

Code A

1 died

2 moved away/divorced/disappeared

90 other, specify

99 not applicable

Section 1: Survey Information

1 Province I.D.:	<input type="text"/>	7 Date of Interview (dd/mm/yy)	<input type="text"/>	<input type="text"/>	<input type="text"/>
2 District I.D.:	<input type="text"/>	8 Time started (hh:mm)	<input type="text"/>	<input type="text"/>	
3 Sub-district I.D.:	<input type="text"/>	9 Time finished interview (hh:mm)	<input type="text"/>	<input type="text"/>	
4 Village I.D.:	<input type="text"/>				
5 Household I.D.:	<input type="text"/>				
6 Address (or description) of household:	<input type="text"/>			25 Telephone No.	<input type="text"/>
23 Name of Household Head in round 3	<input type="text"/>				
24 If not same household head, why?	<input type="text"/>	Code A			
10 Name of Household head	<input type="text"/>	11 I.D. Code	<input type="text"/>		
12 Name of Respondent	<input type="text"/>	13 I.D. Code	<input type="text"/>	<i>insert I.D. for person from section 2.1</i>	
14 Name of Interviewer:	<input type="text"/>	15 Code	<input type="text"/>		
16 Name of supervisor:	<input type="text"/>	17 Code	<input type="text"/>		

18 Data entered	Name	<input type="text"/>	Code	<input type="text"/>	19 Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
20 Data revised	Name	<input type="text"/>	Code	<input type="text"/>	21 Date	<input type="text"/>	<input type="text"/>	<input type="text"/>

22 Notes: _____

Code A	Code C	Code E	Code F
1 Head	1 In the same village/commune	1 Kinh	1 Buddhist
2 Wife/Husband	2 In the same district (rural area)	2 Tay	2 hoa hao Buddhist sect
3 Son/Daughter	3 In the same district (urban area)	3 Thai	3 Muslim
4 Son/daughter in law	4 In the same province (rural area)	4 Chinese origin(Han)	4 Christian
5 Father/Mother	5 In the same province (urban area)	5 Khmer	5 Caodaist
6 Father/Mother in law	6 In another province (rural area)	6 Muong	6 Animist
7 Sister/Brother	7 In another province (urban area)	7 Nung	7 Atheist
8 Grandchild	9 In Bangkok	8 Hmong (Meos)	90 other, specify
9 Nephew/Nice	10 In Hanoi	9 Dao	98 no answer
10 Cousin	11 In Ho Chi Minh City	10 Gia rai	99 not applicable
	20 Laos	11 Ngai	
11 Other relatives	21 Cambodia	12 Ede	
12 Non-relative	29 Other Asian country	14 Sedang	
13 Brother/sister in law	90 Other country	15 San chay (Cao lan - San chi)	
14 Son/daughter adopted	30 Australia and Oceania	16 Coho	
98 No answer	35 Europe	17 Cham (Cham)	
99 not applicable	40 North America	20 Mnong	
Code B	98 No answer	21 Ra glai	Code G
1 Unmarried	99 not applicable	23 Bru - Van Kieu/blu	1 yes
2 Married		24 Tho	2 no
3 Widow		26 Co tu	98 no answer
4 Divorced/separated		31 Ta oi	99 not applicable
98 No answer		37 Lao	
99 not applicable		56 Phu Thai	
Code D		57 Suai	
1 Founded Household		58 foreigner	
2 Marriage		59 Moo Sir	
3 Born in the household		60 Thai Yor	
4 Job opportunity		61 Thai So	
5 Job Search		62 Kalerng	
6 Schooling		63 Paco	
7 Followed the Family		90 other, specify	
8 Came to be looked after (ill, old or alone)		99 not applicable	
9 Came to help the household		98 no answer	
10 Came to live with the household because of economic distress			
11 Monk			
90 Other			
98 No answer			
99 not applicable			

2.1: Household Members

(Only transfer the data of household members)

Please check the following persons belonging to your household and add new household members, if applicable. Start with the household head.

1	2	3	4	5	6	7	8	9	10	11	12	13
I.D. code	Name/Nickname	Gender	Age	Relation to household head	Marital status	Place of birth	For how long has [NAME] been living with the household?	Reason for joining	Previous location	Ethnic group	Religion	Is [Name] member of a socio-political organization (e.g. party, VWU, Veterans' Union)?
		1=male, 2=female	if >1 year put 0 for < 1 year	A	B	C	(years)	D	C	E	F	G
01												
02												
03												
04												
05												
06												
07												
08												
09												
10												
11												
12												
13												
14												
15												
16												
17												
18												

Code H

- 1 Engaged in own agriculture (including livestock and aquaculture)
- 2 Engaged in fishing, hunting or collecting
- 3 Non-farm owned business
- 4 Casual off-farm labour in agriculture
- 5 Casual labour in non-agriculture
- 6 Permanently employed in agriculture
- 7 Permanently employed in non-agriculture
- 8 Government official
- 9 Housewife
- 10 Student/Pupil
- 11 Child below school age
- 12 Unemployed
- 13 Performing only occasional and light work

- 14 Monk
- 15 joined the army
- 16 Unable to work
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code C

- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area)
- 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 9 In Bangkok
- 10 In Hanoi
- 11 In Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 30 Australia and Oceania
- 35 Europe
- 40 North America
- 90 Other country
- 98 No answer
- 99 not applicable

Code I

- 1 Died
- 2 Established own household
- 3 Marriage
- 4 Job opportunity
- 5 Job Search
- 6 Schooling or studying
- 7 Followed the Family
- 8 went to help another household
- 9 went to live with another household because of economic distress
- 10 went to be looked after (ill, old or alone)
- 11 Became a Monk
- 12 joined the army
- 23 went to get a health treatment
- 26 Vacation
- 28 Other religious reasons
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code G

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

2.1: Household Members

Who is mainly in charge of financial or bureaucratic affairs of the household?

I.D. code

1	2	14	15	16	17	18	19b	19	19a	20	21
I.D. code	Name/Nickname	Main occupation between 5/10 and 4/11 according to time spent	Second occupation between 5/10 and 4/11 according to time spent	How many days did [NAME] stay in the household between 5/10 and 4/11? if = 365, skip to next person	Did [NAME] permanently leave the house?	Primary reason for leaving or being temporarily not in this house after joining the HH	Where did [NAME] go?		Between 5/10 and 04/11 did [NAME] send or receive money from the HH while being absent? (If no, go to next row)	Amount of money/value of gifts the household received from [NAME] between 5/10 and 4/11	Amount of money/value of gifts the household sent to [NAME] between 5/10 and 4/11
		H	H	days	G	I		C	G	THB/1000 VND	THB/1000 VND
01											
02											
03											
04											
05											
06											
07											
08											
09											
10											
11											
12											
13											
14											
15											
16											
17											
18											

Code A

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

TH: Code B

- 1 P. 1
- 2 P. 2
- 3 P. 3
- 4 P. 4
- 5 P. 5
- 6 P. 6
- 7 P.7

- 8 M or MS 1
- 9 M or MS 2
- 10 M or MS 3
- 11 M or MS 4
- 12 M or MS 5
- 13 M or MS 6
- 14 MS 7
- 15 MS 8

- 16 PWC 1
- 17 PWC 2
- 18 PWC 3
- 19 PWS 1
- 20 PWS 2

- 21 PWT 1
- 22 PWT 2

- 23 Univ. 1
- 24 Univ. 2
- 25 Univ. 3
- 26 Univ. 4
- 27 Univ. 5
- 28 Univ. 6
- 29 PhD

VN: Code B

- 51 P. 1
- 52 P. 2
- 53 P. 3
- 54 P. 4
- 55 P. 5
- 56 P. 6
- 57 P.7

- 58 M or MS 1
- 59 M or MS 2
- 60 M or MS 3
- 61 M or MS 4
- 62 M or MS 5
- 63 M or MS 6
- 64 MS 7

- 65 Univ. 1
- 66 Univ. 2
- 67 Univ. 3
- 68 Univ. 4
- 69 Univ. 5
- 70 Univ. 6 or Master degree
- 71 PhD
- 98 No answer
- 99 not applicable

Code C

- 1 had to work with family business
- 2 migrated
- 3 cannot afford to go to school
- 4 ill
- 5 finished school
- 7 drawn into army
- 9 Don't want to study
- 10 Lack of qualification
- 12 Family problems
- 13 Political disruption (including war)
- 90 Other, specify
- 98 No answer
- 99 not applicable

Code D

- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area)
- 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 9 In Bangkok
- 10 In Hanoi
- 11 In Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 98 No answer
- 99 not applicable

Section 2.2: Education

In this section, we would like to know the educational attainment of all household members

Fill in only for household members whose educational status has changed during 5/10-4/11 and for new members.

1	2	3	4	5	6	7	8	9	10	11	12	13
I.D. code		Can [NAME] read and write?	Is [NAME] currently enrolled in school? <i>if no go to Q6</i>	What grade is [NAME] currently enrolled in? <i>If Q4 = 1, skip to Q11</i>	Has [NAME] ever been to school? <i>If no, go to next row</i>	What was [NAME] highest educational attainment?	How old was [NAME] when he/she left school?	Why did [NAME] leave school?	Where did [NAME] obtain her/his highest educational degree?	How old was [NAME] when he/she started school?	Was [NAME] ever absent for a whole school year? <i>If no, go to next row</i>	Why was [NAME] absent?
		A	A	B	A	B		C	D		A	C
01												
02												
03												
04												
05												
06												
07												
08												
09												
10												
11												
12												
13												
14												
15												
16												
17												
18												

- Code A**
- 1 healthy
 - 2 can manage
 - 3 sick
 - 98 no answer
 - 99 not applicable
- Code B**
- 1 worse
 - 2 same
 - 3 better
 - 98 no answer
 - 99 not applicable
- Code F**
- 1 not necessary
 - 2 no facility available
 - 3 facility too expensive
 - 4 transport to facility too expensive
 - 5 low quality of facility
 - 6 could not spare the time
 - 7 Other, specify
 - 98 no answer
 - 99 not applicable
- Code G**
- 1 same village/ward
 - 2 same commune
 - 3 other commune
 - 4 district town
 - 5 same province capital
 - 6 other province capital
 - 7 abroad
 - 98 no answer
 - 99 not applicable
- Code H**
- 1 inpatient
 - 2 outpatient
 - 98 no answer
 - 99 not applicable

- Code D**
- 0 none
 - 1 Pneumonia
 - 2 Cataract and other disorders of lens
 - 3 Ischaemic heart diseases
 - 4 Diarrhoea and gastroenteritis of presumed infectious origin
 - 5 Cervical Cancer/ Cancer of Cervix
 - 6 Diseases of appendix
 - 7 Malignant neoplasm of lip, oral cavity and pharynx
 - 8 Malignant neoplasm of breast
 - 9 lung cancer
 - 10 breast cancer
 - 11 leukemia
 - 12 Cerebral infarction
 - 13 Diphtheria
 - 14 Pertussis
 - 15 Tetanus
 - 16 Poliomyelitis
 - 17 Measles
 - 18 Rubella
 - 19 Mumps
 - 20 Encephalitis
 - 21 Hepatitis B
 - 22 Tuberculosis
 - 23 Epilepsy
 - 24 AIDS (Acquired Immune Deficiency Syndrome)
 - 25 Acute diarrhea
 - 26 Dengue Hemorrhagic Fever
 - 27 Influenza
 - 28 Diabetes mellitus
 - 29 Hypertension
 - 30 coronary heart disease
 - 31 Valvular heart disease
 - 32 Fractures of other limb bones
 - 33 Other accident-related injuries
 - 90 other, specify
 - 98 no answer
 - 99 not applicable

- | |
|---|
| <p>Code C</p> <ul style="list-style-type: none"> 1 yes 2 no 98 no answer 99 not applicable |
|---|

- Code D (Cont)**
- 34 infection in blood circle
 - 35 bellyache
 - 37 deaf
 - 38 alcoholism
 - 39 headache
 - 40 Decreased bone mass
 - 41 calculus of kidney
 - 42 asthma
 - 44 blind
 - 45 Down-Syndrom
 - 46 artificial kidney
 - 47 back ache
 - 48 absent minded
 - 49 agent organge related disease
 - 50 asthenic
 - 51 bronchitis
 - 52 arthralgia
 - 53 lymph nodes of the neck
 - 54 Bleeding per rectum
- Code E**
- 0 did nothing
 - 1 went to a government hospital
 - 2 went to a commune health center
 - 3 went to a pharmacy
 - 4 went to a doctor (clinic)
 - 5 went to health worker
 - 6 went to traditional healer
 - 7 went to private hospital
 - 8 Self- treatment
 - 90 other, specify
 - 98 no answer
 - 99 not applicable

Section 2.3: Health

Report health status of household members between 05/10-04/11

Fill in for all household members

1	2	3	4	5	6	7	8	9	12	13	13a	13b	13c	14
I.D. code	Name or nickname	How healthy is [NAME] ?	Does [NAME] feel healthier than last year?	Does [NAME] feel healthier than 5 years ago?	weight	height	Is [NAME] suffering from any serious disease or injury? Continue with Q9 etc. eventhough Q8 is answered with 2 (no).	Please specify the most severe illness [NAME] suffered between 5/10 and 4/11 <i>if = 0, skip to next person</i>	For how many weeks was [NAME] unable to pursue his/her main occupation? <i>99 = Does not have an occupation</i> <i>0 = occupation not affected</i>	What did [NAME] do? <i>If 0 go to Q14</i>	Where is the facility where [NAME] got main treatment?	How many different health facilities did [Name] have to visit before getting sufficient treatment?	Was [NAME] in inpatient or outpatient care? <i>go to next row</i>	If no treatment was sought. Why not?
		A	B	B	(kg)	(cm)	C	D		E	G		H	F
01														
02														
03														
04														
05														
06														
07														
08														
09														
10														
11														
12														
13														
14														
15														
16														
17														
18														

Code A

- 2 Wife/Husband
- 3 Son/Daughter
- 4 Son/daughter in law
- 5 Father/Mother
- 6 Father/Mother in law
- 7 Sister/Brother
- 8 Grandchild
- 9 Nephew/Nice
- 10 Cousin
- 11 Other relatives
- 12 Non-relative
- 13 Brother/sister in law
- 14 Son/daughter adopted
- 98 No answer
- 99 not applicable

Code B

- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area)
- 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 9 In Bangkok
- 10 In Hanoi
- 11 In Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 30 Australia and Oceania
- 35 Europe
- 40 North America
- 90 Other country
- 98 No answer
- 99 not applicable

Section 2.4: Household dynamics and Remittances

Are there any other persons (relatives/friends) who provided money, gifts or in kinds (remittances) to the household or to whom the household provided money, gifts or in-kinds during 5/10 and 4/11?

1 = yes
 2 = no, go to next section

1	2	3	4	5	6	11	12	13
I.D. Code	Name or Nickname	Gender 1=male, 2=female	Age	Relation to household head A	Place of birth <i>read out answer categories</i> B	Location B	Amount of money/value of gifts the household received from [NAME] between 5/10 and 4/11 THB/1000 VND	Amount of money/value of gifts the household sent to [NAME] between 5/10 and 4/11 THB/1000 VND
26								
27								
28								
29								
30								
31								
32								
33								
34								
35								
36								
37								
38								
39								
40								
41								
42								
43								
44								
45								
46								
47								

Code A

- 1 Illness of household member
- 2 Death of household member
- 3 Household member left the household
- 4 Person joined the household
- 5 Money spent for ceremony in the household
- 24 Accident
- 38 Law suit
- 6 House damage
- 7 Theft
- 8 Conflict with neighbours in the village
- 9 Relatives/Friends stopped sending remittances
- 10 Flooding of agricultural land
- 11 Drought
- 12 Unusually heavy Rainfall
- 13 Crop pests
- 14 Storage pests (including rats)
- 15 Livestock Disease
- 16 Landslide, Erosion
- 55 Storm
- 46 being cheated at work/business
- 60 Job loss (agricultural)
- 61 Job loss (non-agricultural)
- 18 Collapse of business
- 20 Strong increase of interest rate on loans
- 21 Strong decrease of prices for Output
- 22 Strong increase of prices for Input
- 23 Change in market regulations
- 57 snow / ice rain (VN)
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

Code C

- 1 no other HH
- 2 some other HH
- 3 most HH in village / commune
- 4 most HH in district
- 5 most HH in province
- 6 most HH in country
- 98 no answer
- 99 not applicable

Code E

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code D

- 1 Did nothing
- Economics**
- 2 Took up additional occupation
- 3 Diversify agricultural portfolio
- 4 Substitute crops
- 5 Reduced production inputs
- Demographics**
- 6 Took children out of school
- 7 Sent children to relatives/friends
- 8 Adult migrated to look for job
- 9 Adult migrated to live with relatives/friends
- 10 Adult migrated to marry
- Sale**
- 11 Sold livestock
- 12 Sold land
- 13 Sold storage (e.g. rice)
- 14 Sold other assets
- Borrowing and Savings**
- 15 Used savings
- 16 Used insurance
- 17 Borrowed from relatives
- 18 Borrowed from friends/neighbours
- 19 Borrowed from pawnshop
- 20 Borrowed from informal money-lender
- 21 Borrowed from village funds
- 22 Borrowed from commercial bank
- 23 TH: Borrowed from BAAC/Coop. Bank
- 24 TH: Borrowed from Government Savings Bank
- 25 TH: Borrowed from Village bank
- 26 VN: Borrowed from VBSP
- 27 VN: Borrowed from VBARD
- Grants**
- 28 Help from government
- 29 Help from NGOs
- 30 Help from relatives
- 31 Help from friends/neighbours
- 90 Other, specify
- 98 no answer
- 99 not applicable

Section 3.1 : Shocks

When considering the time period between 05/10 and 04/11, has there been any event causing a big problem (shock) affecting the household?

Please think of any problems related to your family, farm, house or job.

a. What were the three major shocks that affected your household between 05/10 and 04/11?

1	2	2a	3		4	5a	5b	6a	7	8			11	12
			3a	3						major activity	2nd activity	3rd activity		
Event ID	Type of event	HH-Member -ID of person being affected */	When did the event occur?		Estimated severity of the event on your household?	Estimated total loss of income due to the event in the year of occurrence	Estimated total extra expenditure due to the event in the year of occurrence	Estimated loss of assets due to the event in the year of occurrence	Aside from your HH who else was affected by the event?	Coping activity to deal with the event			Did the household still have to reduce household consumption expenditures because of the event?	How many months did it take to recover from the event?
	A		month	year	Interv.: Read code B 1-4	THB/1000 VND	THB/1000 VND	THB/1000 VND	C	D	D	D	E	(number of months; if not yet recovered fill in "90")
1														
2														
3														

*/ If the shock affects more than one person, please don't fill in Q2a.

Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

Code E

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code D

- 1 Did nothing

Economics

- 2 Took up additional occupation
- 3 Diversify agricultural portfolio
- 4 Substitute crops
- 5 Reduced production inputs

Demographics

- 6 Took children out of school
- 7 Sent children to relatives/friends
- 8 Adult migrated to look for job
- 9 Adult migrated to live with relatives/friends
- 10 Adult migrated to marry

Sale

- 11 Sold livestock
- 12 Sold land
- 13 Sold storage (e.g. rice)
- 14 Sold other assets

Borrowing and Savings

- 15 Used savings
- 16 Used insurance
- 17 Borrowed from relatives
- 18 Borrowed from friends/neighbours
- 19 Borrowed from pawnshop
- 20 Borrowed from informal money-lender
- 21 Borrowed from village funds
- 22 Borrowed from commercial bank
- 23 TH: Borrowed from BAAC/Coop. Bank
- 24 TH: Borrowed from Government Savings Bank
- 25 TH: Borrowed from Village bank
- 26 VN: Borrowed from VBSP
- 27 VN: Borrowed from VBARD

Grants

- 28 Help from government
- 29 Help from NGOs
- 30 Help from relatives
- 31 Help from friends/neighbours
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code C

- 1 no other HH
- 2 some other HH
- 3 most HH in village / commune
- 4 most HH in district
- 5 most HH in province
- 6 most HH in country
- 98 no answer
- 99 not applicable

Section 3.1 : Shocks**b. Was your household affected by any of the following events between 05/10 and 04/11?**

(Info: Read out all events. If some events occurred more than once, use empty rows at the bottom to record all.)

*/ If the shock affects more than one person, please don't fill in Q2a.

1	2	2a	3		4	5a	5b	6a	7	8			11	12
			3a	3						major activity	2nd activity	3rd activity		
Event ID > 3	Type of event	HH-Member-ID of person being affected */	When did the event occur?		Estimated severity of the event on your household?	Estimated total loss of income due to the event in the year of occurrence	Estimated total extra expenditure due to the event in the year of occurrence	Estimated loss of assets due to the event in the year of occurrence	Aside from your HH who else was affected by the event?	Coping activity to deal with the event			Did the household still have to reduce household consumption expenditures because of the event?	How many months did it take to recover from the event?
			month	year	<i>Interv.: Read code B 1-4</i>	THB/1000 VND	THB/1000 VND	THB/1000 VND	C	D	D	D	E	<i>(number of months; if not yet recovered fill in "90")</i>
	1 Illness of household member													
	3 Household member left the household													
	4 Person joined the household													
	5 Money spent for ceremony in the													
	24 Accident													
	38 Law suit													
	6 House damage													
	7 Theft													
	8 Conflict with neighbours in the village													
	9 Relatives/Friends stopped sending remittances													
	10 Flooding of agricultural land													
	11 Drought													
	12 Unusually heavy Rainfall													
	13 Crop pests													
	14 Storage pests (including rats)													
	15 Livestock Disease													
	16 Landslide, Erosion													
	55 Storm													
	46 being cheated at work/business													
	60 Job loss (agricultural)													
	61 Job loss (non-agricultural)													
	18 Collapse of business													
	20 Strong increase of interest rate on loans													
	21 Strong decrease of prices for Output													
	22 Strong increase of prices for Input													
	23 Change in market regulations													
	57 snow / ice rain (VN)													
	90 Other, specify													

Code A

- 1 Illness of household member
- 2 Death of household member
- 3 Household member left the household
- 4 Person joined the household
- 5 Money spent for ceremony in the household
- 24 Accident
- 38 Law suit
- 6 House damage
- 7 Theft
- 8 Conflict with neighbours in the village
- 9 Relatives/Friends stopped sending remittances
- 10 Flooding of agricultural land
- 11 Drought
- 12 Unusually heavy Rainfall
- 13 Crop pests
- 14 Storage pests (including rats)
- 15 Livestock Disease
- 16 Landslide, Erosion
- 55 Storm
- 46 being cheated at work/business
- 60 Job loss (agricultural)
- 61 Job loss (non-agricultural)
- 18 Collapse of business
- 20 Strong increase of interest rate on loans
- 21 Strong decrease of prices for Output
- 22 Strong increase of prices for Input
- 23 Change in market regulations
- 57 snow / ice rain (VN)
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

Code C

- 1 no other HH
- 2 some other HH
- 3 most HH in village / commune
- 4 most HH in district
- 5 most HH in province
- 6 most HH in country
- 98 no answer
- 99 not applicable

Code E

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code D

- 1 Did nothing
- Economics**
- 2 Took up additional occupation
- 3 Diversify agricultural portfolio
- 4 Substitute crops
- 5 Reduced production inputs
- Demographics**
- 6 Took children out of school
- 7 Sent children to relatives/friends
- 8 Adult migrated to look for job
- 9 Adult migrated to live with relatives/friends
- 10 Adult migrated to marry
- Sale**
- 11 Sold livestock
- 12 Sold land
- 13 Sold storage (e.g. rice)
- 14 Sold other assets
- Borrowing and Savings**
- 15 Used savings
- 16 Used insurance
- 17 Borrowed from relatives
- 18 Borrowed from friends/neighbours
- 19 Borrowed from pawnshop
- 20 Borrowed from informal money-lender
- 21 Borrowed from village funds
- 22 Borrowed from commercial bank
- 23 TH: Borrowed from BAAC/Coop. Bank
- 24 TH: Borrowed from Government Savings Bank
- 25 TH: Borrowed from Village bank
- 26 VN: Borrowed from VBSP
- 27 VN: Borrowed from VBARD
- Grants**
- 28 Help from government
- 29 Help from NGOs
- 30 Help from relatives
- 31 Help from friends/neighbours
- 90 Other, specify
- 98 no answer
- 99 not applicable

Section 3.1 : Shocks

c. Shocks started last year from which the household had not recovered when the last interview took place

(last year's event ID and type of event to be filled in previous to interview)

* 1	2	3	3a	4	5	6	7	8	9	10	11	12
Event ID	Type of event	When did the event occur?		Estimated severity of the event on your household?	Estimated total loss of income due to the event in the year of occurrence	Estimated loss of assets due to the event in the year of occurrence	Aside from your HH who else was affected by the event?	Coping activity to deal with the event between 5/10-4/11			Did the household still have to reduce household consumption expenditures because of the event between 5/10-4/11?	How many months did it take to recover from the event in total?
	A	month	year	<i>Interv.: Read code B 1-4</i>	THB/1000 VND	THB/1000 VND	C	major activity	2nd activity	3rd activity	E	(number of months; if not yet recovered fill in "90")

* Fill in 7 for shocks occurred in wave 1, or 8 for shocks occurred in wave 2, or 10 for shock occurred in wave 3. Wave 1: 05/2006 - 04/2007; wave 2: 05/2007 - 04/2008; wave 3: 05/2009 - 04/2010.

d. Causal relationships between shocks.

21	22	23a	23b	23c
Enumerators: List Event IDs of all shocks mentioned by the household in sections 3.1.a to c	Did shock [event] lead to any of the other shocks you mentioned? (ask for all the shocks indicated by the household in sections 3.1.a and 3.1.c) (if "No" go to next row) E	Which of the shocks you mentioned were consequence of shock [event] (write down event IDs)		

Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

Code G

- 1 Much better off
- 2 Better off
- 3 Same
- 4 Worse off
- 5 Much worse off
- 98 no answer
- 99 not applicable

Code H

- 1 Not at all
- 2 Yes, a bit
- 3 Yes, a lot
- 98 no answer
- 99 not applicable

Code K

0	1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	---	----

0 =

unwilling to take risks

10 =

fully prepared to take risk

*Please circle the
number given by
the respondent*

e. Subjective assessment of wellbeing

13a Do you think your household is better off than last year?

 G

13b Do you think you in person are better off than last year?

 G

14a Do you think your household is better off than 5 years ago?

 G

14b Do you think you in person are better off than 5 years ago?

 G

15 How much does your household income fluctuate?

 H

if code=1, go to Q17

16 How much do income fluctuations affect the wellbeing of members of your household?

 B

17 What was the best year for your household in the last 5 years?

18 What was the worst year for your household in the last 5 years?

19a Do you think your household will be better off next year?

 G

19b Do you think you in person will be better off next year?

 G

20a Do you think your household will be better off in 5 years?

 G

20b Do you think you in person will be better off in 5 years?

 G

24 Are you generally a person who is fully prepared to take risks or do you try to avoid taking risk? (Please choose a number on a scale from 0 to 10)

 K

25 Imagine you had just won 100,000 Baht/ 60 Mio. Dong in a lottery and you can invest this money in a business. It is equally likely that the business goes well or not. If it goes well you can double the amount invested after one year. If it does not go well you will lose half the amount you invested.

What fraction of the 100,000 Baht/ 60 Mio. Dong would you invest in the business?

 THB/1000 VND

Code A

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code C

- 1 High
- 2 Moderate
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

Code D

- 1 Crop, plot, livestock diversification
- 2 Income source diversification
- 3 Investment in physical and human capital
- 4 Membership in occupational organisations (e.g. guild)
- 5 Membership in rotating savings and credit associations
- 6 Savings accounts in financial institutions
- 7 Contract insurances
- 8 Participation in microfinance
- 9 Sharecropper tenancy
- 10 Buffer stocks (e.g. storage of food, seeds, money at home)
- 11 Investment in social capital
(networks, associations, reciprocal gift givings, etc.)
- 12 Old age annuities
- 13 Preventive health practices (sports etc.)
- 14 Migration
- 15 Switch to more secure income sources
- 16 Collective action for infrastructure, dikes, terraces, irrigation, etc.
- 17 Common property resource management (of forest, lake, etc.)
- 18 Investment in security of homestead (watchdog, alarm device, etc.)
- 19 Investment in travel safety
(helmet for motorbike, use more secure means of transportation, etc.)
- 20 Medical treatment (vaccination, stock medicine etc.)
- 21 Marriage and extended family
- 90 other, please specify
- 98 no answer
- 99 not applicable

Section 3.2: Risks

Now, please consider the following possible future events:

0	1	2	4	3	3a	13	14	15	16
Risk ID	Type of event	Do you think that [event] will occur in the next 5 years? <i>If "No" go to Q13</i> A	How often, do you think, will [event] occur in next 5 years?	If [event] occurred within the next 12 months, what would be the impact on your household? income C	assets C	Do you do anything to prevent [event] from happening OR to mitigate its impact on your household's income and assets? <i>If no, go to next row</i> A	What do you do to prevent [event] from happening OR to mitigate its impact on your household's income and assets? (most important strategy only) D	Concerning [event], approximately how much does it cost you per year to prevent/mitigate? (incl. forgone income) <i>do not record expenses twice</i> THB/1000 VND	If the same measure is taken to prevent/mitigate for another type of risk and costs have been recorded there, record the code of the event type [1] here
1	1 Illness of household member								
2	3 Household member left the household								
3	4 Person joined the household								
4	5 Money spent for ceremony in the household								
5	24 Accident								
6	38 Law suit								
7	6 House damage								
8	7 Theft								
9	8 Conflict with neighbours in the village								
10	9 Relatives/Friends stopped sending remittances								
11	10 Flooding of agricultural land								
12	11 Drought								
13	12 Unusually heavy Rainfall								
14	13 Crop pests								
15	14 Storage pests (including rats)								
16	15 Livestock Disease								
17	16 Landslide, Erosion								
18	55 Storm								
19	46 being cheated at work/business								
20	60 Job loss (agricultural)								
21	61 Job loss (non-agricultural)								
22	18 Collapse of business								
23	20 Strong increase of interest rate on loans								
24	21 Strong decrease of prices for Output								
25	22 Strong increase of prices for Input								
26	23 Change in market regulations								
27	57 snow / ice rain (VN)								

Code A

- 1 More rain in total in 1 year
- 2 less rain in total in 1 year
- 3 more rain in early season
- 4 less rain in early season
- 5 too much rain during harvest
- 6 not enough rain during harvest
- 7 rains starts then dry spell
- 8 dry season becomes longer
- 9 dry season becomes shorter
- 10 rainy season becomes longer
- 11 rainy season becomes shorter
- 12 rain more erratic
- 13 rain less erratic
- 14 more ice rain
- 15 less ice rain
- 16 more rainy days
- 17 less rainy days
- 20 no change
- 90 other, please specify
- 98 no answer
- 99 not applicable

Code B

- 1 Getting hotter in summer
- 2 Getting not so hot in summer
- 3 cool season is shorter
- 4 cool season is longer
- 5 more extreme temperatures,
- 6 more heat days
- 20 no change
- 90 other, please specify
- 98 no answer
- 99 not applicable

Code C

- 1 Wind speed higher,
- 2 more frequent storms,
- 3 wind direction changes
- 20 no change
- 90 other, please specify
- 98 no answer
- 99 not applicable

Code I

- 1 yes
- 2 no

Code D

- 1 lower yields
- 2 higher yields
- 3 more crop failure
- 4 less crop failure
- 5 more pests
- 6 less pests
- 7 more drought
- 8 less drought
- 9 more flooding
- 10 less flooding
- 20 not affected
- 90 other, please specify
- 98 no answer
- 99 not applicable

Code E

- 1 grow more varieties
- 2 grow less varieties
- 3 stop grow certain crops
- 4 add new crops to portfolio
- 5 invest more in irrigation
- 6 invest less in irrigation
- 7 Invest more in dams
- 8 invest less in dams
- 9 Build terraces
- 10 don't use terraces anymore
- 11 spray more pesticides
- 12 spray less pesticides
- 13 Use more fertilizer
- 14 use less fertilizer
- 15 Plant trees
- 16 Invest in pond
- 20 no adjustment
- 90 other, please specify
- 98 no answer
- 99 not applicable

Code J

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer
- 99 not applicable

Code F

- 1 House damage
- 2 harder to find a job
- 3 easier to find a job
- 4 less prospects in village
- 5 more prospects in village
- 6 transport is more difficult
- 7 transport is easier
- 8 negative effect on health of household member(s)
- 9 positive effect on health of household member(s)
- 10 less drinking water available
- 11 more power cut offs
- 20 no effect
- 90 other, please specify
- 98 no answer
- 99 not applicable

Code G

- 1 Invest in more secure homestead
- 2 Save money
- 3 Ask for remittances
- 4 Take up a non farm employment
- 5 Start a non farm business
- 6 Stop farming
- 7 Stop sending children to school
- 8 Children have more missing days in school
- 9 Buy generator/batteries for power cut offs
- 10 Migrate to another village/commune
- 11 Migrate to another district
- 12 Migrate to another province
- 20 no adjustment
- 90 other, please specify
- 98 no answer
- 99 not applicable

Code H

- 1 Earthquake will hit my house
- 2 lightning will hit my house
- 3 monster storm
- 4 tsunami
- 5 major pest outbreak
- 6 human diseases outbreak
- 7 no fear
- 90 other, please specify
- 98 no answer
- 99 not applicable

Section 3.2: Risks

24 Do you think the climate (weather) in general has been changing since the time you live in this place?
If no, go to next section

Code I

25 what is the major change with regards to rainfall?

Code A
a
b
c

26 What is the major change with regards to temperature?

Code B
a
b
c

27 What is the major change with regards to wind?

Code C
a
b
c

28 In which way do these changes affect your agricultural activities?

Code D
a
b
c

29 How much do these changes affect your agricultural activities?

Code J

30 How do you adjust your agricultural activities?

Code E
a
b
c

31 In which way do these changes affect your non agricultural activities and general life?

Code F
a
b
c

32 How much do these changes affect your non agricultural activities and general life?

Code J

33 How do you adjust your non agricultural activities and general life?

Code G
a
b
c

34 What is your major fear with regards to other natural disasters?

Code H
a
b
c

Code E

1 Do nothing

Economics

2 Take up additional occupation

3 Diversify agricultural portfolio

4 Substitute crops

5 Reduce production inputs

Demographics

6 Take children out of school

7 Send children to relatives/friends

8 Adult migrate to look for job

9 Adult migrate to live with relatives/friends

10 Adult migrate to marry

Sale

11 Sell livestock

12 Sell land

13 Sell storage (e.g. rice)

14 Sell other assets

Borrowing and Savings

15 Use savings

16 Use insurance

17 Borrow from relatives

18 Borrow from friends/neighbours

19 Borrow from pawnshop

20 Borrow from informal money-lender

21 Borrow from village funds

22 Borrow from commercial bank

23 TH: Borrow from BAAC/Coop. Bank

24 TH: Borrow from Government Savings Bank

25 TH: Borrow from Village bank

26 VN: Borrow from VBSP

27 VN: Borrow from VBARD

Code E (continued)**Grants**

28 Help from government

29 Help from NGOs

30 Help from relatives

31 Help from friends/neighbours

90 Other, specify

98 no answer

99 not applicable

Section 3.2: Risks

Now, please consider the following possible future events:

5 Suppose you would suddenly need 5,000 THB/1.5 Mill VND. Would you do any of the following things?

Info: Read out list in Code E and note all that apply.

Code E	
a	<input type="checkbox"/>
b	<input type="checkbox"/>
c	<input type="checkbox"/>
d	<input type="checkbox"/>
e	<input type="checkbox"/>

7 How many days would you need to get this amount?

Info: Fill in 999 if never able to get this amount.

11 Suppose you would suddenly need 60,000 THB/15 Mill. VND. Would you do any of the following things?

Info: Read out list in Code E and note all that apply.

Code E	
a	<input type="checkbox"/>
b	<input type="checkbox"/>
c	<input type="checkbox"/>
d	<input type="checkbox"/>
e	<input type="checkbox"/>

10 How many days would you need to get this amount?

Info: Fill in 999 if never able to get this amount.

21a Consider the following two days:

Day A: Just a normal day, nothing special happens.

Day B: You win an amount of 1,000 THB in the lottery. However, later, your motorcycle breaks down and the repair costs you X.

How much could X be at most such that you would still say that Days A and B make you equally happy?

 THB/1000 VND

22 Consider the following two days:

Day A: You win an amount of 1,000 THB in the lottery.

Day B: You win an amount of 2,000 THB in the lottery. However, later, your motorcycle breaks down and the repair costs you X

How much could X be at most such that you would still say that Days A and B make you equally happy?

 THB/1000 VND

23 Consider the following two days:

Day A: Your motorcycle breaks down and the repair costs you 1,000 THB.

Day B: Your motorcycle breaks down and the repair costs you 2,000 THB. But later you win an amount of X in the lottery.

How large should X be such that you would say that Days A and B make you equally happy?

 THB/1000 VND

Code G

- 1 yes
- 3 stopped renting in
- 4 sold
- 5 wrong information from last wave
- 7 separation
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code BUse for row 1:

- 11 house and homestead land owned
- 12 house rented, homestead land owned
- 13 house owned, homestead land rented
- 14 house rented, homestead land rented

Use for other rows:

- 1 owned plot (unmortgaged)
- 2 mortgagor
- 3 sale-redeem
- 4 rented for fixed rent
- 5 sharecropping
- 6 mortgagee
- 7 sale-redeemer
- 8 rented from relatives, no rent paid
- 9 rented from non-relatives, no rent paid
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code A

- 1 residential use
- 2 rented out
- 3 rice and field crops
- 4 permanent crops
- 5 pasture
- 6 aquaculture or pen
- 7 forest (planted)
- 8 vacant land
- 9 business establishment
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code C

- 1 Title deed, NS 5, NS3, NS3K
- 2 SPK 4-01, NK, STK, KSN, PBT5
- 3 NS2, SK1
- 4 no documents
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code D

- 1 bought
- 2 inherited
- 3 obtained as a present
- 4 collateral seized
- 5 land claimed
- 6 government allocated
- 7 Trade with another land
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code H

- 1 Jasmin rice (milled)
- 2 Jasmin rice (paddy)
- 3 Other fragrant rice (milled)
- 4 Other fragrant rice (paddy)
- 5 Glutinous rice (milled)
- 6 Glutinous rice (paddy)
- 7 Non-glutinous rice (milled)
- 8 Non-glutinous rice (paddy)
- 9 Vegetables
- 10 Mixture

Code E

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other country
- 98 no answer
- 99 not applicable

Code F

- 1 rainfed
- 2 irrigated (artesian well)
- 3 irrigated (well)
- 4 irrigated (pipe/tap)
- 5 Irrigated (gravity)
- 6 pumped from public irrigation canal
- 90 Others, specify
- 98 no answer
- 99 not applicable

4.1: Land

Next, we want to learn about your household's landholding including land rented out or in and the property status of your homestead.

Note: Home gardening should be included in Section 4.2

1 Do you possess land or use land for agriculture, aquaculture or gardening?

1 yes

2 no, ask only for the homestead and go to Section 4.3

Please report the household's land and the area used for agriculture of 1 May 2011 separately for each parcel. Please start with the homestead.

2	3	3a	4	5	6	7	8	9a	10	11f	11g	11e	12	13	14
Land parcel no.	Land Area TH: rai, VN: 1000 m ²	Does the household still use or own this land parcel? if =4 only fill in Q 9a, if >1 skip to next row	Main land use A	Tenure Status <i>for 1st row if code>12 & other rows if code>3 go to Q9a</i> B	Type of Land document C	How was the land obtained? <i>if code > 1 then go to Q 9a</i> D	When did you purchase the land? (year)	What is the current value of the land if you wanted to sell/buy it? THB/1000VND	Rental rate per year whether rented out or <i>in kind</i>			Location E	Distance from homestead (km)	Source of Water supply? F	
									in cash THB/1000 VND	type H	total value of in-kind kg THB/1000				
1			homestead	1										0	99
2															
3															
4															
5															
6															
7															
8															
9															
10															
11															
12															

Code A
 101 Jasmine rice
 102 Other fragrant rice
 103 Glutinous rice
 104 Non-glutinous rice (not Jasmine or fragrant!)
 201 Corn (fodder)
 202 Corn (human consumption)

3 Peanuts
 4 Soybeans
 5 Mungbeans
 6 Cassava

701 Sugarcane (processing)
 702 Sugarcane (human consumption)

8 Kenaf
 9 Vegetables
 10 Para rubber
 11 Coffee
 12 Pepper
 13 Fruits
 14 Tea
 15 Cashew nuts
 16 Grass
 17 Flower
 19 Eucalyptus
 20 Bamboo
 21 Tobacco
 22 Mulberry
 23 Kapok
 25 Oil palm
 26 sweet potato
 27 gluey tree
 28 Cajuput tree
 30 areca-nut tree
 31 cotton tree
 90 Others, specify
 98 no answer
 99 not applicable

Code C
 0 not relevant
 11 Fragrant rice
 12 Non-glutinous rice
 13 Glutinous rice
 14 Bio rice
 21 Fodder maize - w/o specification
 22 Sweet corn (cv. Super Sweet)
 23 Glutinous corn
 171 Marigold
 172 Plumeria
 173 Lotus
 901 Shallot
 902 Onion
 903 Garlic
 904 Galgant
 905 Pumpkin
 906 Mushroom
 907 Home vegetable garde
 908 Cucumber
 909 Sweet basil
 910 Lady finger/ finger root
 911 Ginger
 912 Chinese mustard
 913 Chinese kale
 914 Yard long bean
 915 Waxgourd
 916 Lemon basil
 917 Asiatic pennywort
 918 Sesban agasta
 919 Cauliflower
 920 Cabbage
 921 Chinese chive
 922 Lead tree

Code C (continued)
 923 Spring onion
 924 Lemongrass
 925 Turmeric
 926 Cassod tree
 927 Indian long pepper
 928 Acacia leaf
 929 Siam tulip
 930 Betel nuts
 931 Ivy gourd
 932 Angled gourd
 933 Cratoxylum formosum (lk
 934 Chinese mustard
 935 Chinese cabbage
 936 Coriander
 937 Thai parsley
 938 Morning glory
 939 Dill
 940 Cowslip creeper
 941 Chilli
 942 Eggplant/Aubergine
 943 Tomato
 944 Kafir lime
 945 Lime
 946 Bitter gourd
 947 Water cress
 948 Caraway
 949 Neem tree
 950 Stink bean
 951 Kitchen mint/ Peppermint
 952 Bamboo shoot
 953 Water mimosa
 954 Celery
 955 Broccoli
 956 Asparagus
 1301 Banana

Code C (continued)
 1302 Jackfruit
 1303 Taro
 1304 Dragon fruit
 1305 Santol
 1306 Rose apple
 1307 Watermelon
 1308 Muskmelon
 1310 Custard apple
 1311 Coconut
 1312 Guava
 1313 Jujube
 1314 Tamarind
 1315 Mango
 1316 Star gooseberry

Code C (cont.)
 1317 Papaya
 1318 Sapodilla
 1319 Longan
 1320 Orange
 1321 Pomelo
 1322 Cantaloupe
 1323 Rambutan
 1324 Water olive
 1801 Teak
 1802 Red cotton tree
 1803 Eaglewood
 1804 Timber wood
 90 Others, specify
 98 no answer
 99 not applicable

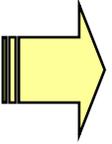
Code B
 1 Tonnes
 2 Kilogram
 3 Ta
 4 1 Kwian = 1,000 kg.
 5 1 Hap (small) = 60 kg.
 6 1 Hap (big) = 100 kg.
 7 1 Muen (rice) = 12 kg.
 8 1 Lit (rice) = 0.75 kg.
 9 1 Thang (paddy) = 10 kg.
 10 1 Thang (rice,beans,and maize) = 15 kg.
 11 1 kg.(dry cassava)=2.2 kg.(fresh cassava)
 12 1 kg.(cassava pellet)=2.4 kg.(fresh cassava)
 13 1 kg.(cassava meal)=4.5 kg.(fresh cassava)
 14 m³
 16 Piece
 17 Rai
 27 1000 square metres
 90 Others, specify
 98 no answer
 99 not applicable

Section 4.2: Agriculture

1. Did you grow rice, field crops, garden crops or permanent crops or forest between 5/10 and 4/11?

1=yes

2=no, go to section 4.3



Next, we would like to know details about your agricultural activities, i.e. crops grown, cost and revenue from agriculture and home gardening.

Please list the crops you planted between 5/10 and 4/11 starting with the most important one in terms of area planted.

0	2	3a	4	5	6	8	9	10	11	11b	12	13	12a	13a
Crop ID	Crop	Variety-where applicable	Planted on parcel no. ... (parcel no. from 4.1)	Area planted TH: rai, VN: 1000m ²	Start of planting period (in case of perennial or crops growing longer than 1 year: year of planting) month	End of planting period <i>Don't ask in case of perennial or crops growing >1 year</i>	Unit for quantities B	total production of main product Quantity	Quantity used for ...					
									con-sumption Quantity	give-away Quantity	household processing Quantity	... in kind payments for labour, machine rental, loan repayments Quantity	animal feed Quantity	seeds (reserved) Quantity
A	C													
1														
2														
3														
4														
5														
6														
7														
8														
9														
10														
11														
12														
13														
14														
15														

Code A

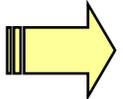
- 101 Jasmine rice
- 102 Other fragrant rice
- 103 Glutinous rice
- 104 Non-glutinous rice (not Jasmine or fragrant!)
- 201 Corn (fodder)
- 202 Corn (human consumption)
 - 3 Peanuts
 - 4 Soybeans
 - 5 Mungbeans
 - 6 Cassava
- 701 Sugarcane (processing)
- 702 Sugarcane (human consumption)
 - 8 Kenaf
 - 9 Vegetables
 - 10 Para rubber
 - 11 Coffee
 - 12 Pepper
 - 13 Fruits
 - 14 Tea
 - 15 Cashew nuts
 - 16 Grass
 - 17 Flower
 - 19 Eucalyptus
 - 20 Bamboo
 - 21 Tobacco
 - 22 Mulberry
 - 23 Kapok
 - 25 Oil palm
 - 26 sweet potato
 - 27 gluey tree
 - 28 Cajuput tree
 - 30 areca-nut tree
 - 31 cotton tree
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code D

- 1 paddy rice
- 2 milled rice
- 3 fresh
- 4 dried
- 5 rubber sheets
- 6 timber
- 9 leaves
- 10 latex
- 11 shredded rubber
- 12 scion
- 13 seeds
- 14 shredded cassava
- 16 cooked
- 17 branches/ leaves
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code C

- 1 owned
- 2 rented
- 3 borrowed (no fee paid)
- 5 no machinery
- 98 no answer
- 99 not applicable



Note: If expenditures cannot be allocated to specific crops, note the total and indicate the crops to which expenditures pertain.

* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

0	2	15	14	16	15b	14b	16b	17	18	19	20	21	22
Crop ID	Crop	Sale 1			Sale 2			Expenditures for land preparation for this crop			Expenditures for seeds and seedlings and planting		Expenditure s for hand weeding (hired labor)*
		Specify the product type/quality sold (e.g. paddy, milled rice etc.) D	Quantity	Price for the production sold THB/1000 VND per unit	Specify the product type/quality sold (e.g. paddy, milled rice etc.) D	Quantity	Price for the production sold THB/1000 VND per unit	machine used is ... C	variable machine cost THB/1000 VND	*hired labor incl. food, drinks THB/1000 VND	seeds and seedlings THB/1000 VND	*hired labor incl. food, drinks THB/1000 VND	THB/1000 VND
A													
1													
2													
3													
4													
5													
6													
7													
8													
9													
10													
11													
12													
13													
14													
15													

Code A

- 101 Jasmine rice
- 102 Other fragrant rice
- 103 Glutinous rice
- 104 Non-glutinous rice (not
 Jasmine or fragrant!)
- 201 Corn (fodder)
- 202 Corn (human consumption)
- 3 Peanuts
- 4 Soybeans
- 5 Mungbeans
- 6 Cassava
- 701 Sugarcane (processing)
- 702 Sugarcane (human consumption)
- 8 Kenaf
- 9 Vegetables
- 10 Para rubber
- 11 Coffee
- 12 Pepper
- 13 Fruits
- 14 Tea
- 15 Cashew nuts
- 16 Grass
- 17 Flower
- 19 Eucalyptus
- 20 Bamboo
- 21 Tobacco
- 22 Mulberry
- 23 Kapok
- 25 Oil palm
- 26 sweet potato
- 27 gluey tree
- 28 Cajuput tree
- 30 areca-nut tree
- 31 cotton tree
- 90 Others, specify
- 98 no answer
- 99 not applicable

* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

0	2	23	24	25	26	27	28	29	36	29a
Crop ID	Crop	Expenditures for fertilizer application		Expenditures for pesticides (herbicides, insecticides and fungicides, snail killers)		Expenditures for harvesting including threshing (if required)		Irrigation expenditures	Other expenditures (including processing)	Total expenditures (If don't know the details)
	A	materials	*hired labor incl. food, drinks	materials	*hired labor incl. food, drinks	machinery cost	*hired labor incl. food, drinks			
		THB/1000 VND	THB/1000 VND	THB/1000 VND	THB/1000 VND	THB/1000 VND	THB/1000 VND	THB/1000 VND	THB/1000 VND	THB/1000 VND
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										

30 Do you usually store part of your agricultural production?			
<input type="checkbox"/> 1=yes <input type="checkbox"/> 2=no, go to section 4.3			
if yes: Please list:			
30a	31	32	33
Storage ID	What do you store?	How much stored as of today (date of the interview)?	Please estimate its current value if you had to sell it?
	A	(kg)	THB/1000 VND
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			

Code A

- 1 Buffalo (no.)
- 2 Beef cattle (no.)
- 3 Dairy cattle (no.)
- 4 Pig (fattening) (no.)
- 5 Pig (piglet production) (no.)
- 6 Goat (no.)
- 7 Chicken (no.)
- 8 Fighting cocks (no.)
- 9 Duck (no.)
- 10 Silk worms (m2)
- 14 Tiger prawns/fresh water prawn (kg)
- 15 Tilapia (kg)
- 16 Carp (kg)
- 19 Wild pig (no.)
- 20 Milkfish (kg)
- 21 Turkey (no.)
- 23 Frog and toad (no.)
- 24 Locust (kg)
- 25 Catfish (kg)
- 27 dove (no)
- 29 other fish
- 31 cat (no)
- 32 rabbit (no)
- 33 bee (colonies)
- 34 stag (no)
- 35 elephant (no)
- 91 Local carp (kg)
- 92 Snake-head fish (kg)
- 94 dog (no)
- 90 Others, specify

Section 4.3: Livestock and aquaculture

4.3.1 Stocks

1 Did you keep any of the stocks listed below between 5/10 and 4/11?

1=yes

2=no, go to section 4.4

Please list the stocks you kept since May 2010

0	2	3	3a	4	5	5a	6	6a	7	7a	8	10	9	9a	12	13	14	15	16	
Livestock ID	Animal species/ production activity	Stock at the beginning of the period (1 May 2010)		Changes over the year									Stock at the end of 4/11		Cash expenditures between 5/10 and 4/11 THB/1000 VND					
				Additions			Disposals			Sales					for restockin g	feed	veterina ry treatme nt	hired labor	others	
				Births	Purchases or received in kind		Losses (e.g. death, theft)		Home consumption	Sales		(value) THB/1000 VND								
A	(units)	(value) THB/1000 VND	(units)	(units)	(value) THB/1000 VND	(units)	(value) THB/1000 VND	(units)	(value) THB/1000 VND	(units)	(value) THB/1000 VND	(units)	(value) THB/1000 VND	(units)	(value) THB/1000 VND					
1																				
2																				
3																				
4																				
5																				
6																				
7																				
8																				
9																				
10																				
11																				
12																				
13																				
14																				
15																				

Code A

- 1 Milk (VN:l)
- 4 Chicken Eggs (pieces)
- 5 Duck eggs (pieces)
- 6 Silk (kg)
- 8 Silkworm pupae (kg)
- 24 honey (Lit.)
- 25 stag (pieces)
- 26 dung (kg)
- 90 others, specify

4.3.2 Livestock Products

1 Did you get livestock products between 5/10 and 4/11?

	1=yes 2=no, go to section 4.4
--	----------------------------------

Now, please list and quantify livestock products produced between 5/10 and 4/11.

0	2	4	5	5a	6	7	8	9	10
Livestock Product ID	Livestock products A	Total Production (individual unit)	Home consumption		Quantity sold	Sales value	packaging and storage	Cash cost of inputs THB/1000 VND	
		(individual unit)	(individual unit)	THB/1000 VND	(individual unit)	THB/1000 VND		Specification of other inputs	Others, specify Other cash costs (THB/1000 VND)
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									

Code A

- 1 fishing
- 2 hunting/catching
- 3 collecting
- 4 logging
- 98 no answer
- 99 not applicable

Code B

- 1 Lake
- 2 Dam
- 3 Pond
- 4 River
- 5 Canal
- 6 Forest
- 7 Vacant land
- 8 Ocean
- 100 crop land
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code C

- 1 community
- 2 government
- 3 private persons
- 4 private corporations
- 5 this household
- 6 nobody
- 90 Others, specify
- 98 no answer
- 99 not applicable

Code D

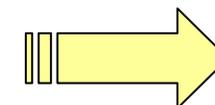
- 1 Tilapia
- 2 Catfish
- 3 Snakehead fish
- 4 Shrimp
- 5 Mudfish
- 7 Cuttlefish
- 111 Carp
- 116 White fish
- 107 Crab
- 10 other marine fish / sea fish
- 117 Other fish
- 114 giant water bug
- 113 Other insects
- 100 Frog and toad
- 101 Rat
- 102 Mollusk
- 104 Gem
- 105 Lizard
- 108 Snake
- 109 Locust and grasshopper
- 110 Bird
- 115 Squirrel
- 119 Sand goby
- 123 Turtle
- 11 other animals
- 118 Animal eggs
- 14 honey
- 103 Red ant's eggs
- 12 timber products
- 13 fire wood
- 15 mushrooms
- 16 wild plants
- 17 firewood for making charcoal
- 106 Fruits
- 112 Vegetable and bamboo
- 121 Rattan
- 124 Stone (for Bonsai)
- 125 Plants (for Bonsai)
- 90 others, specify
- 98 no answer
- 99 not applicable

Section 4.4: Fishing, hunting, collecting, logging

1 Is your household involved in fishing, hunting, collecting or logging?

1 yes

2 no, go to section 5



Please report for the period from 5/10 to 4/11:

* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

1a	2	3	4	5	6	8	9	10	11	12	12a	13
Activity ID	Type of activity	Where do you conduct any of these activities?	Who controls access to this resource	Payment for access in cash or kind per year/activity (If in kind, pls. estimate the value)	If no payment, please specify access regulations	What is the normal season for the activity between 05/10 and 04/11?		How often do you conduct the activity during a season?	Fuel cost between 5/10 and 4/11	*Hired labor cost last year in cash and in kind	Other variable costs	Type of produce extracted
	A	B	C	(0 if no payment)	(99 if no access regulation)	from (month)	to (month)	(days/season)	THB/1000 VND	THB/1000 VND	THB/1000 VND	D
1												
2												
3												
4												
5												
6												
7												
8												
9												
10												
11												
12												
13												
14												

Code E

- 1 ton
- 2 kg
- 3 Ta
- 4 m³
- 5 gram
- 7 cart load/truck load (only use it for wood)
- 8 bundle
- 10 piece
- 14 Muen (12 kg)
- 15 Bottle
- 16 Liter
- 90 others, specify

* Whenever possible convert to kg

1a	14	*15a	18	16	16a	17a
Activity ID	Total output between 5/10 and 4/11	Specify Unit	Quantity sold (use unit of 15a)	Quantity consumed (use unit of 15a!)	Value of home consumption	Value of total output
		E			THB/1000 VND	THB/1000 VND
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						

Code A
Agriculture
1 Agricultural wage labourer
2 Logger
3 Fisher
Industry worker
4 Food processing
5 Textile, Apparel
6 Electronics
7 Wood Products
8 Furniture
9 Metal Products and Machinery
66 rubber production
67 brickyard
10 other industry
18 Miner, Quarryman
19 Construction worker
Service
21 Watchman
22 Carwasher
24 Shoemaker
25 Barber
26 Tailor
27 Vendor / Salesman
28 Cook
29 Waiter
30 Cleaner
31 Housemaid
32 Driver
33 Carpenter
34 Mechanician
35 Electrician
36 Plumber
57 accountant / bank clerk
71 Tourist guide
37 Other service worker

Code A (continued)
Public Sector
38 Nurse (public clinic)
68 Nurse (private clinic)
39 Policeman
40 Teacher
41 Soldier
72 central governmental administration
73 local government administration
74 another civil servant
90 Other, specify
98 no answer
99 not applicable

Code D
1 in the home village/commune
2 in the same district (rural area)
3 in the same district (urban area)
4 in the same province (rural area)
5 in the same province (urban area)
6 in another province (rural area)
7 in another province (urban area)
9 in Bangkok
10 in Hanoi
11 in Ho Chi Minh City
20 Laos
21 Cambodia
29 Other Asian country
30 Australia and Oceania
35 Europe
40 North America
90 Other countries
98 no answer
99 not applicable

Code F
1 Walk
2 Ox cart
3 Bicycle
4 Motorcycle
5 Own car
6 Taxi / hired car
7 Company bus/ pick-up
8 Public bus
10 Train
11 Airplane
12 Boat
90 Other, specify
98 no answer
99 not applicable

Code G
1 Unlimited (written contract)
2 Unlimited (verbal agreement)
3 Limited (written contract)
4 Limited (verbal agreement)
90 Other, specify
98 no answer
99 not applicable

Code B
1 yes
2 no
98 no answer
99 not applicable

Section 5: Off Farm employment

In this section and in the following section we would like to talk about all off-farm employment that your household members have maintained between 5/10 and 4/11 . By off-farm employment we refer to all activities not related to agricultural production on your own farm.

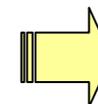
First, we ask you about the wage-employed activities, for example: agricultural worker on other farms, factory worker, construction worker, service worker ...).

1 Has anyone of your household members worked as a wage-employee between 5/10 and 4/11

1 yes
2 no, go to next section

2 How many members of your household have worked as a wage-employee between 5/10 and 4/11?

person (s)



Interviewer: Use separate rows for each off-farm occupation for each household member.

2a	3	4	5	5a	6	7	7a	9	9a	8a	10a	11	11a	12	13	14	14a	
Occupation I.D.	HH Member I.D.	Occupation No.	Describe the type of Occupation in words (for example: production worker in canning factory)	A	B	Since when is [Name] working in this job? <i>if less than 1 year ago give month (1-12)</i> Year (BE/CE)	At which company is [Name] employed?	Where is [Name] working? Please specify the city, province or country <i>if 1 go to Q14</i>	D	B	Is [Name] commuting daily from home village to place of work? <i>If 1 go to Q11.</i>	How many times did [Name] return home during the reference period?	F	Estimate the cost of a one way trip to [Name] 's place of work THB/ 1000 VND	km	hours	G	G
1																		
2																		
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		

Code I

- 1 Job experience
- 2 gender
- 3 age
- 4 family and friends
- 5 primary school
- 6 lower secondary school
- 7 upper secondary school
- 8 university degree
- 9 technical/ computer skills
- 10 foreign languages skills
- 11 vocational skills/ training
- 12 political party membership
- 14 place of residence

- 17 vocational degree
- 20 Good Health
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code B

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code H

- 1 On my own
- 2 Media (newspaper, tv, internet...)
- 3 Family and friends
- 4 Private job agency
- 5 Public job agency
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code J

- 2 Day
- 4 Month
- 5 Year
- 6 lumpsum payment
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code K

- 1 accomodation
- 2 food
- 3 transport
- 4 insurance
- 8 education fee for children
- 16 bonuses
- 90 Other, specify
- 98 no answer
- 99 not applicable

2a	3	4	15	16	17	18	19	21	22	23	25	26	27	27b	27c	28	28a	29	30
Occupation I.D.	HH Member I.D.	Occupation No.	How did you know about the job? <i>if < (4) go to Q17</i>	How much was the agency fee? THB/ 1000 VND	How long did it take to find this job? months	Which is the most important reason for [Name] to obtain this job?		How many years of job experience does [Name] have in this job?	Cash income (including regular bonuses)		If this job includes non-monetary incomes or benefits, please specify which:			If free meals are included, estimate the value per day THB/ 1000 VND	If job involves irregular bonuses, pls. estimate the value per year THB/ 1000 VND	Average number of hours worked per day in this job between 5/10-4/11	Average number of days worked per month in this job between 5/10-4/11	Number of months worked per job between 5/10-4/11	Will [Name] also work in this job between 5/11 - 4/12? B
						most important I	other I		THB/ 1000 VND	Time unit J	1 K	2 K	3 K						
1																			
2																			
3																			
4																			
5																			
6																			
7																			
8																			
9																			
10																			
11																			
12																			

Code A**Agriculture related**

28 Agricultural services

50 Livestock trader

Production

1 Rice mill

2 Silk spinnery/weaving

3 Mat making

4 Basket making

5 Brickyard

6 Pottery

Trade, Transport and Communication

7 Retail-Shop (sales store)

8 Petty trader (sales on street)

9 Wholesale

10 Taxi and transport

11 Internet-shop

Hotel and Food

12 Hotel / guesthouse

13 Restaurant/ Bar

14 Foodstall operator

15 Butchery

16 other small scale food processing incl. alcohol production

Crafts and Services

17 Hair salon / barber

18 Repair shop

19 Carpenter

20 Mechanician

21 Electrician

22 Plumber

23 Shoe-maker

24 Tailor

25 Car-washing

26 Shoe-cleaner

37 Handicrafts/Carver

32 Doctor

36 Funeral and wedding service

47 construction

48 cement tile yard

49 carver

90 other, specify

98 no answer

99 not applicable

Code B

1 Sole proprietorship

2 Private Limited Company

3 Public Limited Company

4 Limited Partnership

5 Partnership

6 HH-enterprises

7 Informal

90 Other, specify

98 no answer

99 not applicable

Code D

1 in the home village/commune

2 in the same district (rural area)

3 in the same district (urban area)

4 in the same province (rural area)

5 in the same province (urban area)

6 in another province (rural area)

7 in another province (urban area)

9 in Bangkok

10 in Hanoi

11 in Ho Chi Minh City

20 Laos

21 Cambodia

29 Other Asian country

90 Other countries

98 no answer

99 not applicable

Code F

1 Walk

2 Ox cart

3 Bicycle

4 Motorcycle

5 Own car

6 Taxi/hired car

7 Company bus/ pick-up

8 Public bus

10 Train

11 Airplane

12 Boat

90 Other, specify

98 no answer

99 not applicable

CodeG

1 yes

2 no

98 no answer

99 not applicable

Section 6: Non-farm self-employment including cottage industries

In this Section we would like to talk about all non-farm self-employed activities your household members have maintained between 5/10 and 4/11.

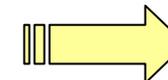
By non-farm self-employment we mean that you are an own-account worker without employees (e.g.: handicraftman, petty-trader, mat-making, ...) or that you are running an own business with family workers or other employees (e.g.: restaurant owner, shop owner, hair salon, taxi/bus owner, rice miller, coffee roasting, ...).

1 Has any of this household's members been engaged in non-farm self-employment between 5/10 and 4/11?

1 yes
2 no, go to next section

2 How many household members were engaged in non-farm self-employment between 5/10 and 4/11?

person (s)



2a	3	4	5	5a	6	7	8	10	10a	9	11a	12	12a	13	14	15	16a	16b	
Business I.D.	HH Member I.D. (head of business)	Business No.	Describe the Type of Business in words		Since when do you run this business? if less than 1 year ago give month (1-12)	Amount of initial investment THB/ 1000 VND	Form of organisation (legal form)	Where is the business located? Name of City/ Province/ Country if 1 go to Q15		Is [Name] commuting daily from home village to his/her place of business? If 1 go to Q12	How many times does [Name] return home per year?	What kind of vehicle does [Name] use for commuting/ travelling for returning home?	How much does a one-way-trip cost to reach the place of business? THB/ 1000 VND	How far is [Name] place of business from home village and how long does it take to reach it? km hours		Does [Name] have employees (including family members)? If 2 go to Q22	Number of employees person (s)	Family members	Non-family members
			A		Year (BE/CE)	B	B	D		G		F				G			
1																			
2																			
3																			
4																			
5																			
6																			
7																			
8																			
9																			
10																			
11																			
12																			

Code H

- 1 1
- 2 2-5
- 3 6-10
- 4 More than 10

Code I

- 1 Consumer
- 2 Trader
- 3 Manufacturer
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code J

- 1 Subcontract
- 2 Non-subcontract
- 3 Both
- 90 Other, specify
- 98 no answer
- 99 not applicable

CodeG

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

2a	3	4	17	18	19	20	21	22	23	25	26	27a	29	30	30a	31	32	33	34	35	36a	38	40b	40a	39	
Business I.D.	HH Member I.D. (head of business)	Business No.	Number of contract types with employees (in absolute numbers)				Monthly payroll (average per month)	No. of customers (per month)	Main type of customers	Where are customers located? (in %)			Volume of sales (average per month)?	Does [Name] have in-kind returns as well?	Average monthly value of in-kind returns	Does your household consume parts of [Name]'s production	Average monthly value of self-consumed products	Costs for input purchases (average per month)?	Where does [Name] buy his inputs? (in % of value)			Average monthly cash profit(+)/loss(-) between 5/10 and 4/11	Average number of hours worked per day in this business between 5/10 and 4/11	Average number of days worked per month in this business between 5/10 and 4/11	No. of months engaged in this business between 5/10 and 4/11	
			unlimited (written contract)	unlimited (verbal agreement)	limited (written contract)	limited (verbal agreement)	THB/ 1000 VND	H	I	district	province	outside province	THB/ 1000 VND	G	THB/ 1000 VND	G	THB/ 1000 VND	THB/ 1000 VND	district	province	outside province	THB/ 1000 VND				
1																										
2																										
3																										
4																										
5																										
6																										
7																										
8																										
9																										
10																										
11																										
12																										

Code A	Code A (cont.)
Land and buildings	Vehicles
1 Farm land	33 Truck
2 Residential land	34 Pick up
3 Land for business purposes	35 car
4 Barn	36 Motorcycle
5 Cow stable	38 vending cart
6 Pig stable	39 other vehicles, specify
7 Chicken stable	
8 Pond	Livestock/ permanent crops
9 Storage facilities	40 Buffalo
11 Shop/ office	41 Beef cattle
12 residential building	43 Pig (fattening)
13 other real estate, specify	44 Pig (piglet production)
	45 Goat
Farm and business equipment	46 Chicken
14 Tractor 2 wheel (pushcart)	47 Duck
15 Tractor 4 wheel	48 other livestock, specify
16 Rice mill	49 Para rubber plantation
17 Threshing machine	50 Coffee plantation
18 Water tank (field)	51 Eucalyptus plantation
19 Water pump	53 other permanent crops
20 Pipe	90 Others, specify
21 Engine spray	
75 Coffee processing equipment (watering, drying)	
76 drill	
77 well	
78 sewing machine	
79 plowing machine	30 furniture for shop
80 lawn mower	70 HH appliances (fringe, freezer)
81 soil milling machine	71 generator
82 harvesting machine	72 electronic equipment
83 plucking machine	74 computer
23 other farm equipment, specify	
25 Boat	31 non farm machinery, specify
26 Nets	32 other non-farm equipment, specify
29 other fishing equipment, specify	

Code B
1 m2 (for buildings/VN: land/ plantations)
2 HP (for tractors/ vehicels/ machines)
3 l (for tanks and sprays)
4 l/ min (for water pumps)
5 m (for pipes)
7 Ton
8 Rai (TH: land, plantations)
9 cc (motorcycles)
20 pieces (only for plants on plantation)
21 kg
23 ha (for land/ plantations)
90 Other country, specify
98 no answer
99 not applicable

Code C
0 next to house
1 In the same village/commune
2 In the same district (rural area)
3 In the same district (urban area)
4 In the same province (rural area)
5 In the same province (urban area)
6 In another province (rural area)
7 In another province (urban area)
9 In Bangkok
10 In Hanoi
11 In Ho Chi Minh City
20 Laos
21 Cambodia
29 Other Asian country
30 Australia and Oceania
35 Europe
40 North America
90 Other country, specify
98 no answer
99 not applicable

Code D
1 private
2 farm
3 business
98 no answer
99 not applicable

Code E
1 increase income
2 reduce income risk
3 diversify income
4 improve food security
5 make work easie
6 replacement
90 Others, specify
98 no answer

Code F
1 sucessful
2 mixed
3 not sucessful
98 no answer
99 not applicable

Code G
1 mostly own savings
2 mostly borrowd
3 money from business partner
4 money sent by relatives
90 Others, specify
98 no answer
99 not applicable

Code H
1 needed the money for consumption
2 needed the money for investment
3 the item did not bring enough return
4 replacement
5 no need for it anymore
90 Others, specify
98 no answer
99 not applicable

Code J
1 increase / invest
3 no change
2 decrease / divested
4 don't have / use this,
and will not invest
98 no answer
99 not applicable

Code K
1 Most of them in the same village
2 Most of them in a provincial city
3 Most of them in Bangkok/ Hanoi/ Ho Chi Minh City
4 don't have children or grandchildren
90 Others, specify
98 no answer
99 not applicable

Section 6.2: Investment

1 Do your HH in the last year (05/10 - 04/11) buy any durable goods you will use for longer than 1 year or season at a purchase price above 5000 THB/ 1.5 Mio VND (investment)?

1 yes
2 no, go to Q 13

13 Did your HH in the last year (05/10 - 04/11) sell durable goods that have been used for longer than 1 year above 5000 THB/ 1.5 Mio VND (divestment)?

1 yes
2 no, go to Q 20

Please list all investments above 5000 THB/ 1.5 Mio VND your HH undertook in the last year (05/10 -04/11), start with the newest one.

2	3	3a	4	4a	5	5a	6	6a	7	8	9	10	11	12
Investment I.D.	Investment type <i>Interviewer: Please read out Code A</i>	Location	Number of items/ animals/ landplots bought	Technical characteristics	When did you invest?		How much did you spend?	Main use	For how many years did you plan to use the item when you bought it?	Primary reason to invest into [INV.-TYPE]	Subjective assessment of performance	What was the main source of finance for [INV.-TYPE]		
					size/ name								THB/ 1000 VND	MM
1														
2														
3														
4														
5														
6														
7														
8														
9														
10														
11														
12														
13														
14														
15														
16														
17														
18														
19														
20														

Please list all divestments above 5000 THB/ 1.5 Mio VND your HH undertook in the last year, start with the newest one.

14	15	16	17	18	18a	19
Divestment I.D.	Type	How much did you get?	When did you sell the item?	Why did you sell the item?		
					THB/ 1000 VND	MM
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

What are your plans for the next 5 years? **J**

20 Invest in agriculture

21 Invest in Non-farm enterprise

What do you plan in regard of the... **J**

22 land area for crop production

23 land area for livestock

24 number of crop varieties grown

25 number of livestock

26 time used for agricultural production

27 labor hired in

K

28 Where do you expect your children/ grandchildren to live when they are grown up?

Code A

- 1 Jewellery
- 2 Other durable goods
- 3 Agricultural inputs (fertilizer, pesticides etc.)
- 4 Food (rice etc.)
- 5 Cash
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code B

- 1 Business investments
- 2 Agricultural investments
- 3 Business related expenses
- 4 Agriculture related expenses (e.g. fertilizer pesticides)
- 5 Pay back other debt
- 6 House or land purchase/construction
- 7 Buy durable household goods
- 8 Improving infrastructure (water supply, sanitation etc.)
- 9 buying consumption good (e.g. food)
- 10 Medical treatment
- 11 Ceremony (wedding, funeral, tet)
- 12 Study
- 14 work abroad
- 18 relend to family members or relatives
- 19 relend to non-relatives
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code H

- 0 in the same village
- 1 in the same commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 30 Australia and Oceania
- 35 Europe
- 40 North America
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code CC

- 1 pay fixed amount periodically
- 2 pay varied but specific amounts at scheduled time.
- 3 pay whenever the borrower has enough money.
- 98 no answer
- 99 not applicable

Code C

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code E

- 1 year
- 2 month
- 3 week
- 4 day

Code D

- 51 Government Housing Bank
- 52 Bank for Agriculture and Agricultural Cooperatives (BAAC)
- 53 Government Savings Bank
- 54 Small Industry Finance Corporation/ SME Development Bank
- 55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion
- 56 Urban Community Development Organization
- 57 Agricultural cooperatives
- 58 Other socio-political organization
- 59 Village bank
- 60 Village Fund/Community Fund(Taksin village fund)
- 61 Business partner/trader/supplier
- 62 Money lender
- 63 pawnshop
- 64 Commercial bank
- 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)
- 70 relative in village
- 71 relative outside village (same province)
- 72 relative other province
- 73 relative abroad
- 74 friends in village
- 75 friends outside village (same province)
- 76 friends other province
- 77 friends abroad
- 78 Self help credit group
- 79 poverty eradication project
- 80 student loan fund
- 82 saving cooperative and credit union
- 83 insurance company
- 90 Other, specify
- 98 no answer
- 99 not applicable

Section 7.1: Borrowing and Lending**A. Borrowing**

1a For each of the following financial institutions, ask for the location of the nearest branch of each institution and the travel times from the household to the nearest branch. (Ask every household, not only households that have borrowed.)

Institution		Location		Travel time	
		H		km.	minutes
33	BAAC	a	b	c	
34	Agricultural cooperatives	a	b	c	
35	GSB	a	b	c	
36	Commercial bank	a	b	c	

1 Did you ever borrow cash or goods (rice, fertilizer etc.) or buy on installments?

1 Yes
2 No, go to section 7.1 B

2a Do you have any loans that are still owed or that have been completely repaid between 5/10 and 4/11?

1 Yes
2 No, go to section 7.1 B

Please record all loans that are still owed or loans that have been completely repaid in the period between 5/10 and 4/11 in decreasing order of value.

3	4		5			6a	6b	6c	7	8	9	10	11	12	13	14	14a	14b	16	17	
Loan ID	What is the amount of loan the HH borrowed? (if non-cash loan, indicate the good and estimate its value)		For what did HH actually use the loan? (give three most important usages)			Did you have to borrow because of a shock affecting your household? <i>If no, go to Q9</i>	What was the shock that caused you to borrow? (Use shock ID from sec. 3.1)	Where did you borrow?	When did you receive the loan?	What is the duration of the loan?	Repayment as initially agreed										
	Type	Value									Type of payment	Repayment schedule	Frequency of repayment per year	Interest rate	time unit of interest rate						
	A	THB/ 1000 VND	B	B	B	C	D	month	year (CE/BE)	duration	E	A	CC		%	E					
																			%		
																				%	
																				%	
																				%	
																				%	
																				%	
																				%	
																				%	
																				%	
																				%	
																				%	

code F

- 1 land
- 2 use savings to guarantee credit
- 3 use future crops to guarantee credit
- 4 life insurance
- 5 other assets (e.g. farm equipment, livestock, valuables)
- 6 single guarantor
- 7 multiple guarantors
- 8 no collateral required
- 13 salary/work contract
- 90 Other, specify
- 98 no answer
- 99 not applicable

code G

- 0 no other requirement
- 1 credit group membership
- 2 membership in social/political group (e.g. VWU, farmers' union, party, church)
- 3 other multiple guarantors
- 4 individual guarantor
- 5 savings account at the bank
- 6 currently enrolled in school or university
- 10 salary/work contract
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code H

- 0 in the same village
- 1 in the same commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 30 Australia and Oceania
- 35 Europe
- 40 North America
- 90 Other, specify
- 98 no answer
- 99 not applicable

3	15a	15b	19a	19b	20	21	22	23	24	25	31	32
Loan ID	Actual repayment stream between 5/10 and 4/11		Total repayments of loan as of end 4/11	Remaining debt as of end 4/11	What is the collateral for this loan?	Estimated value of collateral at the time you got the loan	Other requirements (give the three most important requirements)			When did you first borrow from this lender?	Where is this lender located?	Travel time from the household to the lender
	Total amount of payments (repayment + interest)	Number of payments	[Repayment + interest]	[Repayment + interest]								
	THB/ 1000 VND		THB/ 1000 VND	THB/ 1000 VND	F	THB/ 1000 VND	G	G	G	year (CE/BE)	H	minutes

Code A	Code B	Code D	Code I
1 Jewellery	1 yes	1 relative in village	1 Illness of household member
2 Other durable goods	2 no	2 relative outside village (same province)	2 Death of household member
3 Agricultural inputs (fertilizer, pesticides etc.)	98 no answer	4 relative abroad	3 Household member left the household
4 Food (rice etc.)	99 not applicable	5 credit group (VN: Ho/Hui or Phuong)	4 Person joined the household
5 Cash	Code CC	6 friend in village	5 Money spent for ceremony in the household
90 Other, specify	1 pay fixed amount periodically	7 friend outside village (same province)	24 Accident
98 no answer	2 pay varied but specific amounts at scheduled time.	8 friend other province	38 Law suit
99 not applicable	3 pay whenever the borrower has enough money.	9 friend abroad	6 House damage
Code C	90 Other, specify	10 Business partner/trader/supplier	7 Theft
1 Business investments	98 no answer	90 Other, specify	8 Conflict with neighbours in the village
2 Agricultural investments	99 not applicable	98 no answer	9 Relatives/Friends stopped sending remittances
3 Business related expenses		Code E	11 Drought
4 Agriculture related expenses (e.g. fertilizer pesticides)		1 year	12 Unusually heavy Rainfall
5 Pay back other debt		2 month	13 Crop pests
6 House or land purchase/construction		3 week	14 Storage pests (including rats)
7 Buy durable household goods		4 day	15 Livestock Disease
8 Improving infrastructure (water supply, sanitation etc.)		98 no answer	16 Landslide, Erosion
9 buying consumption good (e.g. food)		99 not applicable	55 Storm
10 Medical treatment			46 Was cheated
11 Ceremony (wedding, funeral, tet)			60 Job loss (agricultural)
12 Study			61 Job loss (non-agricultural)
14 work abroad			18 Collapse of business
18 relend to family members or relatives			20 Strong increase of interest rate on loans
19 relend to non-relatives			21 Strong decrease of prices for Output
90 Other, specify			22 Strong increase of prices for Input
96 I don't care			23 Change in market regulations
98 no answer			57 snow / ice rain (VN)
99 not applicable			90 Other, specify
			98 no answer
			99 not applicable

B. Lending

1 Did you ever lend out cash or goods (rice, fertilizer etc.) or sell by installments?

1 Yes

2 No, go to section 7.1 c

2a Do you have any lendings that still own or that have been completely repaid to you between 5/10 and 4/11?

1 Yes

2 No, go to section 7.1 c

Please record all loans that are still owed to you or that have been completely repaid to you between 5/10 and 4/11

3	4	5	6	6b	6c	7	8	9	10	11	12	13	14	14a	14b	16	17
Lending ID	What is the amount of loan the HH lend? (If loan is non-cash please indicate the good and estimate its value)		For what purpose did the borrower need the loan? (give three most important usages)			Did borrower need loan because of a shock affecting his/her household?	What was the type of shock for which the borrower needed the loan?	To whom did you lend?	When did you give the loan?		What is the duration of the loan?		Repayment as initially agreed				
	Type	Value				<i>If no, go to Q9</i>			month	year (CE/BE)	duration	time unit	Type of payment	Repayment schedule	Frequency of repayment per year	Interest rate	time unit of interest rate
A	THB/ 1000 VND	C	C	C	B	I	D				E	A	CC		%	E	
																%	
																%	
																%	
																%	
																%	
																%	
																%	

Code F

- 1 land
- 2 use savings to guarantee credit
- 3 use future crops to guarantee credit
- 4 life insurance
- 5 other assets (e.g. farm equipment, livestock, valuables)
- 6 single guarantor
- 7 multiple guarantors
- 8 no collateral required
- 13 salary/work contract
- 98 no answer
- 99 not applicable

Code G

- 0 no other requirement
- 1 credit group membership
- 2 other multiple guarantors
- 3 individual guarantor
- 4 savings account at the bank
- 6 currently enrolled in school or university
- 7 salary/work contract
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code H

- 0 in the same village
- 1 in the same commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 30 Australia and Oceania
- 35 Europe
- 40 North America
- 90 Other country
- 98 no answer
- 99 not applicable

3	15a	15b	19a	19b	20	21	22	23	24	25	31	32
Lending ID	Actual repayment stream between 5/10 and 4/11		Total repayments of loan as of end	Remaining debt as of end 4/11	What is the collateral for this loan?	Estimated value of collateral at time you granted the loan	Other requirements (give the three most important requirements)			When did you first give credit to this lender?	Where is the borrower located?	Travel time from the household to the borrower
	Total amount of payments (repayment + interest)	Number of payments	[Repayment + interest]	[Repayment + interest]								
	THB/ 1000 VND		THB/ 1000 VND	THB/ 1000 VND	F	THB/ 1000 VND	G	G	G	year (CE/BE)	H	minutes

Code A

- 51 Government Housing Bank
- 52 Bank for Agriculture and Agricultural Cooperatives (BAAC)
- 53 Government Savings Bank
- 54 Small Industry Finance Corporation/ SME Development Bank
- 55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion
- 56 Urban Community Development Organization
- 57 Agricultural cooperatives
- 58 Other socio-political organization
- 59 Village bank
- 60 Village Fund/Community Fund(Taksin village fund)
- 61 Business partner/trader/supplier
- 62 Money lender
- 63 pawnshop
- 64 Commercial bank
- 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)
- 70 relative in village
- 71 relative outside village (same province)
- 72 relative other province
- 73 relative abroad
- 74 friends in village
- 75 friends outside village (same province)
- 76 friends other province
- 77 friends abroad
- 78 Self help credit group
- 79 poverty eradication project
- 80 student loan fund
- 82 saving cooperative and credit union
- 83 insurance company
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code E

- 1 default
- 2 late payment
- 98 no answer

Code B

- 1 Business investments
- 2 Agricultural investments
- 3 Business related expenses
- 4 Agriculture related expenses (e.g. fertilizer pesticides)
- 5 Pay back other debt
- 6 House or land purchase/construction
- 7 Buy durable household goods
- 8 Improving infrastructure (water supply, sanitation etc.)
- 9 buying consumption good (e.g. food)
- 10 Medical treatment
- 11 Ceremony (wedding, funeral, tet)
- 12 Study
- 14 work abroad
- 18 relend to family members or relatives
- 19 relend to non-relatives
- 90 other, specify
- 98 no answer

Code C

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code D

- 1 Lack of collaterals
- 2 Bad business plan
- 3 Low social standing
- 4 ever defaulted on loan from this credit source
- 5 ever repaid late on loan from this credit source
- 6 lender has limited amount of money to lend
- 8 not in the lending period
- 90 other, specify
- 98 no answer
- 99 not applicable

Code F

- 1 Jewellery
- 2 Other durable goods
- 3 Agricultural inputs (fertilizer, pesticides etc.)
- 4 Food (rice etc.)
- 5 Cash
- 90 Other, specify
- 98 no answer not applicable

code G

- 1 land
- 2 use savings to guarantee credit
- 3 use future crops to guarantee credit
- 4 life insurance
- 5 other assets (e.g. farm equipment, livestock, valuables)
- 6 single guarantor
- 7 multiple guarantors
- 8 no collateral required
- 90 other, specify
- 99 not applicable

Code H

- 1 none
- 2 not able to borrow again from this lender
- 3 not able to borrow again from this lender and others
- 4 collateral was seized
- 5 had to pay higher interest
- 90 other, specify
- 98 no answer
- 99 not applicable

C. Credit Rationing

1 Did you apply for credit between 5/10 and 4/11 without getting it or without getting the full amount you applied for?

1 Yes

2 No, go to section 7.1 D

1a	2	4	5	6	7	8	9	10	11
Rationing ID	When?	Where did you apply for credit?	Amount of Loan applied for	Amount actually received	Why did you apply for a credit?	Did you have to apply for credit because of a shock affecting your household?	Pls. indicate the I.D. of event in shock from section 3.1	Why was your application rejected?	How many times was your application (partially or completely) rejected?
	month	A	THB/ 1000 VND	THB/ 1000 VND	B	C <i>if no, go to Q10</i>		D	
1									
2									
3									
4									
5									

D. Default history

12 During the past 12 months, have you ever defaulted or failed to pay back a loan on time?

1 Yes

2 No, go to section 7.1 E

13	14	15	16	17	18	19	20	21	22
Default ID	Did you default or pay late?	Did you pay late or default as a consequence of a shock affecting your household?	Pls. indicate the I.D. of event in shock from section 3.1	What was the amount of loan you borrowed?		Where did you borrow?	What was the collateral of this loan?	What was the value of the collateral?	What were the consequence of default or late payment?
	E	C <i>if no, go to Q17</i>		Type	Value of loan	A	G	THB/ 1000 VND	H
1									
2									
3									

Code A**Thailand**

- 51 Government Housing Bank
- 52 Bank for Agriculture and Agricultural Cooperatives (BAAC)
- 53 Government Savings Bank
- 54 Small Industry Finance Corporation/ SME Development Bank
- 55 Export-Import Bank of Thailand or Business Promotion Office at Department of Export Promotion
- 56 Urban Community Development Organization
- 57 Agricultural cooperatives
- 58 Other socio-political organization
- 59 Village bank
- 60 Village Fund/Community Fund(Taksin village fund)
- 61 Business partner/trader/supplier
- 62 Money lender
- 63 pawnshop
- 64 Commercial bank
- 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)
- 70 relative in village
- 71 relative outside village (same province)
- 72 relative other province
- 73 relative abroad
- 74 friends in village
- 75 friends outside village (same province)
- 76 friends other province
- 77 friends abroad
- 78 Self help credit group
- 79 poverty eradication project
- 80 student loan fund
- 82 saving cooperative and credit union
- 83 insurance company

- 90 Other, specify
- 98 no answer
- 99 not applicable

Code A (cont.)**Vietnam**

- 1 Bank for social policy
- 2 Bank for agriculture and rural development
- 3 Credit organization (e.g. PCF)
- 4 Vietnam Post (VNPT)
- 7 Job placement support fund
- 8 Socio-political organization(VWU, agricultural organization)
- 11 Business partner/trader/supplier
- 12 money lender
- 13 pawnshop
- 14 Commercial bank
- 20 relative in village
- 21 relative outside village (same province)
- 22 relative other province
- 23 relative abroad
- 24 friends in village
- 25 friends outside village (same province)
- 26 friends other province
- 27 friends abroad
- 28 credit group (Ho/Hui or Phuong)

Code C

- 1 salary/wages
- 2 profits from business
- 3 money transfers from relatives
- 4 money transfers from friends
- 5 public transfers

- 6 selling land
- 7 selling other assets
- 8 inheritance
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code B

- 1 yes
- 2 no
- 98 no answer
- 99 not applicable

Code E

- 0 in the same village
- 1 in the same commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City

- 30 Australia and Oceania

- 35 Europe
- 40 North America
- 90 Other country
- 98 no answer
- 99 not applicable

Code D

- 1 save for old age
- 2 leave bequest for children
- 3 business investment
- 4 agricultural investment
- 5 house or land purchase/construction
- 6 buy durable household good (e.g. cell phone, tv)
- 7 buy bicycle, motorcycle or car
- 8 buy food in case of emergency
- 9 use for medical treatment
- 10 use for ceremony (wedding, funeral, tet)

- 11 study
- 12 save for family member to work abroad
- 13 to be eligible for receiving loan
- 90 Other, specify
- 98 no answer
- 99 not applicable

E. Savings

Read out to household head:

We reassure you that all information given is strictly confidential. It will not be given to others and will only serve scientific purposes.

1 Do you have any savings?

- 1 Yes
- 2 No, go to next section

2 During the period of May 2010 to April 2011, could you save parts of your income?

- 1 Yes
- 2 No

10 What were the 3 most important sources of savings during the period May 2010 and April 2011?

a	b	c
---	---	---

Code C

11 For what do you expect to use savings in the future (please ask for three most important expectations)?

a	b	c
---	---	---

Code D

0	12	13	14	15	16	17	5	18	19	20
Savings ID	Kinds of savings	Do HH members have any of the following kinds of savings? B	How much is the current value (04/11) of this kind of saving? (THB/1000 VND)	What was the value of this kind of saving 1 year ago (05/10)? (THB/1000 VND)	How many times did you withdraw money from [...] during the last year?	How many times did you add money to [...] during the last year?	At what institution do you have your savings account? A	Where do you hold this kind of saving? E	Travel time from the household to the savings institution minutes	Returns received during the last year (interest, dividends, profits etc.) THB/ 1000 VND
1	bank account 1									
2	bank account 2									
3	bank account 3									
4	bank account 4									
5	more than 4 bank accounts (pls. sum up the remaining accounts)									
6	Other financial assets (shares, deposits etc.)									
7	Ho/Hui or Phuong									
8	Save at Home						/	/	/	/

Code A
Vietnam

Social Assistance

- 1 Social guarantee fund for regular relief
- 2 contingency fund for pre-harvest starvation and disaster relief
- 3 hunger eradication and poverty reduction (HERP) program (VN)
- 4 allowances for war veterans and martyrs

Social Security

- 5 retirement pensions
- 6 work accidents and industrial disease
- 7 sickness benefits
- 8 maternity leave benefits
- 9 survivor benefits

Other payments

- 10 Other government program
- 11 Poverty alleviation program (TH)
- 12 support from church/temple or other religious institution
- 13 other social assistance
- 14 other social security
- 65 support for the elderly
- 66 scholarship, financial aid
- 67 allowance for government official
- 68 Social Security cash benefit for children
- 70 project of donors
- 90 other payments
- 98 no answer
- 99 not applicable

Code A
Thailand

Social Assistance

- 52 Social relief for natural disasters
- 53 Poverty alleviation program (TH)
- 54 allowances for war veterans and martyrs

Social Security

- 55 retirement pensions
- 57 Occupational accident and disease
- 58 sickness benefits
- 59 survivor benefits
- 60 Other government program
- 61 Other commune program
- 63 other social assistance
- 64 other social security
- 65 support for the elderly
- 66 scholarship, financial aid
- 67 allowance for government official
- 68 Social Security cash benefit for children
- 70 project of donors
- 90 other payments
- 98 no answer
- 99 not applicable

Code C

- 1 in cash
- 2 in kind
- 3 in cash and kind

Section 7.2: Public Transfers, other payments and Insurance

A. Public transfers and other payments

7 Has the household or any of the household member received public transfers between 05/10 and 04/11?

1 yes
 2 if no, go to next section

Please list public and other payments the household has received in the period between May 2010 and April 2011.

Interviewer: Read out items of code A

0	1	2	2a	3	6
Transfer I.D	HH member I.D. of recipient	Which public and other payments did the household receive during the last year?			When did you receive payment for the last time?
		type of program	type of payment	Total value over past 12 months	
		A	C	THB/ 1000 VND	(month)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					

Code A

- 1 They don't offer insurance here
- 2 I don't need insurance
- 3 Insurance is too expensive
- 4 There is no adequate insurance for me
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code B**Thailand**

- 51 Life insurance
- 52 Property insurance
- 53 Health insurance
- 54 Disability health insurance
- 55 Livestock insurance
- 56 Crop insurance
- 57 "Funeral insurance"
- 58 accident insurance
- 59 government pension fund

Vietnam

- 1 Life insurance
- 2 Property insurance
- 3 Health insurance
- 4 Disability health insurance
- 5 Livestock insurance
- 6 Crop insurance
- 7 Social Insurance (for those who have perman
- 8 Traffic Insurance (for drivers)
- 9 Traffic Vehicle Insurance
- 10 Accident Insurance
- 57 "Funeral insurance"
- 58 accident insurance
- 59 government pension fund
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code C**Thailand**

- 51 Social security fund
- 52 Other Government agency
- Life insurance companies**
- 53 American International Assurance Co., Ltd.
- 54 Bangkok Life Assurance Co., Ltd.
- 55 Thai Life Insurance Co., Ltd.
- 56 Siam Commercial New york Life Insurance Public Co.,Ltd.
- 57 Ocean Life Insurance Co., Ltd.
- 58 Thanachart Life Assurance Co., Ltd.
- 59 Ayudhya Allianz C.P. Life Public Co.,Ltd.
- 60 Muang Thai Life Assurance Co., Ltd.
- 61 Siam Life Insurance Co., Ltd.
- 62 Thanachart Life Assurance Co., Ltd.
- 63 The SouthEast Life Insurance Co., Ltd.
- Non-life insurance companies**
- 64 American International Assurance Co., Ltd.(NON LIFE INSURANCE)
- 65 Road Accident Victims Protection Co.,Ltd.
- 66 Bangkok Insurance Public Co., Ltd.
- 67 Krunghthai Panich Insurance Co., Ltd.
- 68 Allianz C.P. General Insurance Co., Ltd.)
- 69 Dhipaya Insurance Public Co., Ltd.
- 70 Deves Insurance Public Co., Ltd.
- 71 Thai Insurance Public Co., Ltd.
- 72 Mittare Insurance Co.,Ltd.
- 73 Phatra Insurance Public Co., Ltd.
- 74 Muang Thai Insurance Co., Ltd.
- 75 Viriyah Insurance Co., Ltd.
- 76 Ayudhya Insurance Public Co., Ltd.
- 77 Siam City Insurance Co., Ltd.
- 78 Union Prospers Insurance Co., Ltd.
- 79 Sampanh Insurance Co., Ltd.
- 80 The Siam Commercial Samaggi Insurance Public Co., Ltd.
- 81 Assets Insurance Co., Ltd.
- 82 Synmunkong Insurance Public Co., Ltd.
- 83 South East Insurance (2000) Co., Ltd.
- 84 Other Private company
- 85 BAAC, cooperatives, saving groups
- 86 Thai Prasadth Insurance
- 87 Krunghthai Axa Insurance
- 88 Government Saving Bank
- 89 Government Housing Bank

Code C**Vietnam**

- 1 Bao Viet
- 2 Bao Minh
- 3 Petro Vietnam Insurance
- 4 Prudential
- 5 Manulife
- 6 AIA
- 7 Allianz-AGF
- 8 Groupama
- 9 Bao Minh CMG
- 10 Mutual Aid Fund of sociopolitical organization (VWU, Elderly Association)
- 11 Informal arrangement
- 90 Other, specify
- 98 no answer
- 99 not applicable

Code E

- 1 same village/ward
- 2 same commune
- 3 other commune
- 4 district town
- 5 same province capital
- 6 other province capital
- 7 abroad
- 98 no answer
- 99 not applicable

Code F

- 1 mandatory
- 2 voluntary
- 98 no answer
- 99 not applicable

Code G

- 1 Yes
- 2 No
- 98 no answer
- 99 not applicable

Section 7.2: Public Transfers, other payments and Insurance

B. Insurance excluding social security payments

1 Are members of this household entitled to use the free health card?

1 yes
2 no

2 Does this household have any other insurance?

Interviewer: Read out items of Code C

1 yes, go to Q4
2 no

3 If your household members do not have any insurance, why not?

A go to next section

Please list insurance arrangements the household maintains at the moment (excluding the free health card)

0	4	5	6	15	5a	9	12	16	17	18	19	20	21	22	23	24	25	26	27	28
Insurance ID	HH member I.D. of recipient	Type of insurance	Who offers the insurance ?	Where did you get this insurance ?	Did pay for the insurance ?	What premium do you pay? (total amount)	Amount of compensation payment received during the past 12 months?	Is health insurance voluntary or mandatory ?	Did you use health insurance during the last year?	Outpatient care			Inpatient care				Medicine			
										Pay medical treatment in advance ?	Copayments?	Reimbursement by policy?	Private health provider allowed?	Pay medical treatment in advance ?	Copayments?	Reimbursement by policy?	Private health provider allowed?	Pay medicine in advance?	Copayments?	Reimbursement by policy?
		B	C	E	G	THB/1000 VND	VND	F	G	G	G	G	G	G	G	G	G	G	G	G
1																				
2																				
3																				
4																				
5																				
6																				
7																				
8																				
9																				
10																				
11																				
12																				

Section 8: Household Expenditures

How much did you spend for the following items between 05/10 and 04/11?

Please estimate carefully how much the household spent on each item on a monthly or annual basis during the last 12 month.

Exclude expenditure for durable goods! They will be asked on the next page.

Expenditures for celebrations and funerals in other households we already asked in section 2.4 - to be excluded here

0	1		2
ID	Item:		Amount spent in an average month THB/ 1000 VND
Food	1	Rice	
	121	other staple food	
	2	beef/pork	
	3	Fish	
	4	Poultry	
	5	Eggs	
	6	Vegetable	
	7	Fruit	
	8	Food ingredients, spices (includ. Salt/Sugar)	
	9	Fermented fish	
	10	Oil	
	11	Beverages	
	12	Take home and eat out	
	13	Alcohol, cigarettes	
	14	Other food	
	15	Total Food	
Non-Food	17	Personal care supplies	
	18	Clothes, shoes and bags, accessories	
	19	Detergent/washing powder	
	20	Electricity	
	21	Water cost	
	22	Liquid propane gas/Charcoal	
	23	Hair dresser	
		25	Total Non-Food
Transport + communication	126	Fuel for car and motorbike	
	27	Public transportation	
	28	Telecommunication (includes mobile credit)	
	29	Other transportation, Communication	
	127	Maintenance for car and motorbike	
	128	Insurance and tax for car and motorbike	per year
	30	Total Transp. Commun.	

0	1		2
ID	Item:		Amount spent in the last 12 months THB/ 1000 VND
Education	31	School fees, books	
	32	Student's dress/uniform	
	33	Tuition fee	
	34	Rental fee (Dorm, apartment)	
	35	Other costs of schooling	
	36	School bus	
	37	Pocket money and lunch	
	38		
		39	Total Education
Health	40	Medicine (Purchase in Pharmacy only)	
	41	Doctor fee	
	42	Other health	
	45	Total Health (incl. Health expenditures later refunded by insurance)	
Social	46	Celebrations and funerals in own household	
	47	Donations (to temples, social organizations, schools)	
	48	Recreation and entertainment	
	49	Lottery	
	50	Taxes (income, land Taxes)	
	52		
	55	Total Social	

9 How much rent do you pay per month for the house(s) you live in? (ask only if the home is rented and a rental fee paid)

THB/ 1000 VND

Code A

- 1 mostly business use
- 2 business and private use
- 3 mostly private use
- 98 no answer
- 99 not applicable

Code B

- 1 Much better off
- 2 Better off
- 3 Same
- 4 Worse off
- 5 Much worse off
- 98 no answer
- 99 not applicable

Section 9.1: Household Wealth

0	1	2	3	4	9	8a
Asset ID	Assets	How many items does the household own?	What was the value of the most recently obtained item at the time when you got/bought it? THB/ 1000 VND	How old is the most recently obtained item? years	How much would you get if you sold all items today? THB/ 1000	What is the use of the asset? A
	1	Tractor 2 wheel (s)				
	2	Tractor 4 wheel (s)				
	3	Knapsack sprayer (s)				
	4	Engine spray (s)				
	5	Water tanks (house)				
	6	Water pump (s)				
	7	Water tanks (field use)				
	8	Pipe (s)				
	9	Other farm tools/implements				
	10	rice mill				
	11	threshing machine				
	114	pushcart				
	124	pigsty, stable or pen				
	13	Boat				
	14	Fishing Net (s)				
	15	Fishing Traps				
	16	Floating trawl (s)				
	17	Non-farm productive assets				
	21	biogas plant				
	22	Truck (s)				
	23	Pick up (s)				
	24	Motorcycle (s)				
	25	Bicycle (s)				

5 How well-off do you consider your household in comparison to other residents of your village?

Code B

6 How well-off do you consider your household in comparison to other residents of your country?

7 What do you consider as an absolute minimum net income per month for a household such as yours?

Code B

THB/ 1000 VND

0	1	2	3	4	9	8a
Asset ID	Assets	How many items does the household own?	What was the value of the most recently obtained item at the time when you got/bought it? THB/ 1000 VND	How old is the most recently obtained item? years	How much would you get if you sold all items today? THB/ 1000 VND	What is the use of the asset? A
	26	TV (s)				
	27	Video cassette player/DVD (s)				
	28	Satellite Dish (s)				
	29	Radio (s) and Stereo (s)				
	30	Regular Phone (s)				
	31	Mobile Phone (s)				
	32	Refrigerator (s)				
	33	Gas Stove (s)				
	34	Water heater (s)				
	35	Washing Machine (s)				
	36	Sewing Machine (s)				
	37	Iron (s)				
	38	Vacuum cleaner (s)				
	39	Air Conditioner (s)				
	40	Electric Fan (s)				
	41	Electric rice cooker				
	42	Personal computer				
	43	Jewellery				
	44	Furniture				
	45	Sofa set				
	46	Mattress				
	47	Bed				
	48	Watches and clocks				
	90	Interviewer: ask for further assets				
	90					

Code A

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 30 Australia and Oceania
- 35 Europe
- 40 North America
- 90 Other country
- 98 no answer
- 99 not applicable

Code B

- 1 house
- 2 apartment
- 3 boat
- 90 Other, specify
- 98 no answer
- 99 not applicable

Section 9.2: Housing conditions

0 How many houses do you inhabit?

0a Type of dwelling Code B

1 What is the size of the house/dwelling? m² (if inhabit more than one, give total)

2 Number of rooms rooms (if inhabit more than one, give total)

3 No. of floors give answer based on the newest house if inhabit more than one

4 For how many years has this household been living in this dwelling? give answer based on the newest house if inhabit more than one

5 Where has the household been living before? Code A

****give answer based on the newest house if inhabit more than one for Q3 to 15**

6		7		8		9		10		11	
What is the household tenure status of main residence?		How did the household acquire this dwelling?		What is the style of construction of your house?		The walls of main dwelling is mainly made of ...		The windows of main dwelling is mainly made of ...		The roof of main dwelling is mainly made of ...	
Owner	1	Purchased	1	on ground	1	wood	1	glass	1	straw	1
Rented - go to Q8	2	Constructed	2	wooden poles	2	bricks/cement	2	bamboo	2	tin	2
Other, specify	3	Inherited	3	cement poles	3	metal	3	wood	3	wood	3
no answer	98	Gift	4	no answer	98	clay	4	metal	4	slate	4
		Other, specify	90			canvas	7	no window	5	flat roof	5
		no answer	98			bamboo mat	8	other specify	90	no answer	98
						no answer	98	no answer	98		

12		13	
What is the main source of drinking water?		What is the main kind of toilet facilities this household uses?	
Tap inside house	1	Flush Toilet (private)	1
Tap in compound	2	Flush Toilet (shared)	2
		Latrine (private)	3
Tap outside shared	3	Latrine(shared)	4
Well	4	None (outside)	5
Rain water	5	no answer	98
River, lake, pond	6		
bottled water / buy water	7		
no answer	98		

14		15	
What is the main source of fuel for ... ?			
		lighting	cooking
Firewood	1	1	1
Charcoal	2	2	2
Kerosine	3	3	3
Gas (bottle)	4	4	4
Gas (pipe)	5	5	5
Electricity (net)	6	6	6
Electricity (generator)	7	7	7
rice husks			8
candle	10		
Other, specify	90		90
no answer	98		99

What is the current value of the house(s) you live in (also ask if house is rented in)?
16 (excluding land)

THB/ 1000 VND