 P. 6
7 student dormitory	7 P.7	57 P.7
8 dormitory	8 M or MS 1	58 M or MS 1
9 hotel	9 M or MS 2	59 M or MS 2
90 others, please specify	10 M or MS 3	60 M or MS 3
98 No answer	11 M or MS 4	61 M or MS 4
99 not applicable	12 M or MS 5	62 M or MS 5
	13 M or MS 6	63 M or MS 6
Code B	14 MS 7	64 MS 7
1 male	15 MS 8	65 Univ. 1
2 female	16 PWC 1	66 Univ. 2
	17 PWC 2	67 Univ. 3
Code C	18 PWC 3	68 Univ. 4
1 yes	19 PWS 1	69 Univ. 5
2 no	20 PWS 2	70 Univ. 6 or Master degree
98 No answer	21 PWT 1	71 PhD
99 not applicable	22 PWT 2	00 as formal advantion
	23 Univ. 1	80 no formal education
Code D	24 Univ. 2	98 No answer
12 Non-relative	25 Univ. 3	99 not applicable
1 Self	26 Univ. 4	
2 Wife/Husband	27 Univ. 5	
3 Son/Daughter	28 Univ. 6 or Master degree	Code G
4 Son/daugther in law 5 Father/Mother	29 PhD	1 Unmarried 2 Married
6 Father/Mother in law	80 no formal education	3 Widow
7 Sister/Brother	98 No answer	4 Divorced/separated
8 Grandchild	99 not applicable	98 No answer
9 Nephew/Nice		
10 Cousin		
13 Brother/sister in law	Code E	Code H
14 Son/daugther adopted	1 Household head	1 yes
11 Other relatives	2 Not household head	2 no, go to next section
98 No answer	98 No answer	3 Already live together with all children and
99 not applicable		spouse - go to next section
		98 No answer

# 2.1: Persons sharing the dwelling

1 In what kind of accomodation do you live?



2 In total, how many persons share your dwelling? *Including respondent.* 

We would like to know with which persons you share your dwelling and about your relation to them. Including respondent. Ask for information of the migrant. Count relatives and non-relatives

3	4	5	6	7	8	9	10	11	12 <u>What is your highest educational attainment?</u>
Person I.D.	Whom do you share your dwelling with? Include respondent in first row.	Gender	Age Enter 0 if less than 1 year old	from your village ?	Is [NAME] a member of the responde nts rural HH ? C	How is [NAME] related to you? (incl family and non- family)	Who is the HH head of your urban HH? Instruction:Only 1 head per HH. If urban HH has no specific head enter 60 in first row and go to next question. E	Enumerator: If Q8 =yes, give member I.D. from HH survey (2 digits)	<ul> <li>F</li> <li>13 How many people do you currently support from your income?</li> <li>Persons</li> <li>Include spouse, children, rural HH and others</li> <li>14 How many people do currently support you with their income?</li> <li>Persons</li> </ul>
1				$\succ$	$\succ$	1		$\searrow$	<b>15</b> What is you marital status?
2									G
3									
4									<b>16</b> How many children do you have?
5									Children
6									If none, enter 0 and go to next section
7									
8									<b>17</b> Do you plan to have your children live with you?
9									н
10									
11									<b>18</b> In how many years do you plan to have your children
12									live with you?
13									years
14									If this year, enter 0.
15									
_			1	1	1			1	1

Rented
 Provided by employer
 Stay for free with friends/ relatives
 Owner
 Other, specify
 no answer
 not applicable

## Code B

No kitchen
 Place to cook in the room I sleep
 Seperated from the sleeping room
 no answer
 not applicable

## Code C

- 1 No
- 2 Electric fan
- 3 Aircondition
- 4 Electric fan and aircondition
- 98 no answer
- 99 not applicable

## Code D

On plain floor
 On floor with bamboo mat
 On floor with mattress
 In bed without matress
 In bed with matress
 In bed with matress
 Other
 no answer
 not applicable

## Code E

Flush toilet
 Latrine
 No toilet/latrine
 Other, specify
 no answer
 not applicable

## Code F

- 1 No filter/ treatment
- 2 Buy from public water filter
- 3 Use private water filter
- 4 Buy bottled water
- 5 Boil water
- 6 Provided by employer
- 90 Other
- 99 not applicable

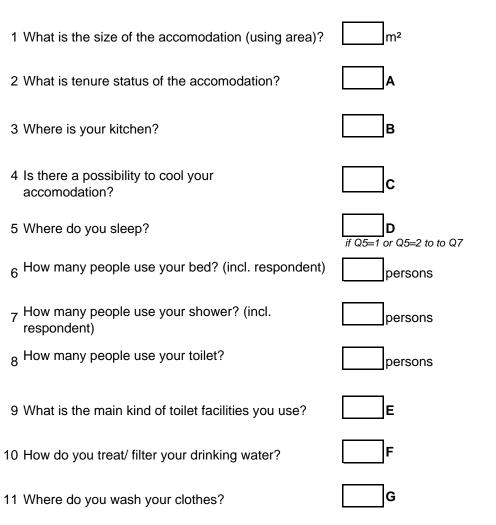
# Code G

- 1 Handwash
- 2 Washing center, or pay per use
- 3 Private washingmachine
- 90 Other
- 98 no answer
- 99 not applicable

## Code H

from the village
 colleague from the city
 friend from the city
 from another province
 Other, specify
 no answer
 not applicable

# Section 2.2: Housing conditions

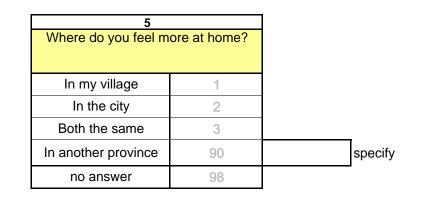


# **Section 2.3: Social Integration**

## Please think of up to 5 friends.

Exclude relatives and spouse

1	2	3	4
	Name/ Nickname	Where do you	For how long
D		know him/ her	do you know
Friend ID		from?	him/ her?
rier			If less than 1
Ē			vear enter 0
		Н	years
1			
2			
3			
4			
5			



Code A	Code E	Code C	Code C (continued)
1 not enough food in the village	Competitiveness	Agriculture	Service
2 sudden need for extra income of the HH	1 cheap labour	1 Agricultural wage labourer	21 Security guard
3 marriage	Connections	2 Logger	32 Driver
4 relatives/friends already there	2 recommendation by	3 Fisher	22 Carwasher
5 take care of family member(s)	family and friends	128 Agricultural services	25 Hair salon / barber
6 better job opportunities in the city	3 membership in	75 Other agriculture worker, please	26 Tailor
7 had a job offer there	association/ political party	specify	28 Cook
8 high debt in village/ bankruptcy	4 place of residence		29 Waiter
9 Recommended by friends/ family	, i	Industry and Production	30 Cleaner
10 Education possibilities	Education & skills	5 Textile, Apparel	31 Housemaid
98 no answer	•	102 Silk spinnery/weaving	24 Shoemaker
99 not applicable	5 Work experience	103 Mat making	126 Shoe-cleaner
	6 formal	104 Basket making	33 Carpenter
Code B	7 vocational skills/ training	106 Pottery	34 Mechanician
1 in the home village/commune	5	6 Electronics	35 Electrician
2 in the same district of the home village (rural area)	8 technical/ computer skills	7 Wood Products/ Furniture	36 Plumber
3 in the same district of the home village (urban area)		9 Metal Products and Machinery	71 Tourist guide
4 in the same province of the home village (rural area)	9 foreign languages skills	76 car assembly factory	77 dancer/ singer
5 in the same province of the home village (urban area)	Endowment	19 Construction worker	82 hotel luggage boy
6 in another province than the home village (rural area)	10 gender	66 rubber production	110 Taxi car/ motorcycle
7 in another province than the home village (urban area)	11 age	67 brickyard	84 Waste collector
9 in Bangkok	12 Physically strong	18 Miner, Quarryman	83 messenger
10 in Hanoi	90 Other, specify	101 Rice mill	78 sales person in big supermarket/
11 in Ho Chi Minh City	(e.g. unskilled labor)	4 other small scale food	department store
90 Other countries, specify Code F	99 not applicable	processing	79 sales person in small shop
98 no answer 1 contract ende		10 other industry, please specify	80 street vendor
99 not applicable 2 employer sign	ed me off		81 door to door vendor
3 found a better	paid job		107 Retail-Shop (sales store)
4 did not like the	e job	Public Sector	108 Petty trader (sales on street)
5 had to change	the location due to family reasons	38 Nurse (public clinic)	109 Wholesale
6 was ill			85 Lottery ticket seller
7 retired			111 Internet-cafe
8 Job was too h	ard		112 Hotel / guesthouse
9 wanted to do	some education	39 Policeman	113 Restaurant/ Bar
Code D 10 did not make	enough profit	40 Teacher	114 Foodstall operator
1 wage employed (incl. daily 11 went bankrupt		41 Soldier	118 Repair shop
wage labourer) 12 needed an inc	ome more stable	72 central governmental administration	137 Handicrafts/Carver
2 self employed 13 did not have n	noney for important	73 local government administration	57 accountant / bank clerk/officer
3 unemployed (incl. education investments		74 Other public servant, please specify	68 Nurse (private clinic)
& housekeeping) 14 still in this job		200 education	
90 other, specify		201 unemployed	132 Doctor
e.g. get bored	•	202 keeping own household	37 Other service worker, please specify
sensitive job -	rumors		eg photocopy shop
98 no answer		98 no answer	99 not applicable
99 not applicable			

			Section		-		ry did you leav			years	ago				
			2	Why	did you l	eave yo	our village?	List	up to 3 reasons	а	Α	b	A	С	A
				left th Ask f	ne village. or all jobs	ask about all y start with you pondent worke periods (incl.	llage.	ent times) and	l where	e you wo	orked since you				
			A				stions Q4-Q10 og to Part B,	)) for all jobs and	В	<b>B</b> Ask for wage- and self-employment (Q 30006 = 1 or 2) Leave unemployment periods blank					r 2)
3	4 5	6	7	8	9	9a	10		11	12	13	14			
Occupation ID	Please describe your occupation Include periods of unemployment	Mode of em- ploy- ment	Locatio (provinc		Which y you s working job/ bus beco	start in this siness/	How many month did you stay in this job/ business/ unemployed ?		Which are th important r that you wer this jo 99 for self en	easons e offered b?	Which were important rea the job /b	isons to quit			
0	unemployment								most important	other	most important	other			
	С	D	name	В	MM/	YYYY	months		E	E	F	F			
1 2															
3															
4															
5															
6															
7 8															
о 9															
10															
11															
12															
13															
14															
15															

#### Code E

- 1 None
- 2 Vocational training
- 3 Training course by company
- 4 Primary school
- 5 Secondary school
- 6 University
- 7 Taxi licence
- 8 Driving licency
- 90 Other, specify
- 98 no answer
- 99 not applicable

#### Code F

living conditions improved
 living condiitions are/ were still the same
 living conditions worsened
 Same living place
 no answer
 not applicable

#### Code G

- 1 everyday
- 2 every weekend
- 3 less than every weekend to once a month
- 4 less than once a month to every second month
- 5 less than every second month to 4 times a year
- 6 3 times a year
- 7 twice a year
- 8 once a year
- 9 less than once a year
- 10 never

#### Code D

- 1 wage employed (incl. daily wage labourer)
- 2 self employed
- 3 unemployed (incl. education & housekeeping)

#### Code H

- less than one week
   one week
   more than 1 week to one month
   more than 1 month to 3 month
- 5 more than 3 month to almost 6 month

#### Code J

rice cultivation (with rural HH)
 rice cultivation (paid)
 other farm work (with rural HH)
 other farm work (paid)
 help in the HHs business
 other job
 help with housework
 visit the family
 take care of family member(s)
 Holiday/ festivity (Tet, Sonkran, wedding, funeral)
 Other, specify
 no answer
 not applicable

					В	Actifantino		+ (0.20000	1						
						-	e- and self-employmer	•	1012)						
_	_			_		-	nemployment periods blank								
_	1	3	4	5	15	16	17	18	19	20	21	22	23	24	
		Please describe y occupation	our	Mode of em-	On average, how many	On average,	How many years of job experience did	Which educational	How did your living	How often did you go	How long did you	How many weeks did you	What were important r		
6	2	occupation		ploy-	days per	how many	you have in this	programme		back to your	stay in the	spend in your	returning to		
Š	5			ment	month did	hours per	sector when you	did you	change in	village	village	village in total	· · · · · · · · · · · · · · · · · · ·	Jan San San	
400					you work?	working day	started working in	attend when	comparison	during this	during a	when having			
	3	Include periods of				did you	If less than 1 year enter	working in	to the	job?	typical visit?	this job?	maat		
Č	5	unemployment				work?	n less than i year enter 0	this job?	previous location or				most important	others	
			с	D	days	hours	years	Е	F	G	н	weeks	J	J	
	1														
1	2														
	3														
4	1														
ļ	5														
(	5														
-	7														
8	3														
9	•														
1	0														
1	1														
1	2														
1	3														
1	4														
1	5														

## Code E

Unlimited (written contract)
 Unlimited (verbal agreement)

3 Limited (written contract)4 Limited (verbal agreement)

90 Other, specify98 no answer99 not applicable

#### Code F

1 yes 2 no 98 no answer 99 not applicable

#### Code G

On my own
 Media (newspaper, tv, internet...)
 Family and friends
 Private job agency
 Public job agency
 Other, specify
 no answer
 not applicable

#### Code D

- 1 wage employed (incl. daily wage labourer)
- 2 self employed
- 3 unemployed (incl. education & housekeeping)

#### Code H

2 Day 7 week 8 Fortnight = 2 weeks 4 Month 5 Year 6 lumpsum payment 90 Other, specify 98 no answer 99 not applicable

## Code J

accomodation
 food
 transport
 health insurance
 Insurance
 education fee for children
 Other, specify
 no answer
 not applicable

# Section 4.1: Wage employment

In this section we would like to talk about all wage employment that you have maintained since you left the village. Examples for wage employment: factory worker, construction worker, service worker

Only ask for wage employment (Q30006 = 1)

Include secondary jobs

3	4	5	6	5a	6a	7	8	9	10	11	12	13	14	15	16	17	18	19
Occupation ID	Please describe y occupation	/our	Mode of em- ploy- ment	was your	leading position	How did you know about the job?	your income you s	e when	your income you fir	cash è when	Does this job include any non- monetary benefits? (food, travel etc.)	incl m inc bene	this jo ludes i loneta comes fits, pl cify w	non- ry or ease	If free meals are included, estimate the value per day	If job involves irregular bonuses, pls. estimate the value per year	Were you ever cheated your salary in this job?	Were you ever hit/ violated by your employer in this job?
		с	D	job? E	F	G	THB/ 1000 VND	Time unit <b>H</b>	THB/ 1000 VND	unit H	lf 2, skip to Q16 <b>F</b>	1 J	2 J	3 J	THB/ 1000 VND	THB/ 1000 VND	F	F
1																		
2																		
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		
13																		
14																		
15																		

Code F 1 cart 2 bicycle 3 car 4 equipment/ machinery 5 rent for shop/ storage 6 storage room 7 motorcycle 90 Other, specify 98 no answer 99 not applicable

#### Code G

1 yes 2 no 98 no answer 99 not applicable

#### Code H

1 profit 2 loss 98 no answer 99 not applicable

Code D

Code E

1 Informal 6 Registered 90 Other, specify

98 no answer99 not applicable

1 wage employed (incl. daily wage labourer)

2 self employed

3 unemployed (incl. education & housekeeping)

# Section 4.2: Self-employment

## In this Section we would like to talk about all self-employed activities you have mainained since you left the village.

By self-employment we mean that you are an own-account worker without employees (e.g.: handicraftman, petty-trader, mat-making, ...) or that you are running an own business with family workers or other employees (e.g.: restaurant owner, shop owner, hair salon, taxi/bus owner, ...).

Only ask for self- employment (Q30006 = 2)

Include secondary jobs

3	4	5	6	5a	6a	7	8	9	10	11	12	13	14
Occupation ID	Please describe y occupation		of em- ploy-	Was your business formal or informal?	What was the amount of initial investment? <i>Own capital and</i> <i>loans</i>	What was the major investment item?	What was the monthly variable expenses excluded wages?	Do you have employees (including family members)? <i>If 2 go to Q13</i>	Family members	von-family Non-family Members	On average, what was the total monthly payroll for all employees?	Did you make profit or loss with this business?	On average, how much was your monthly profit/loss.
		С	D	E	THB/ 1000 VND	F	THB/ 1000 VND	G	Fai		THB/ 1000 VND	Н	THB/ 1000 VND
1													
2													
3													
4													
5													
6													
7													
8													
9													
10													
11													
12													
13													
14													
15													

- 1 Rural household
- 2 Parents in-Law
- 3 Brother/Sister
- 4 Other Family
- 5 Non-Family in village
- 6 Non-Family in city
- 7 Child/ children
- 8 None
- 90 other, specify
- 98 No answer
- 99 not applicable

## Code B

every month and more
 every 2 month
 every 3 month
 Less than every three month
 never

#### Code C

1 yes 2 no 98 No answer 99 not applicable

#### Code D

- 1 Everything
- 2 Food
- 3 Health
- 4 Education
- 5 Repair house
- 6 Farm (buy seeds/ fertilizer/ animals/ etc)
- 7 Business (buy machine/ cart/ stock/ etc)
- 8 Buy land
- 9 Buy goods (bed/ TV/ etc)
- 10 Savings
- 90 other, specify
- 98 No answer
- 99 not applicable

# Code E

cash - via bank
 cash - in person
 cash - via friends or relatives
 cash - via post
 in kind - in person
 in kind - via friends or relatives
 in kind - via post
 other, specify
 No answer
 not applicable

#### Code F

- 1 Increased
- 2 Decreased
- 3 No change
- 98 No answer
- 99 not applicable

# Section 5.1: Remittances sent

1

Remittance I.D

## We are now interested in your financial support to your rural household and other family and friends

Refer to all wage-employment, self-employment and unemployment periods of section 3 For each occupation mentioned in section 3 record each receipient in a separated row.

12 Compared to 3 years ago, how did the annual amount of remittances change?

F

5 7 2 3 4 6 8 9 10 11 Whom did you Did you send For each job/ How often did How much Approximately Was the Did the What did the How are the unemployment support? did you send , what was receipient a similar receipient receipient remittances you sent fill in Occupation depending on ask you for amount each each time? the total use your sent? remittances to ID from section 3 the receipient in time? annual value your sending remittances per receipient this period? remittances remittances for? of Incl. periods of unemployment during this during this remittances If none, enter zero period? sent to this period? and ask for next Incl. periods of lf not known. ask receipient? occupation unemployment for total in Q7 в С THB/1000 VND THB/1000 VND С С D Е Α

Rural household
 Parents in-Law
 Brother/Sister
 Other Family
 Non-Family in village
 Non-Family in city
 Child/ children
 None
 other, specify
 No answer

# 99 not applicable

#### Code B

every month and more
 every 2 month
 every 3 month
 Less than every three month
 never

#### Code C 1 yes 2 no 98 No answer 99 not applicable

#### Code D

Everything
 Food
 Health
 Education
 Repair house
 Farm (buy seeds/ fertilizer/ animals/ etc)
 Business (buy machine/ cart/ stock/ etc)
 Buy land
 Buy goods (bed/ TV/ etc)
 other, specify
 No answer
 not applicable

## Code E

cash - via bank
 cash - in person
 cash - via friends or relatives
 cash - via post
 in kind - in person
 in kind - via friends or relatives
 in kind - via post
 other, specify
 No answer
 not applicable

# Code F

- 1 Increased
- 2 Decreased
- 3 No change
- 98 No answer
- 99 not applicable

# Section 5.2: Remittances received

## We are now interested in the financial support you received from your rural household and other family and friends

Refer to all wage-employment, self-employment and unemployment periods of section 3

For each occupation mentioned in section 3 record each sending person in a separated row.

12 Compared to 3 years ago, how did the annual amount of remittances change?

F

1	2	3	4	5	6	7	8	9	10	11
Remittance I.D.	For each job/ unemployment fill in Occupation ID from section 3 per sender	Who supported you? Incl. periods of unemployment If none, enter zero and ask for next occupation	How often did you receive remittances from this person in this period?	time?	How much did you receive each time? If not known, ask for total in Q7	this person?	Were you depending on the remittances from this person during this period?	Did you ask the person for sending remittances during this period?	What did you use the remittances for?	How are the remittances sent?
		Α	В	С	THB/1000 VND	THB/1000 VND	С	С	D	E
1 2										
2										
3 4										
4 5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										
16										
17										
18										

1 yes 2 no 98 no answer 99 not applicable

#### Code C

1 none 2 Life 3 Health 4 Car/ Motorcycle 5 Theft/ burglary 6 Accident/ Injury 7 Fire 90 Other, specify 98 no answer 99 not applicable

#### Code B

- 0 Funds for disaster emergency and social assistance (Vnam)
- 1 un-employed and economic crisis programm (2000 Baht)
- 2 support for the elderly (500 Baht)
- 3 support for handicaped people (500 Baht)
- 4 Scholarships/ Educational support
- 5 Free public transport
- 6 Free water/ electricity
- 7 Aid for unemployment
- 8 Aid for poor people
- 90 Other, specify
- 98 no answer
- 99 not applicable

#### Code D

- 1 UC scheme (Universal Coverage Health Care Scheme)
- 2 SSS (Social Security Scheme)
- 3 CSMBS (Civil Servant Medical Scheme)
- 4 none
- 5 Compulsory social insurance
- 6 Bought insurance by yourself
- 7 Provided insurance by National Target Program because he/she=is poor
- 8 Combination of compulsory and poverty schemes
- 90 Other, specify
- 98 no answer
- 99 not applicable

## Code E

- Health
- 1 Illness
- 2 Work accident
- 3 Traffic accident

#### Business

- 4 Job Loss
- 5 Fight/ conflict (job/ business)
- 6 Fight/ conflict (private)
- 7 Problem with police/ Law suit
- 8 Collapse of business
- 9 Problems with customer/ customer did not pay
- 10 Change in market regulations (related to business)

#### Money

- 11 Theft
- 12 loose money in gambling
- 13 Rise in cost for public transport
- 14 Increased fuel price
- 15 problem with debt/ creditor/ interest rate
- 16 Relatives/Friends stopped sending remittances

#### Family

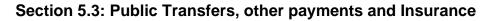
- 17 Family ceremony (wedding, birth, funeral)
- 18 Family/ friend asked for support

#### Housing

- 19 Damage of the living place
- 20 Problem with rent/ Housing contract cancelled (urban)

#### Other

- 21 Strike/ protest22 Flooding your of home/shop23 Water shortage24 Extremely hot weather
- 25 Strong storms
- 90 Other, specify
- 98 no answer
- 99 not applicable



1 Did you receive any governmental support between 05/09 and 04/10?

Prompt all Codes B. If no go to question 4

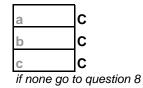
2 How much support did you receive in total cash and in-kind during 05/09 and 04/10?

THB/ 1000 VND

3 Which programme did you receive this support from?



4 Apart from the compulsory insurance by employer required by Law, Which private insurances do you have?



5 How much is the total premium for all insurances per year?

THB/ 1000 VND

6 How many life insurances do you have?

7 Since when do you have this insurance? If more than one, please refer to oldest.

MM/ YYYY

ח

8 Which scheme of social insurance does apply to you?

Section 6.1 : Shocks

When considering the time since you left the village, has there been any event causing a big problem (shock) affecting you ?

Please think of any problems related to your family, house or job.

What were the three major shocks that affected you since you left the village?

	Type of event
	E
1	
2	
3	

Please code 3 reported event in Q6 on next page.

1 you directly

- 2 your rural household
- 3 your partner (husband/wife/girlfriend/boyfriend)
- 4 your children
- 5 the people in your dwelling
- 6 friends
- 7 nobody
- 98 no answer
- 99 not applicable

## Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

## Code C

1 increased
 2 decreased
 3 no change
 4 Does not send/ receive remittances
 98 no answer
 99 not applicable

## Code E

1 yes 2 no 98 no answer 99 not applicable

#### Code AA

1 yes 2 no 3 yes, code listed spontaneously in Q1-3 98 no answer 99 not applicable

## Code D

- 1 Did nothing
  - Economics
- 2 Took up additional occupation
- 3 Started a new job

# Demographics

- 6 Took children out of school7 Sent children to relatives/friends8 Migrated to look for job
- 9 Went back to the village
- 10 Migrated to marry

# Sale

- 11 Sold car
- 12 Sold other assets
- 13 Sent higher remittances

## **Borrowing and Savings**

15 Used savings
16 Used insurance
17 Borrowed from relatives
18 Borrowed from friends/neighbours
19 Borrowed from pawnshop
20 Borrowed from informal money-lender
21 Borrowed from village funds
22 Borrowed from commercial bank
23 TH: Borrowed from BAAC/Coop. Bank
24 TH: Borrowed from Government Savings Bank
25 TH: Borrowed from Village bank
26 VN: Borrowed from VBSP
27 VN: Borrowed from VBARD
32 Borrowed from employer

# Grants

28 Help from government
29 Help from NGOs
30 Received higher remittances from relatives
31 Received higher remittances from friends/neighbours
90 Other, specify
98 no answer
99 not applicable

# Section 6.1 : Shocks (cont.)

(Info: Read out all events. If some events occured more than once, use empty rows at the bottom to record all.)

Section refers only to events that happened directly to respondent and exclude the rural HH

4	5	6	7	7a	7b	8	10	11	12	13	14	15	16
	Type of event	Were you	Who	Whe	n did	Estimated	How did	How did	How	did you	l cope	Did you still	How many
		affected by	was	the e	event	severity of	remittances	remittances			[]? List	have to	months
		[EVENT]	affected	000	cur?	the event	you sent	you		to 3 co		reduce	did it take
° ∧			indirectly			on you?	change?	received	a	activitie	es.	consumption	to recover
		the village?	?					change?				expenditures	from the
Event ID												because	event?
Vel												[EVENT]?	
ш		Ask for each item							Chann an		4		if not yet
		before going to next Q.							Show of	codes.	t possible		recovered fill in "96"
		AA	А	MM/	YYYY	Interv.: Read code B	с	с	D	D	D	Е	months
1	1 Illness		~			COUC D	5	•	D	U	U	-	montris
2	2 Work accident												
3	3 Traffic accident												
4	4 Job Loss												
5	5 Fight/ conflict (job/ business)												
6	6 Fight/ conflict (private)												
7	7 Problem with police/ Law suit												
8	8 Collapse of business												
9	9 Problems with customer/ customer did not pay												
10	10 Change in market regulations (related to business)												
11	11 Theft												
12	12 loose money in gambling												
13	13 Rise in cost for public transport												
14	14 Increased fuel price												
15	15 problem with debt/ creditor/ interest rate												
	16 Relatives/Friends stopped sending remittances												
	17 Family ceremony (wedding, birth, funeral)												
	18 Family/ friend asked for support												
	19 Damage of the living place												
	20 Problem with rent/ Housing contract cancelled (urban)												
	21 Strike/ protest												
	22 Flooding your of home/shop												
	23 Water shortage												
	24 Extremely hot weather												
	25 Strong storms												
26	26 Death of related person												
27													

1 yes 2 no 98 no answer 99 not applicable

## Code B

1 1 in 5 years
 2 2 in 5 years
 3 3 in 5 years
 4 4 in 5 years
 5 5 in 5 years
 6 more than 5 in 5 years
 98 no answer
 99 not applicable

## Code C

- 1 High
- 2 Moderate
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

#### Code D Income & Investment

- 2 Income source diversification
- 15 Switch to more secure income sources
- 22 Investment in physical capital
- 23 Investment in human capital
- 11 Investment in social capital
  - (networks, associations, reciprocal gift givings, etc.)
- 4 Membership in occupational organisations (e.g. guild)
- 18 Investment in security of homestead (watchdog, alarm device, etc.)

## Savings & Insurance

- 5 Membership in rotating savings and credit associations
- 6 Savings accounts in financial institutions
- 7 Contract insurances
- 8 Participation in microfinance
- 9 Sharecropper tenancy
- 10 Buffer stocks (e.g. storage of food, seeds, money at home)
- 12 Old age annuities
- 16 Collective action for infrastructure, dikes, terraces, irrigation, etc.
- 17 Common property resource management (of forest, lake, etc.)

## **Health & Demographics**

- 13 Preventive health practices (sports etc.)
- 20 Medical treatment (vaccination, stock medicine etc.)
- 19 Investment in travel safety
  - (helmet for motorbike, use more secure means of transportation, etc.)
- 14 Migration
- 21 Marriage and extended family
- 90 other, please specify
- 98 no answer
- 99 not applicable

# Section 6.2 a: Risks

# Now, please consider the following possible future events:

Section refers only to events that might happen directly to respondent and exclude the rural HH

1	1a	2	3	4	5	6
Risk ID	Type of event	Do you think that [EVENT] will occur in the next 5 years? <i>If "No" go</i> Q5	How often, do you think, will [EVENT] occur in next 5 years? B	If [EVENT] occured within next 12 months, what would be the impact on your economic wellbeing? Includes income/ expenditures/ assets/ savings C	Do you do anything to prevent [EVENT] from happening OR to mitigate its impact on your income and assets? <i>If "No" go to next row</i> A	What do you do to prevent [EVENT] from happening OR to mitigate its impact on your income and assets? (most important strategy only)
1	1 Illness					
2	2 Work accident					
3	3 Traffic accident					
4	4 Job Loss					
5	5 Fight/ conflict (job/ business)					
6	6 Fight/ conflict (private)					
7	7 Problem with police/ Law suit					
8	8 Collapse of business					
9	9 Problems with customer/ customer did not pay					
10	10 Change in market regulations (related to business)					
11	11 Theft					
12	12 loose money in gambling					
13	13 Rise in cost for public transport					
14	14 Increased fuel price					
15	15 problem with debt/ creditor/ interest rate					
16	16 Relatives/Friends stopped sending remittances					
17	17 Family ceremony (wedding, birth, funeral)					
18	18 Family/ friend asked for support					
19	19 Damage of the living place					
20	20 Problem with rent/ Housing contract cancelled (urban)					
21	21 Strike/ protest					
22	22 Flooding your of home/shop					
23	23 Water shortage					
24	24 Extremely hot weather					
25	25 Strong storms					
26	26 Death of related person					

- Code E
- 1 Do nothing

Economics

- 2 Take up additional occupation
- 3 Start a new job

## Demographics

- 6 Take children out of school
- 7 Send children to relatives/friends
- 8 Migrate to look for job
- 9 Go back to the village
- 10 Migrate to marry
  - Sale
- 11 Sell car
- 12 Sell other assets

## **Borrowing and Savings**

- 15 Use savings
- 16 Use insurance
- 17 Borrow from relatives
- 18 Borrow from friends/neighbours
- 19 Borrow from pawnshop
- 20 Borrow from informal money-lender
- 21 Borrow from village funds
- 22 Borrow from commercial bank
- 23 TH: Borrow from BAAC/Coop. Bank
- 24 TH: Borrow from Government Savings Bank
- 25 TH: Borrow from Village bank
- 26 VN: Borrow from VBSP
- 27 VN: Borrow from VBARD
- 32 Borrow from employer

# Section 6.2 a: Risks

Now, please consider the following possible future events:

7 Suppose you would suddenly need 2500 THB/ 750 000VND. Would you do any of the following things?

Info: Read out list in Code E and note all that apply.

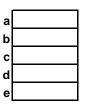
8 Please rank activities by highest importance (1-5)

Info: Please fill in letter code (a-e) from Q7 according to importance

- 9 How many days would you need to get this amount?
- 10 Suppose you would suddenly need 30,000 THB/ 7.5 Mill. VND. Would you do any of the following things? Info: Read out list in Code E and note all that apply.
- 11 Please rank activities by highest importance (1-5)

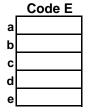
Info: Please fill in letter code (a-e) from Q7 according to importance

12 How many days would you need to get this amount?









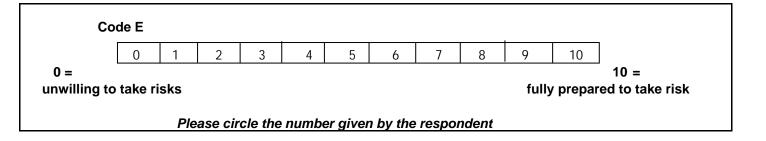




## Code A 1 Better off 2 Same 3 Worse off 98 no answer 99 not applicable

Code B 1 High 2 Medium 3 Low 4 No impact 98 no answer 99 not applicable Code C

1 is improving 2 remains the same 3 is becoming worse 98 no answer 99 not applicable



# 6.2b. Subjective assessment of wellbeing

1	Do you think you are better off than last year?	A	
2	Do you think you are better off than 5 years ago?	A	
3	How much does your income fluctuate?	в	if code=4, go to Q5
4	How much do income fluctuations affect your wellbeing?	в	
5	What was the best year for you in the last 5 years?	YYYY	
6	What was the worst year for you in the last 5 years?	YYYY	
7	Do you think you will be better off next year?	A	
8	Do you think you will be better off in 5 years?	A	
9	Since the end of 2008 there is an economic and financial crisis. How has your economic situation changed since then?	c	
	Includes job security and changes in income and prices		
10	Are you generally a person who is fully prepared to take risks or do you try to avoid taking risk?	]E	
	Show scale on previous page		
11	Imagine you had just won 50,000 Baht (put equivalent amount in Dong) in a lottery and you can invest this money in a business. It is equally likely that the business goes well or not. If it goes well you can double the amount invested after one year. If it does not go well you will loose half the amount you invested.		

How much of the 50,000 Baht (equivalent amount in Dong) would you invest in the business?

THB/ 1000 VND

- 1 yes
- 2 no

98 no answer

99 not applicable

## Code B

- 1 car 2 motorcycle 3 washing machine
- 4 TV
- 5 furniture
- 6 mobile phone
- 90 Other, specify
- 98 no answer
- 99 not applicable

# Code C

- 5 Cash
- 4 Food (rice etc.)
- 6 Household asset (bed, TV etc)
- 7 Business asset (machine, cart etc.)

90 Other, specify

98 no answer

99 not applicable

#### Code D

Business investments
 Business related expenses

- 2 Agricultural investments
- 4 Agriculture related expenses (e.g. fertilizer pesticides)
- 5 Pay back other debt 6 House or land purchase/construction
- 7 Buy durable household goods
- 8 Improving infrastructure (water supply, sanitation etc.)9 Pay rent
- 20 buying consumption good (e.g. food) 10 Medical treatment
- 11 Ceremony (wedding, funeral, tet)12 Education14 work abroad18 relend to family members or relatives
- 19 relend to non-relatives90 Other, specify98 no answer99 not applicable
- Code F 1 year 2 month 3 week 4 day 98 no answer 99 not applicable

Code E Thailand 51 Government Housing Bank 52 Bank for Agriculture and Agricultural Cooperatives (BAAC) 53 Government Savings Bank 54 Small Industry Finance Corporation/ SME Development Bank 55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion 56 Urban Community Development Organization 60 Village Fund/Community Fund(Taksin village fund) Vietnam 79 poverty eradication project (Social Policy Bank) 80 student loan fund (Social Policy Bank) Others 57 Agricultural cooperatives 58 Other socio-political organization 59 Village bank 61 Business partner/trader/supplier 62 Money lender 63 pawnshop 64 Private bank Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.) 70 relative in village 71 relative outside village (same province) 72 relative other province 73 relative abroad 74 friends in village 75 friends outside village (same province) 76 friends other province 77 friends abroad 78 Self help credit group 82 saving cooperative and credit union 83 insurance company 84 employer 90 Other, specify 98 no answer 99 not applicable

# Section 7.1: Borrowing

1 Did you have any debt during the last year (05/09 -04/10)?

Include loans that are still owed,

loans completely repaid between 5/09 and 4/10

and purchases on installment still to pay for

#### Do you have any purchases on 3 installment still to pay for?

Α

# 7 Do you have any other loans?

If 2=no go to section 7.2

(include loans still owed, loans repaid between 05/09- 04/10, pawnshops)

Α

Δ

If no go to section 7.2

2	4	5
Installment I.D.	For which item?	How much did you pay in total in the reference period? THB/ 1000 VND
1		
2		
2 3		
3		
3 4		
3 4 5		
3 4 5 6		
3 4 5 6 7		

6	8	9	10	11	12	13	14	15
Loan I.D.	What is the amount of loan you borrowed? (if non-cash loan, indicate the good and estimate its value)		Vhat is the For what did you actually use the loan? (give two most important usages) an, indicate e good and stimate its value)		Interest rate as agreed time unit of interest rate		Remaining debt as of end 4/10 (excluded interest rate)	
	C	VND	D	D	Е	%	F	THB/ 1000 VND
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								

If no got to Q7 Please record all loans in decreasing order of value.

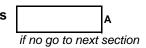
1 yes 2 no 98 no answer 99 not applicable

#### Code B

5 Cash
1 Jewellery
2 Other durable goods
4 Food (rice etc.)
90 Other, specify
98 no answer
99 not applicable

Code C 1 friend from village 2 friend from city 3 relative 4 group of friends 90 Other, specify 98 no answer 99 not applicable

- 7.2 Lending
  - 1 Did you ever lend out cash or goods or sell by installments?



Α

Do you have any lendings that still 2 own or that have been completely if no go to next section repaid to you between 5/09 and 4/10?

## Please record all loans that are still owed to you or that have been completely repaid to you between 5/09 and 4/10

3	4	5	6	7	8	9
loan	What is the amount of loan you lend? (If loan is non-cash please indicate the good and estimate its value)		To whom did you lend?	Total repayments of loan as of end 4/10	expect to be fu	do you the loan ully paid ack
	Туре <b>В</b>	Value THB/ 1000 VND	С	THB/ 1000 VND	month	year
1						
2						
3						
4						
5						
6						
7						
8						

# 7.3 Savings

## Read out to respondent:

We reassure you that all information given is strictly confidential. It will not be given to others and will only serve scientific purposes.

1 Do you have any savings, cash or in-kind?



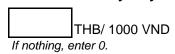
# 2 How much are your savings in total at the moment?

Financial assets only, exclude land/buildings

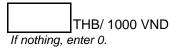


 $_{3}$  During the period of May 2009 to April 2010, could you save parts of your income?

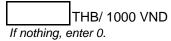
4 How much money do you save on bank account?



# 5 How much money do you save with other person you trust?



# 6 How much money do you save at home?



1 yes 2 no 98 no answer 99 not applicable

#### Code C

1 in the home village/commune 2 in the same district of the home village (rural area) 3 in the same district of the home village (urban area) 4 in the same province of the home village (rural area) 5 in the same province of the home village (urban area) 6 in another province than the home village (rural area) 7 in another province than the home village (urban area) 9 in Bangkok 10 in Hanoi 11 in Ho Chi Minh City 20 Laos 21 Cambodia 29 Other Asian country 30 Australia and Oceania 35 Europe 40 North America 90 Other countries, please specify 98 no answer 99 not applicable

#### Code B 1 mostly business use 2 business and private use 3 mostly private use 98 no answer 99 not applicable

. . .

#### Code D 1 residential use 2 rented out 3 rice and field crops 4 permanent crops 5 pasture 6 aquaculture or pen 7 forest (planted) 8 vacant land 9 business establishment 90 others, specify 98 no answer 99 not applicable

#### Code E

- 1 owned (unmortgaged) 2 mortgagor 3 sale-redeem 90 others, specify 98 no answer
- 99 not applicable

#### Code F

1 Title deed, NS 5, NS3, NS3K 2 SPK 4-01, NK, STK, KSN, PBT5 3 NS2, SK1 4 no documents 90 others, specify 98 no answer 99 not applicable

#### Code G

1 residential use 2 rented out 3 storage 4 barn 5 stable 6 shop 7 office 8 factory 90 others, specify 98 no answer 99 not applicable

Section 8: Wealth

1a		1	2	3	4
		Item	Do you own	Have you	What is
			[item]?	bought	the use of
				[ITEM] for your HH in	the item?
Asset ID			only refers to	the village?	
Ass			items owened by		
`			respondent in		
			the city		
1	50	car	A	A	В
2		Truck (s)			
2		Pick up (s)			
4		Motorcycle (s)			
5		Bicycle (s)			
6		TV (s)			
7		Video cassette player/DVD (s)			
8		Satellite Dish (s)			
9		Radio (s) and Stereo (s)			
10		Regular Phone (s)			
11		Mobile Phone (s)			
12		Refrigerator (s)			
13		Gas Stove (s)			
14		Water heater (s)			
15		Washing Machine (s)			
16	36	Sewing Machine (s)			
17		Iron (s)			
18	38	Vacuum cleaner (s)			
19	39	Air Conditioner (s)			
20	40	Electric Fan (s)			
21	41	Electric rice cooker			
22	49	Water filter			
23	42	Personal computer			
24		Sofa set			
25		Mattress			
26		Bed			
27		Watches and clocks			
	90 90				
	90 90				
	90				
L					

 $^{5}$  What do you consider as an absolute minimum net income per month for you?

THB/ 1000 VND

only income needed for respondent, not entire HH

## 6 Do you or your spouse own any land?

		,				if no go to Q16		
7	8	9	10	11	12	13	14	15
Plot ID	Where is the plot located?	Land area TH: rai, VN:	Main land use	What is the tenure status?	Type of land document	What is the current value of the land if you wanted to sell it? (include the buildings on it if there is)	Is there any building on this plot?	lf Q 14 = yes give building ID
	С	m²	D	E	F	THB/ 1000 VND	Α	
1								
2								
3								
4								
5								

16 Do you or your spouse own any buildings/ apartments?

if no go to next section

17	18	19	20	21	22	23
Building ID	Where is the building located?	What size does the building/ apartment have?	What is the number of floors?	What is the number of rooms	What is the main use of the building/ apartment?	Please estimate the current value of the building if you want to sell it? Write 0 if already calculated above.
	С	m²			G	THB/ 1000 VND
1						
2						
3						
4						
5						

change as soon as possible
 less than one year
 one year
 five years
 10 years
 as long as I am working
 Other, specify
 no answer
 not applicable

#### Code B

1 same job
 2 same job, but better position
 3 in agriculture
 4 in services
 5 in industry
 6 in construction
 90 Other, specify
 98 no answer
 99 not applicable

#### Code C

- 1 in the home village/commune
- 2 in the same district as the home village (rural area)
- 3 in the same district as the home village (urban area)
- 4 in the same province as the home village (rural area)
- 5 in the same province as the home village (urban area)
- 6 in another province as the home village (rural area)
- 7 in another province as the home village (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other countries
- 98 no answer
- 99 not applicable

#### Code D

1 as soon as possible 2 less than one year 3 in one year 4 in five years 5 in 10 years 6 when I am old 98 no answer 99 not applicable

#### Code E

marry
 care for family
 pension
 work
 take over/work in family's business
 take over/work on family's farm
 allowed to come back
 Other, specify
 no answer
 not applicable

#### Code F

- savings
   open up/work in a small family's business
   farm work (wage labor)
- 4 non-farm job
- 5 expand/work on family's farm
- 90 Other, specify
- 98 no answer
- 99 not applicable

#### Code G

1 save for old age 2 leave bequest for children 3 business investment in the city 4 agricultural investment 5 house or land purchase/construction 6 buy durable household good (e.g. cell phone, tv) 7 buy bicycle, motorcycle or car 8 buy food in case of emergency 9 use for medical treatment 10 use for ceremony (wedding, funeral, tet) 11 study 12 send to family members 13 business investment in the village 14 for emergencies 15 no savings 90 Other, specify 98 no answer 99 not applicable

# Section 9.2: Future Plans

1 How has your working situation changed from the previous to the current job?	Only tick one.	1 better	2 same	<sup>3</sup> worse
2 For how many years would you like to keep your current job, assuming it was possib	le?	A	If like to quit, ente If indefinitely, ente	
3 What kind of job will you be doing next year if things go well?		В	n maenniery, ente	1100
4 What kind of job will you be doing next year if things do not go well?		В		
5 Was it worth for you to leave your home village?	Only tick one.	1 yes	2 undecided	3 no
7 Where do you expect to live in 5 years from now ?		С		
<sup>8</sup> Where do you expect to live in 10 years from now ?		C		
<sup>9</sup> Do you plan to return to your home village one day?	Only tick one.	1 yes	<sup>2</sup> undecided	3 no
10 When do you want to return?		if no, or under	cided go to Q13.	
11 What is your purpose on returning to the village in future?		E		
<sup>12</sup> How will you earn your living? List up to three income sources.		a <b>F</b>	bF	c F
13 For what do you expect to use savings in the future (please ask for three most import	ant expectations)?	a <b>G</b>	bG	c G

End of interview. Fill in time Q10009