

Code A

1 died

2 moved away/divorced/disappeared

3 other, specify

Section 1: Survey Information

1 Province I.D.:	<input type="text"/>	7 Date of interview (dd/mm/yy)	<input type="text"/>	<input type="text"/>	<input type="text"/>
2 District I.D.:	<input type="text"/>	8 Time started (hh:mm)	<input type="text"/>	<input type="text"/>	
3 Sub-district I.D.:	<input type="text"/>				
4 Village I.D.:	<input type="text"/>				
5 Household I.D.:	<input type="text"/>				
6 Address (or description) of household:	<input type="text"/>			25 Telephone No.	<input type="text"/>
23 Name of household head in 2016	<input type="text"/>	23a I.D. code household head 2016	<input type="text"/>		
24 If not same household head, why?	<input type="text"/>	Code A			
10 Name of curent household head	<input type="text"/>	11 I.D. Code curent household head	<input type="text"/>		
12a Name of respondent 2016	<input type="text"/>	12b I.D. code respondent 2016	<input type="text"/>	<i>insert I.D. for person from section 2.1</i>	
12 Name of respondent 2017	<input type="text"/>	13 I.D. code respondent 2017	<input type="text"/>		
14 Name of interviewer:	<input type="text"/>	15 I.D. code interviewer	<input type="text"/>		
16 Name of supervisor (PTL)	<input type="text"/>	17 I.D. code PTL	<input type="text"/>		
<hr/>					
20 Data checked in the field by: name STL	<input type="text"/>	20a I.D. code STL	<input type="text"/>		
22 Notes (enumerators):	<input type="text"/>			22a Checked by: Name	<input type="text"/>

- Code A**
- 1 Head
 - 2 Wife/Husband
 - 3 Son/Daughter
 - 4 Son/daughter in law
 - 5 Father/Mother
 - 6 Father/Mother in law
 - 7 Sister/Brother
 - 8 Grandchild
 - 9 Nephew/Niece
 - 10 Cousin
 - 11 Other relatives
 - 12 Non-relative
 - 13 Brother/sister in law
 - 14 Son/daughter adopted
 - 98 No answer

- Code B**
- 1 Unmarried
 - 2 Married
 - 3 Widow
 - 4 Divorced/separated
 - 98 No answer

- Code D**
- 1 Founded Household
 - 2 Marriage
 - 3 Born in the household
 - 4 Job opportunity
 - 5 Job Search
 - 6 Schooling
 - 7 Followed the Family
 - 8 Came to be looked after (ill, old or alone)
 - 9 Came to help the household
 - 10 Came to live with the household because of economic distress
 - 11 Monk
 - 90 other, specify
 - 98 No answer

- Code CC**
- 1 In the same village/commune
 - 2 In the same district (rural area)
 - 3 In the same district (urban area)
 - 4 In the same province (rural area)
 - 5 In the same province (urban area)
 - 6 In another province (rural area)
 - 7 In another province (urban area)
 - 9 In Bangkok
 - 10 In Hanoi
 - 11 In Ho Chi Minh City
 - 20 Laos
 - 21 Cambodia
 - 29 Other Asian country
 - 90 Other Non-asian country
 - 98 No answer

- Code H**
- 1 yes
 - 2 left in the reference period
 - 3 left before reference period
 - 4 died in reference period
 - 90 other, specify

- Code E**
- 1 - First half**
- 1 Kinh
 - 2 Tay
 - 3 Thai
 - 4 Chinese origin
 - 5 Khmer
 - 6 Muong
 - 7 Nung
 - 8 Hmong (Meos)
 - 9 Dao
 - 10 Gia rai
 - 11 Ngai
 - 12 Ede
 - 14 Sedang
 - 15 San chay (Cao lan - San chi)

- 2 - Second half**
- 16 Coho
 - 17 Cham (Cham)
 - 20 Mnong
 - 21 Ra glai
 - 23 Bru - Van Kieu
 - 24 Tho
 - 26 Co tu
 - 31 Ta oi
 - 37 Lao
 - 56 Phu Thai
 - 57 Suai
 - 59 Moo Sir
 - 60 Thai Yor
 - 61 Thai So
 - 62 Kalerng
 - 63 Paco

- 3 - Foreigners + other**
- 58 foreigner
 - 90 other, specify
 - 98 no answer

- Code F**
- 1 Buddhist
 - 2 hoa hao Buddhist
 - 3 Muslim
 - 4 Christian
 - 5 Caodaist
 - 6 Animist
 - 7 Atheist
 - 90 other, specify
 - 98 no answer

- Code AA**
- 1 yes
 - 2 no
 - 98 no answer

2.1: Household Members

Please check the following persons belonging to your household and add new household members, if applicable. Start with the household head.

1	2	22a	3	4	4a	5	6	7	8	9	10	11	12	13	26
I.D. code	Name/Nickname	Does this person still belong to the HH?	Gender	Age	Age	Relation to household head	Marital status	Place of birth	For how long has [NAME] been living with the household?	Reason for joining	Previous location	Ethnic group	Religion or feel close to any religion	Is [Name] a member of a socio-political organization (e.g. party, VWU, Veterans' Union)?	What is [Name]'s telephone number?
		<i>if 3 go to next row; if 4 go to Q14</i>	1=male , 2=female	(year) <i>if > 5</i>	(month) <i>if < 5</i>		<i>ask only for members > 13 years of age</i>				<i>if born in household go to Q11</i>				
		H				A	B	CC	(years)	D	CC	E	F	AA	
01															
02															
03															
04															
05															
06															
07															
08															
09															
10															
11															
12															

Code H**1 - Agricultural occupation**

- 1 Engaged in own agriculture (including livestock and aquaculture)
- 2 Engaged in fishing, hunting or collecting
- 4 Casual off-farm labour in agriculture
- 6 Permanently employed in agriculture

2 - Non-agricultural occupation

- 3 Non-farm self-employed
- 5 Casual labour in non-agriculture
- 7 Permanently employed in non-agriculture
- 8 Government official
- 9 Housewife
- 10 Student/Pupil
- 13 Performing only occasional and light work
- 14 Monk
- 15 joined the army

3 - No occupation and other

- 11 Child below school age
- 12 Unemployed
- 17 Unable to work because of disability
- 18 Unable to work-other reasons
- 19 Taking care of disabled/impaired household members
- 20 no second occupation
- 90 Other, specify
- 98 no answer

Code AA

- 1 yes
- 2 no
- 98 no answer

Code I**1 - Work and school related**

- 4 Job opportunity
- 5 Job Search
- 6 Schooling or studying
- 12 joined the army

2 - Personal

- 1 Died
- 2 Established own household
- 3 Marriage
- 7 Followed the Family
- 8 went to help another household
- 9 went to live with another household because of economic distress
- 11 Became a Monk
- 23 went to get a health treatment
- 26 Vacation
- 28 Other religious reasons
- 29 living in home for disabled
- 30 living in home for the aged (retirement home)
- 31 living in assisted living scheme

3 - Other

- 90 Other, specify
- 98 no answer

Code CC

- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area)
- 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 9 In Bangkok
- 10 In Hanoi
- 11 In Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other Non-asian country
- 98 No answer

2.1: Household Members

00 Who is mainly in charge of financial affairs of the household?

I.D. code

1	2	14	15	16	18	19	19a	20	21	24	23	23a
I.D. code	Name/Nickname	Main occupation between 5/16 - 4/17 according to time spent	Second occupation between 5/16 - 4/17 according to time spent	How many days did [NAME] stay in the household between 5/16 - 4/17? <i>if = 365, skip to next person</i>	Primary reason for not being in this household between 5/16 - 4/17 <i>if code = 1 go to Q19a</i>	Where did [NAME] go?	Between 5/16 - 4/17 did [NAME] send or receive money from the HH while being absent? <i>(If no, TH-go to next row // VN go to Q24)</i>	Amount of money/value of gifts the household received from [NAME] between 5/16 - 4/17	Amount of money/value of gifts the household sent to [NAME] between 5/16 - 4/17	Has [NAME] been affected by Agent Orange? (only Vietnam)	Was [NAME] at the military service before 1975? (only Vietnam)	Where was [NAME] based? (only Vietnam) : Please write the name of the province
		H	H	days	I	CC	AA	THB/1000 VND	THB/1000 VND	AA	AA	
01												
02												
03												
04												
05												
06												
07												
08												
09												
10												
11												
12												

TH: Code BB	TH: Code B	VN: VN: Code B		Code AA
1 Primary level	1 P. 1	51 Grade 1	} Primary level (level 1)	1 yes
2 Lower-secondary level	2 P. 2	52 Grade 2		2 no
3 Upper-secondary level	3 P. 3	53 Grade 3		98 no answer
4 Vocational school	4 P. 4	54 Grade 4		
7 University	5 P. 5	55 Grade 5		
11 Adult education	6 P. 6			
98 No answer	7 P.7	56 Grade 6	} Secondary school (level 2)	
		57 Grade 7		
		58 Grade 8		
	8 M or MS 1	59 Grade 9		Code C
VN: Code BB	9 M or MS 2			1 had to work with family business
8 Primary level (level 1)	10 M or MS 3			2 migrated
9 Secondary school (level 2)	11 M or MS 4	60 Grade 10	} High school (level 3)	3 cannot afford to go to school
10 High school (level 3)	12 M or MS 5	61 Grade 11		4 ill
5 Vocational school	13 M or MS 6	62 Grade 12		5 finished school
7 University	14 MS 7			7 drawn into army
12 Adult education	15 MS 8			9 Don't want to study
98 No answer		65 Univ. 1		10 Lack of qualification
	16 PWC 1	66 Univ. 2		12 Family problems
	17 PWC 2	67 Univ. 3		13 Political disruption (including war)
	18 PWC 3	68 Univ. 4		90 Other, specify
	19 PWS 1	69 Univ. 5		98 No answer
	20 PWS 2	70 Univ. 6		
		71 PhD		Code CC
	21 PWT 1	72 Professional School 1		1 In the same village/commune
	22 PWT 2	73 Professional School 2		2 In the same district (rural area)
	23 Univ. 1	77 Master degree		3 In the same district (urban area)
	24 Univ. 2			4 In the same province (rural area)
	25 Univ. 3			5 In the same province (urban area)
	26 Univ. 4			6 In another province (rural area)
	27 Univ. 5			7 In another province (urban area)
	28 Univ. 6			9 In Bangkok
	29 PhD			10 In Hanoi
				11 In Ho Chi Minh City
				20 Laos
				21 Cambodia
				29 Other Asian country
				90 Other Non-asian country
				98 No answer

Section 2.2: Education

In this section, we would like to know the educational attainment of all household members

I.D. code	2 Name/Nickname	3 Can [NAME] read and write?	4 Is [NAME] currently enrolled in school? <i>if no go to Q6</i>	5a Educational level <i>skip to Q11</i>	5 What grade is [NAME] currently enrolled in? B	6 Has [NAME] ever been to school? <i>If no, go to next row</i> AA	14 How many years did NAME go to school? (years)	7 What was [NAME]'s highest educational attainment? B	8 How old was [NAME] when he/she left school?	9 Why did [NAME] leave school? C	10 Where did [NAME] obtain her/his highest educational attainment? CC	11 How old was [NAME] when he/she started school?	12 Was [NAME] ever absent for a whole school year or more? <i>If no, go to next row</i> AA	13 Why was [NAME] absent? C
01														
02														
03														
04														
05														
06														
07														
08														
09														
10														
11														
12														
13														
14														
15														

Code A

- 1 healthy
- 2 can manage
- 3 sick
- 98 no answer

Code B

- 1 worse
- 2 same
- 3 better
- 98 no answer

Code F

- 1 not necessary
- 2 no facility available
- 3 facility too expensive
- 4 transport to facility too expensive
- 5 low quality of facility
- 6 could not spare the time
- 7 Other, specify
- 98 no answer

Code G

- 1 same village/ward
- 2 same commune
- 3 other commune
- 4 district town
- 5 same province capital
- 6 other province capital
- 7 abroad
- 98 no answer

Code H

- 1 inpatient
- 2 outpatient
- 98 no answer

Code AA

- 1 yes
- 2 no
- 98 no answer

Code E

- 0 did nothing
- 1 went to a government hospital
- 2 went to a commune health center
- 3 went to a pharmacy
- 4 went to a doctor (clinic)
- 5 went to health worker
- 6 went to traditional healer
- 7 went to private hospital
- 8 Self- treatment
- 90 other, specify
- 98 no answer

Code D*1 - First half*

- 1 Pneumonia
- 3 Heart diseases
- 4 Diarrhoea and gastroenteritis of presumed infectious origin
- 16 Poliomyelitis
- 17 Measles
- 18 Rubella
- 19 Mumps
- 21 Hepatitis
- 23 Epilepsy
- 24 AIDS (Acquired Immune Deficiency Syndrome)
- 26 Dengue fever
- 28 Diabetes
- 29 Hypertension
- 32 Fractures bones
- 33 Other accident-related injuries

2 - Second half

- 35 Chronic Bellyache
- 37 deaf
- 38 alcoholism
- 39 Chronic headache
- 45 Down-Syndrom
- 47 Chronic backache
- 49 agent orange related disease
- 55 Cancer
- 56 Lung Disease
- 57 other fever
- 58 Kidney disease
- 59 Impairment of vision including Blindness
- 60 Other birth defects
- 61 Depression

3 - None and other

- 0 none
- 90 other, specify
- 98 no answer

Section 2.3: Health

Fill in for all household members

1	2	2a	3	4	5	6	7	9	17a	17b	12	13	13a	13c	14	15	17c	17d
I.D. code	Name or nickname	Does [Name] have a free health card?	How healthy is [NAME]?	Does [NAME] feel healthier than last year?	Does [NAME] feel healthier than 5 years ago?	weight	height	What was the major impairment of [NAME]'s health between 5/16 - 4/17?	When did it start?		For how many weeks was [NAME] unable to pursue his/her main occupation (from 5/16 - 4/17)?	What did [NAME] do?	Where is the facility where [NAME] got main treatment?	Was [NAME] in inpatient or outpatient care?	If no treatment was sought. Why not?	What was the second major impairment of [NAME]'s health between 5/16 - 4/17?	When did it start?	
		AA	A	B	B	(kg)	(cm)	D	month	year		E	G	H	F	D	month	year
01																		
02																		
03																		
04																		
05																		
06																		
07																		
08																		
09																		

Code A

- 1 No, no difficulty
- 2 Yes - some difficulty
- 3 Yes - a lot of difficulty
- 4 Cannot do at all

Code B

- 1 old age
- 2 chronic disease
- 3 war
- 4 intoxication / agent orange
- 5 natural calamity
- 6 work accident
- 7 road accident
- 8 other accident
- 9 congenital birth defects
- 10 untreated illness
- 11 low quality of food insufficient food
- 12 other reasons

Code C

- 1 No, not at all
- 2 Yes, somewhat
- 3 Yes

Section 2.3: Health

The next questions ask about difficulties you may have doing certain activities because of a health problem

Fill in for all household members

1	2	18a	18b	18c	18d	18e	18f	19a-f	20a-f	21a-f	22a-f	23a-f	24a-f
I.D. code	Name or nickname	Does [NAME] have difficulties with any of the following? (Read out all difficulties. The respondent can name a maximum of three difficulties for each member in the household.)						What is the reason for [NAME]'s difficulty in [seeing/walking...]?	Since when has [NAME] had this difficulty?	Since when did it give [Name] a serious impairment?		Did [Name] expect / anticipate this difficulty to occur?	
		seeing, even if wearing glasses	hearing, even if using hearing aid	walking or climbing steps	remembering or concentrating	self-care such as washing all over or dressing	communicating, e.g. understanding or being understood even when using your usual customary language						
		<i>if answer = 2 answer all of section apart from 22a-23a, if answer >= 3 answer 19a-24a</i>	<i>if answer = 2 answer all of section apart from 22b-23b, if answer >= 3 answer 19b-24b</i>	<i>if answer = 2 answer all of section apart from 22c-23c, if answer >= 3 answer 19c-24c</i>	<i>if answer = 2 answer all of section apart from 22d-23d, if answer >= 3 answer 19d-24d</i>	<i>if answer = 2 answer all of section apart from 22e-23e, if answer >= 3 answer 19e-24e</i>	<i>if answer = 2 answer all of section apart from 22f-23f, if answer >= 3 answer 19f-24f</i>						
		A	A	A	A	A	A	B	month	year	month	year	C
	01												
	02												
	03												
	04												
	05												
	06												
07													
08													
09													

Code A

- 2 Wife/Husband
- 3 Son/Daughter
- 4 Son/daughter in law
- 5 Father/Mother
- 6 Father/Mother in law
- 7 Sister/Brother
- 8 Grandchild
- 9 Nephew/Niece
- 10 Cousin
- 11 Other relatives
- 12 Non-relative
- 13 Brother/sister in law
- 14 Son/daughter adopted
- 98 No answer

Code D

- 1 Person
- 2 Wedding
- 3 Funeral
- 4 Initiation as monk
- 5 House inauguration
- 6 cannot specify event
- 90 other, specify

Code CC

- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area)
- 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 9 In Bangkok
- 10 In Hanoi
- 11 In Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other Non-asian country
- 98 No answer

Section 2.4: Household dynamics and remittances

Are there any other persons (relatives/friends) who provided money, gifts or in kinds (remittances) to the household or to whom the household provided money, gifts or in-kinds during 5/16 - 4/17?

1 = yes
2 = no, go to next section

1	2a	2	3	4	5	6	11	12	13
I.D. code	Is [Name] an event or a person <i>If > 1 skip to Q12</i>	Name or Nickname	Gender 1=male, 2=female	Age	Relation to household head	Place of birth <i>read out answer categories</i>	Location	Amount of money/value of gifts the household received from [NAME] between 5/16 - 4/17 THB/1000 VND	Amount of money/value of gifts the household sent to [NAME] between 5/16 - 4/17 THB/1000 VND
	D				A	CC	CC		
26									
27									
28									
29									
30									
31									
32									
33									
34									
35									
36									
37									
38									
39									
40									
41									

Code M

- 1 Most people can be trusted
- 2 Need to be very careful when dealing with people
- 98 no answer

Code O

- 1 war
- 2 ghost
- 3 crime
- 4 family problem
- 5 civil war
- 6 natural disasters
- 7 Others
- 8 personal health issues

Code N

- 1 do not trust at all
- 2 do not trust very much
- 3 trust somewhat
- 4 trust completely
- 98 no answer

Code P

- 1 Definitely not true of me
- 2 Tends not to be true of me
- 3 Unsure
- 4 Tends to be true of me
- 5 Definitely true of me

Section 2.5 Trust, fear and religion

In every community, some people get along with others and trust each other, while other people do not. I would like to talk with you about trust in your community.

1 Generally speaking, would you say that most people can be trusted or that you need to be very careful when dealing with people?

 Code M

2 Now I want to ask you how much you trust people from various groups

2a your family Code N

2b your relatives Code N

2c your neighborhood Code N

2d other people you know personally Code N

2e other people you meet for the first time Code N

10 Generally what are you afraid of most?

 Code O

11 Between 5/16 - 4/17, how many times did you visit the temple (mosque or church)?

 times

12 Do you consider yourself as being a religious person?

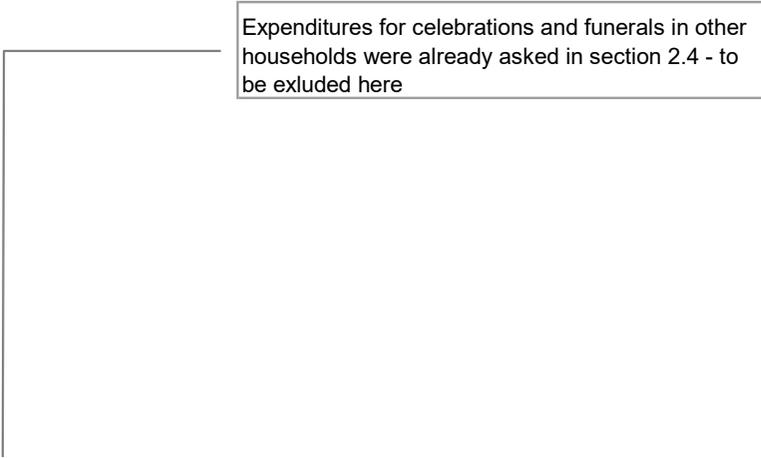
 Code P

Section 8: Household Expenditures

How much did you spend for the following items between 5/16 - 4/17?

Please estimate carefully how much the household spent on each item on a monthly or annual basis between 5/16 - 4/17

Exclude expenditure for durable goods! They will be asked about in section 9.1.



Expenditures for celebrations and funerals in other households were already asked in section 2.4 - to be excluded here

0		1		3a	2
ID	Item:		Quantity purchased in an average month	Amount spent in an average month	
					THB/ 1000 VND
8A - Food	1	Rice	kg		
	121	other staple food	kg		
	2	beef/pork	kg		
	3	Fish	kg		
	4	Poultry	kg		
	5	Eggs	piece		
	6	Vegetable	kg		
	7	Fruit	kg		
	8	Food ingredients, spices (includ. Salt/Sugar)			
	9	Fermented fish/ fermented paste	kg		
	10	Cooking oil	litre		
	11	Beverages	litre		
	131	Milk powder for baby (age < 5 years)	kg		
	12	Take home and eat out			
	13	Alcohol			
132	Cigarettes				
133	Coffee and Tea				
14	Other food				
15	Total Food				
8B - Non-Food	17	Personal care supplies			
	18	Clothes, shoes and bags, accessories			
	19	Detergent/washing powder			
	20	Electricity			
	21	Water cost			
	22	Liquid propane gas/Charcoal			
	23	Hair dresser and other beauty service			
	130	Total tax payments			per year
	25	Total Non-Food			
	8C - Transport + communication	126	Fuel for car and motorbike		
27		Public transportation			
28		Telecommunication (includes mobile credit)			
29		Other transportation, Communication			
127		Maintenance for car and motorbike			per year
129		Fee for car and motorbike			per year
30	Total Transp. Commun.				

0		1		4
ID	Item:		Amount spent between 5/16 - 4/17	
				THB/ 1000 VND
8D - Education	31	Education fees, books		
	32	Student's dress/uniform		
	33	Tuition fee		
	34	Rental fee (Dorm, apartment)		
	35	Other costs of schooling		
	36	School bus		
	37	Pocket money and meal		
	39	Total Education		
	8E - Health	40	Medicine (Purchase in Pharmacy only)	
41		Doctor fee		
42		Other health		
45		Total Health (incl. Health expenditures later refunded by insurance)		
46		Celebrations and funerals in own household		
8F(a) - Social	47	Donations (to temples, social organizations, schools)		
	48	Recreation and entertainment		
8F(b) - Social	49	Lottery		
	56	Other gambling expenditures		
	60	Bribery / corruption - police		
	61	Bribery / corruption - government officer		
	62	Bribery / corruption - business partner		
55	Total Social			

9 How much rent do you pay per month for the house(s) you live in? (ask only if the home is rented and a rental fee is paid)

THB/ 1000 VND

Code A

1 - Natural

6 House damage
 10 Flooding of agricultural land
 11 Drought
 63 Pests and Livestock diseases
 16 Landslide, Erosion
 55 Storm
 77 Flooding on the house/homestead

2 - Social

5 Had to spent money because of ceremony

8 Conflict with neighbours in the village

3 - Personal / Economic

1 Illness of household member
 2 Death of household member
 3 Household member left the household
 24 Accident
 46 being cheated at work/business
 62 Job loss
 18 Collapse of business
 21 Strong decrease of prices for Output
 22 Strong increase of prices for Input

4 - Crime

70 Theft of transportation (car, moterbike, bicycle)
 71 Theft of livestocks
 72 Theft of crops or agricultural products
 73 Theft of other items
 74 Burglary
 75 Robbery
 76 Vandalism

5 - Other

90 Other, specify
 98 no answer

Code E

0 none
 1 Police
 2 Monk / Priest
 3 Local government officer
 4 Village headmen
 5 others
 98 no answer

Code AA

1 yes
 2 no
 98 no answer

Code C

1 no other HH
 2 some other HH

3 most HH in village / commune
 4 most HH in district
 5 most HH in province
 6 most HH in country
 98 no answer

Code D

1 - Economics / Social

40 Took up additional occupation: agricultural wage employment
 41 Took up additional occupation: opened business
 42 Took up additional occupation: non farm wage employment
 43 Worked more time on the farm
 3 Diversify agricultural portfolio
 4 Substitute crops
 5 Reduced production inputs
 62 Collective action for infrastructure, dikes, terraces, irrigation, etc.

63 Common property resource management (of forest, lake, etc.)

2 - Demographics

6 Took children out of school
 7 Sent children to relatives/friends
 8 Adult migrated to look for job
 9 Adult migrated to live with relatives/friends
 10 Adult migrated to marry

3 - Sale

11 Sold livestock
 12 Sold land
 13 Sold storage (e.g. rice)
 14 Sold other assets

4 - Borrowing and savings

15 Used savings
 16 Used insurance
 17 Borrowed from relatives
 18 Borrowed from friends/neighbours
 19 Borrowed from pawnshop
 20 Borrowed from informal money-lender
 21 Borrowed from village funds
 22 Borrowed from commercial bank
 23 TH: Borrowed from BAAC/Coop. Bank
 24 TH: Borrowed from Government Savings Bank
 25 TH: Borrowed from Village bank
 26 VN: Borrowed from VBSP
 27 VN: Borrowed from VBARD

5 - Grants

28 Help from government
 29 Help from NGOs
 30 Help from relatives
 31 Help from friends/neighbours

6 - Crime prevention

48 Lighting
 49 Locks
 50 Alarm system
 51 Guard
 52 Watch dog
 53 Hiding
 54 Neighbourhood watch
 55 Selfdefense with knife/weapon

7 - Nothing and others

1 Did nothing
 60 no second activity
 61 no third activity
 90 Other, specify
 98 no answer

Code B

1 High
 2 Medium
 3 Low
 4 No impact
 98 no answer

Section 3.1 : Shocks

When considering the time period between 5/16 - 4/17, has there been any event causing a big problem (shock) affecting the household?

Please think of any problems related to your family, farm, house or job.

a. What were the three major shocks that affected your household between 5/16 - 4/17?

1	2	2a	3		4	5a	5b	6a	7	8			11	12a	27
			3a	3						major activity	2nd activity	3rd activity			
Event ID	Type of event	HH- Member -ID of person being affected */	When did the event occur?		Estimated severity of the event on your household?	Estimated total loss of income due to the event	Estimated total extra expenditur e due to the event	Estimated loss of assets due to the event	Aside from your HH who else was affected by the event?	Coping activity to deal with the event			Did the household still have to reduce household consumption because of the event?	How many months did it take to recover from the event? <i>(number of months; if not yet recovered fill in "90")</i>	Did you report this event to.....
	A		month	year	<i>Interv.: Read code B 1-4</i>	THB/1000 VND	THB/1000 VND	THB/1000 VND	C	D	D	D	AA	E	
1															
2															
3															

*/ If the shock affects more than one person, please fill ID of "household head" in Q2a and comment that all are affected in the tablet version

Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer

Code C

- 1 no other HH
- 2 some other HH

- 3 most HH in village / commune
- 4 most HH in district
- 5 most HH in province
- 6 most HH in country
- 98 no answer

Code AA

- 1 yes
- 2 no
- 98 no answer

Code E

- 0 none
- 1 Police
- 2 Monk / Priest
- 4 Village headmen
- 3 Local government officer
- 5 others
- 98 no answer

Code D**1 - Economics / Social**

- 40 Took up additional occupation: agricultural wage employment
- 41 Took up additional occupation: opened business
- 42 Took up additional occupation: non farm wage employment
- 43 Worked more time on the farm
 - 3 Diversify agricultural portfolio
 - 4 Substitute crops
 - 5 Reduced production inputs
- 62 Collective action for infrastructure, dikes, terraces, irrigation, etc.
- 63 Common property resource management (of forest, lake, etc.)

2 - Demographics

- 6 Took children out of school
- 7 Sent children to relatives/friends
- 8 Adult migrated to look for job
- 9 Adult migrated to live with relatives/friends
- 10 Adult migrated to marry

3 - Sale

- 11 Sold livestock
- 12 Sold land
- 13 Sold storage (e.g. rice)
- 14 Sold other assets

4 - Borrowing and savings

- 15 Used savings
- 16 Used insurance
- 17 Borrowed from relatives
- 18 Borrowed from friends/neighbours
- 19 Borrowed from pawnshop
- 20 Borrowed from informal money-lender
- 21 Borrowed from village funds
- 23 TH: Borrowed from BAAC/Coop. Bank

24 TH: Borrowed from Government Savings Bank

- 25 TH: Borrowed from Village bank
- 26 VN: Borrowed from VBSP
- 27 VN: Borrowed from VBARD

5 - Grants

- 28 Help from government
- 29 Help from NGOs
- 30 Help from relatives
- 31 Help from friends/neighbours

6 - Crime prevention

- 48 Lighting
- 49 Locks
- 50 Alarm system
- 51 Guard
- 52 Watch dog
- 53 Hiding
- 54 Neighbourhood watch
- 55 Selfdefense with knife/weapon

7 - Nothing and others

- 1 Did nothing
- 60 no second activity
- 61 no third activity
- 90 Other, specify
- 98 no answer

Section 3.1 : Shocks**b. Was your household affected by any of the following events between 5/16 - 4/17?**

(Info: Read out all events slowly. If some events occurred more than once, record this event too)

1	2	2a	3		4	5a	5b	6a	7	8			9	10	11	12a	27
			When did the event occur?	3a						major activity	2nd activity	3rd activity					
Event ID > 3	Type of event	HH-Member -ID of person being affected */	month	year	Estimated severity of the event on your household? <i>Interv.: Read code B 1-4</i>	Estimated total loss of income due to the event THB/1000 VND	Estimated total extra expenditure due to the event THB/1000 VND	Estimated loss of assets due to the event THB/1000 VND	Aside from your HH who else was affected by the event? C	Coping activity to deal with the event			Did the household still have to reduce household consumption because of the event? AA	How many months did it take to recover from the event? <i>(number of months; if not yet recovered fill in "90")</i>	Did you report this event to..... Code E		
	6 House damage																
	10 Flooding of agricultural land																
	11 Drought																
	63 Pests and Livestock diseases																
	16 Landslide, Erosion																
	55 Storm																
	77 Flooding on the house/homestead																
	5 Had to spent money because of ceremony																
	8 Conflict with neighbours in the village																
	1 Illness of household member																
	3 Household member left the household																
	24 Accident																
	46 being cheated at work/business																
	62 Job loss																
	18 Collapse of business																
	21 Strong decrease of prices for Output																
	22 Strong increase of prices for Input																
	70 Theft of transportation (car, moterbike, bicycle)																
	71 Theft of livestocks																
	72 Theft of crops or agricultural products																
	73 Theft of other items																
	74 Burglary																
	75 Robbery																
	76 Vandalism																
	90 Other, specify																

*/ If the shock affects more than one person, please fill ID of "household head" in Q2a and comment that all are affected in the tablet version Section 3.1

Code B

- 1 High
- 2 Medium
- 3 Low
- 4 No impact
- 98 no answer

Code G

- 1 Much better off
- 2 Better off
- 3 Same
- 4 Worse off
- 5 Much worse off
- 98 no answer

Code H

- 1 Not at all
- 2 Yes, a bit
- 3 Yes, a lot
- 98 no answer

Code M

- 1 Increase a lot
- 2 Increase a little
- 3 No change
- 4 Decrease a little
- 5 Decrease a lot

Code N

- 1 Very uncertain
- 2 Uncertain
- 3 somewhat certain
- 4 Very certain

Code O

- 1 2013
- 2 2014
- 3 2015
- 4 2016
- 5 2017
- 6 All same

e. Subjective assessment of wellbeing

- 13a Do you think your household is better off than last year? Code G
- 13b Do you think in person are better off than last year? Code G
- 14a Do you think your household is better off than 5 years ago? Code G
- 14b Do you think you in person are better off than 5 years ago? Code G
- 15 How much does your household income fluctuate? Code H *if code=1, go to Q17*
- 16 How much do income fluctuations affect the wellbeing of members of your household? Code B
- 17 What was the best year for your household in the last 5 years? Code O
- 18 What was the worst year for your household in the last 5 years? Code O
- 17a During the last 5 years in which year were you "most happy"? Code O
- 18a During the last 5 years in which year were you "least happy"? Code O
- 19a Do you think your household will be better off next year? Code G
- 19b Do you think in person will be better off next year? Code G
- 20a Do you think your household will be better off in 5 years? Code G
- 20b Do you think you in person will be better off in 5 years? Code G
- 29 How do you think your household average monthly income will develop in the next 12 months? Code M
- 30 How certain/sure are you that the aforementioned income development (household) will truly become reality? Code N
- 31 In your opinion, what is the very lowest net monthly income that your household would have to have in order to make ends meet. (Please answer in relation to the circumstances of your household and what you consider as "making ends meet"). THB/1000 VND

Code G

- 1 It was less than adequate for your household's needs
- 2 It was adequate for your household's needs
- 3 It was more than adequate for your household's needs
- 98 No answer

Code K

0	1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	---	----

0 = unwilling to take risks

10 = fully prepared to take risk

Code L

0	1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	---	----

0 = unwilling to wait

10 = fully prepared to wait

e. Subjective assessment of wellbeing

32 Which monthly income after tax would you, in your circumstances, consider to be

very good

a. THB/1000 VND

good

b. THB/1000 VND

sufficient

c. THB/1000 VND

bad

d. THB/1000 VND

very bad

e. THB/1000 VND

33 Concerning your ... in the current month, which of the following is true?

household's food consumption

a. Code G

household's clothing

b. Code G

read out code G

household 's health care

c. Code G

"Adequate" means no more nor less than what the respondent considers to be minimum consumption needs of the family

children's schooling belonging to your household

d. Code G

24 Are you generally a person who is fully prepared to take risks or do you try to avoid taking risk? (Please choose a number on a scale from 0 to 10. With 0 being unwilling to take risks and 10 being fully prepared to take risks)

Code K

24a How would you rate your willingness to take risk in everyday traffic situations (including walking, using bicycle, motorbike or car) (Please choose a number on a scale from 0 to 10. With 0 being unwilling to take risks and 10 being fully prepared to take risks)

Code K

25 Imagine you had just won 100,000 Baht/ 60 Mio. Dong in a lottery and you can invest this money in a business. It is equally likely that the business goes well or not. If it goes well you can double the amount invested after one year. If it does not go well you will lose half the amount you invested.

THB/1000 VND

What fraction of the 100,000 Baht/ 60 Mio. Dong would you invest in the business?

28 Are you generally a person who is fully prepared to give up something now in order to gain more in the future?

Code L

Please choose a number on a scale from 0 to 10 (0 = unwilling to wait and 10 = fully prepared to wait)

Code A (Thailand)

- 1 Primary level
- 2 Lower-secondary level (MS1-3)
- 3 Upper-secondary level (MS4-6)
- 4 Vocational school
- 5 University
- 6 PhD

Code A (Vietnam)

- 7 Primary level
- 8 Secondary level
- 9 High School
- 10 Professional school
- 11 College
- 12 University
- 13 PhD

Code B

- 1 Engaged in family business
- 2 Self-employed /Own business
- 3 Soldier
- 4 Teacher
- 5 Engaged in own agriculture
- 6 Doctor
- 7 Housewife
- 8 Engineer
- 9 Administrative government official
- 10 Factory worker
- 11 Skilled crafts man
- 12 Rice miller
- 13 Police officer
- 14 Agricultural wage labourer
- 90 Other, specify
- 98 no answer

Code AA

- 1 Yes
- 2 No
- 98 No answer

Code C

- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area)
- 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 8 In Bangkok
- 9 In Hanoi
- 10 In Ho Chi Minh City
- 11 Laos
- 12 Cambodia
- 90 Other, specify
- 98 no answer

Code E

- 1 Household does not have enough money
- 2 Child's ability
- 3 Lack of job opportunities
- 4 Not enough educational facilities (e.g. schools, universities)
- 5 Political obstacles / Political system
- 6 Not enough support from the government
- 7 Geographic remoteness of the village
- 90 Other, specify
- 98 no answer

f. Aspirations

0 Are children under the age of 16 belonging to the household?

	Yes
	No, go to Section 3.2

1	2	3a	3b	3c	3d	3e	3f
HH Member I.D.	Name or Nickname	What level of education do you wish for [Name]?	What job do you wish for [Name]?	At which age do you wish [Name] to get married?	Where do you wish [Name] to live when grown up?	Do you think it can become reality that [Name] will live in better economic circumstances than yourself when grown up? if code=1, go to the next row	If not, why?
		A	B		C	AA	E

Code AA

- 1 yes
- 2 no
- 98 no answer

Code C

- 1 High
- 2 Moderate
- 3 Low
- 4 No impact
- 98 no answer

Code D**1 - First half**

- 1 Crop, plot, livestock diversification
- 2 Income source diversification
- 3 Investment in physical and human capital
- 4 Membership in occupational organisations (e.g. guild)
- 5 Membership in rotating savings and credit associations
- 6 Savings accounts in financial institutions
- 7 Contract insurances
- 8 Participation in microfinance
- 9 Sharecropper tenancy
- 10 Buffer stocks (e.g. storage of food, seeds, money at home)
- 11 Investment in social capital
(networks, associations, reciprocal gift givings, etc.)
- 12 Old age annuities
- 13 Preventive health practices (sports etc.)
- 14 Migration
- 15 Switch to more secure income sources

2 - Second half

- 16 Collective action for infrastructure, dikes, terraces, irrigation, etc.
- 17 Common property resource management (of forest, lake, etc.)
- 19 Investment in travel safety
(helmet for motorbike, use more secure means of transportation, etc.)
- 20 Medical treatment (vaccination, stock medicine etc.)
- 21 Marriage and extended family
- 23 no other risk event
- 38 Lighting
- 39 Locks
- 40 Alarm system
- 41 Guard
- 42 Watch dog
- 43 Hiding
- 44 Neighbourhood watch
- 45 Selfdefense with knife/weapon

3 - Other

- 90 other, please specify
- 98 no answer

Section 3.2: Risks

Now, please consider the following possible future events for the next 5 years

0	1	2	4	3	3a	13	14	15	16
Risk ID	Type of event	Do you think that [event] will occur in the next 5 years?	How often, do you think, will [event] occur in next 5 years?	If [event] occurred within the next 12 months, what would be the impact on your household?		Do you do anything to prevent [event] from happening OR to mitigate its impact on your household's income and assets?	What do you do to prevent [event] from happening OR to mitigate its impact on your household's income and assets? (most important strategy only)	Concerning [event], approximately how much does it cost you to prevent/mitigate? (incl. forgone income)	If the same measure is taken to prevent/mitigate for another type of risk and costs have been recorded there, record the code of the event type here
				income	assets				
		<i>If "No" go to Q13</i> AA		C	C	<i>If no, go to next row</i> AA	D	<i>do not record expenses twice</i> THB/1000 VND	
1	1 Illness of household member								
2	3 Household member left the household								
3	5 Will have to spent money because of ceremony								
4	6 House damage								
5	8 Conflict with neighbours in the village								
6	10 Flooding of agricultural land								
7	77 Flooding on the house/homestead								
8	11 Drought								
9	16 Landslide, Erosion								
10	18 Collapse of business								
11	21 Strong decrease of prices for Output								
12	22 Strong increase of prices for Input								
13	24 Accident								
14	46 being cheated at work/business								
15	55 Storm								
16	62 Job loss								
17	63 Pests and Livestock diseases								
18	70 Theft of transportation (car, moterbike, bicycle)								
19	71 Theft of livestocks								
20	72 Theft of crops or agricultural products								
21	73 Theft of other items								
22	74 Burglary								
23	75 Robbery								
24	76 Vandalism								
25	90 Other, specify								

Code A

- 1 residential use
- 2 rented out
- 3 rice and field crops
- 4 permanent crops
- 5 pasture
- 6 aquaculture or pen

- 7 forest (planted)
- 8 vacant land
- 9 business establishment

- 90 Others, specify
- 98 no answer

Code BUse for row 1:

- 11 house and homestead land owned
- 12 house rented, homestead land owned
- 13 house owned, homestead land rented
- 14 house rented, homestead land rented

Use for other rows:

- 1 owned plot (unmortgaged)
- 2 mortgagor
- 3 sale-redeem
- 4 rented for fixed rent
- 5 sharecropping
- 6 mortgagee
- 7 sale-redeemer
- 8 rented from relatives, no rent paid
- 9 rented from non-relatives, no rent paid
- 90 Others, specify
- 98 no answer

Code C

- 1 Title deed, NS 5, NS3, NS3K

- 2 SPK 4-01, NK, STK, KSN, PBT5

- 3 NS2, SK1
- 4 no documents

- 90 Others, specify
- 98 no answer

Code D

- 1 bought
- 2 inherited
- 3 obtained as a present
- 4 collateral seized
- 5 land claimed
- 6 government allocated
- 7 Trade with another land

- 90 Others, specify
- 98 no answer

Code H

- 1 cash
- 2 kind
- 3 cash and kind
- 98 no answer

Code CC

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other Non-asian country
- 98 no answer

Code F

- 1 rainfed
- 2 irrigated (artesian well)
- 3 irrigated (well)
- 4 irrigated (pipe/tap)
- 5 Irrigated (gravity)

- 6 pumped from public irrigation canal
- 90 Others, specify
- 98 no answer

4.1: Land

Next, we want to learn about your household's landholding including land rented out or in and the property status of your homestead.

Note: Home gardening should be included in Section 4.2

1 Do you possess land or use land for agriculture, aquaculture or gardening?

1 yes

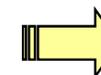
2 no, ask only for the homestead and go to Section 4.3

0 Please state how many parcels of land were used/owned (rented out/in) between 5/16 and 4/17. Please start with the homestead in the first element of the roster.

2	3	4		5	6	7	8a/8	9a	10a	11h	12	13	14
Land parcel no.	Land Area	Main land use		Tenure Status	Type of Land document	How was the land obtained?	When did you purchase the land?	For how much did you purchase the land?	Rented out or rented in		Location	Distance from homestead	Source of water supply?
	TH: rai, VN: 1000m ²	A	1	B	C	D	month/year	THB/1000VND	H	Total value THB/ 1000 VND	CC	(km)	F
1		homestead	1									0	99
2													
3													
4													
5													
6													
7													
8													

Section 4.2: Agriculture

1. Did you grow rice, field crops, garden crops or permanent crops or forest between 5/16 - 4/17?



1=yes
 2=no, go to section 4.3

Next, we would like to know details about your agricultural activities, i.e. crops grown, cost and revenue from agriculture and home gardening.

Please list the crops you planted between 5/16 - 4/17 starting with the most important one in terms of area planted.

0	2	2a	3a	4	5	6	8	50	50a / 50b	9	10	11	11b	12	13	12a	13a
Crop ID	Crop		Variety	Planted on parcel no. ...	Area planted	Start of planting period (in case of perennial or crops growing longer than 1 year: year of planting)	End of planting period	Has this [CROP] been grown under any certification system?	Since when have you adopted this certification system?	Unit for quantities	total production of main product	Quantity used for ...					
	A	C1	C2	(parcel no. from 4.1)	TH: rai, VN: 1000m ²	month	<i>Don't ask in case of perennial or crops growing >1 year</i>	Code S (page 36)	month/year	B	Quantity	consumption	give-away	household processing	... in kind payments for labour, machine rental, loan repayments	animal feed	seeds (reserved)
												Quantity	Quantity	Quantity	Quantity	Quantity	Quantity
1																	
2																	
3																	
4																	
5																	
6																	
7																	

Code S (Q 50 page 35)

1 - International system

- 1 Fairtrade
- 2 UTZ
- 3 4C
- 4 Rainforest Alliance
- 5 ISO22000
- 6 ISO14001
- 7 IFOAM
- 8 PGS
- 9 GI
- 10 GLOBALG.A.P.
- 11 ASEANGAP
- 14 COFI

2 - Local system

- 12 VIETGAP
- 13 VIETGAPH
- 15 Q-GAP (public Thai GAP)
- 16 ThaiGAP
- 17 Organic Thailand

3 - None and other

- 0 none
- 18 Other certificates
- 98 no answer

Code D

1 - First half

- 1 paddy rice
- 2 milled rice
- 3 fresh
- 4 dried
- 5 rubber sheets
- 6 timber
- 9 leaves

2 - Second half

- 10 latex
- 11 shredded rubber
- 12 scion
- 13 seeds
- 14 shredded cassava
- 16 cooked
- 17 branches/ leaves

3 - Other

- 90 Others, specify
- 98 no answer

Code C

- 1 owned
- 3 borrowed (no fee paid)
- 5 no machinery
- 6 rented machine only
- 7 rented machine and labour
- 98 no answer



Note: If expenditures cannot be allocated to specific crops, note the total and indicate the crops to which expenditures pertain.

* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

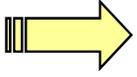
0	2	2a	15a	46a	14	16	15b	46b	14b	16b	17	18	19	37e	37f
Crop ID	Crop		Sale 1				Sale 2				land preparation				
			Specify the product type/quality sold (e.g. paddy, milled rice etc.)	Which month did you sell?	Quantity	Price for the production sold THB/ 1000 VND per unit	Specify the product type/quality sold (e.g. paddy, milled rice etc.)	Which month did you sell?	Quantity	Price for the production sold THB/ 1000 VND per unit	Expenditures			Labour	
											machine used is ...	variable machine cost	*hired labor incl. food, drinks	Hired labour	Family labour
A	C1	D				D				C	THB/ 1000 VND	THB/ 1000 VND	Person hour	Person hour	
1															
2															
3															
4															
5															
6															
7															



Note: If expenditures cannot be allocated to specific crops, note the total and indicate the crops to which expenditures pertain.

* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

0	2	2a	20	21	38e	38f	22	39e	39f	23	24	40a	40b	41e	41f	
Crop ID	Crop		Seed, seedlings and planting				Hand weeding			Fertilizer application						
	A	C1	Expenditures		Labour		Expenditures (hired labour*)	Labour		materials	Expenditures		Type of fertilizer used		Labour	
			seeds and seedlings	*hired labor incl. food, drinks	Hired labour	Family labour		Hired labour	Family labour		*hired labor incl. food, drinks	mineral fertilizer	organic fertilizer	Hired labour	Family labour	
			THB/ 1000 VND	THB/ 1000 VND	Person hour	Person hour	THB/ 1000 VND	Person hour	Person hour	THB/ 1000 VND	THB/ 1000 VND	kg	kg	Person hour	Person hour	
1																
2																
3																
4																
5																
6																
7																



Note: If expenditures cannot be allocated to specific crops, note the total and indicate the crops to which expenditures pertain.

* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

0	2	2a	25a	25b	25c	26	42e	42f	27	28	43e	43f
Crop ID	Crop		Pesticides (herbicides, insecticides and fungicides, snail killers)				Harvesting including threshing					
			Expenditures				Labour		Expenditures		Labour	
			herbicides	insecticides & snail killers	fungicides	*hired labor incl. food, drinks	Hired labour	Family labour	machinery cost	*hired labor incl. food, drinks	Hired labour	Family labour
A	C1	THB/ 1000 VND	THB/ 1000 VND	THB/ 1000 VND	THB/ 1000 VND	Person hour	Person hour	THB/ 1000 VND	THB/ 1000 VND	Person hour	Person hour	
1												
2												
3												
4												
5												
6												
7												

Code F

0 none

1 yes, for crop only

2 yes, for livestock only

3 yes, for crop and livestock

Note: If expenditures cannot be allocated to specific crops, note the total and indicate the crops to which expenditures pertain.

0	2	2a	29	44e	44f	36	45e	45f	29a
Crop ID	Crop		Irrigation			Other activities (including processing)			Total expenditures (If don't know the details)
			Expenditures	Labour		Other expenditures (including processing)	Labour		
				Hired labour	Family labour		Hired labour	Family labour	
A	C1	THB/ 1000 VND	Person hour	Person hour	THB/ 1000 VND	Person hour	Person hour	THB/ 1000 VND	
1									
2									
3									
4									
5									
6									
7									

20 Did you regularly receive advice on farming activities by extension services during 5/16 - 4/17?

Code F

Code A1

- 1 Livestock
- 2 Poultry
- 3 Insects/amphibian/bird
- 4 Fish and seafood
- 5 Wild animal and others

Code A*Livestock*

- 1 Buffalo (no.)
- 2 Beef cattle (no.)
- 3 Dairy cattle (no.)
- 4 Pig (fattening) (no.)
- 5 Pig (piglet production) (no.)
- 6 Goat (no.)

Poultry

- 7 Chicken (no.)
- 8 Fighting cocks (no.)
- 9 Duck (no.)
- 21 Turkey (no.)

Insects/amphibian/bird

- 10 Silk worms (m2)
- 23 Frog and toad (no.)
- 24 Locust (kg)
- 27 dove (no)
- 33 bee (colonies)

Fish and seafood

- 14 Tiger prawns/fresh water prawn (no./kg)
- 15 Tilapia (no./kg)
- 16 Carp (no./kg)
- 20 Milkfish (no./kg)
- 25 Catfish (no./kg)
- 29 other fish (no./kg)
- 91 Local carp (no./kg)
- 92 Snake-head fish (no./kg)

Wild animal and others

- 19 Wild pig (no.)
- 31 cat (no)
- 32 rabbit (no)
- 34 stag (no)
- 35 elephant (no)
- 94 dog (no)
- 90 Others, specify

Section 4.3: Livestock and aquaculture

4.3.1 Stocks

1 Did you keep any of the stocks listed below between 5/16 - 4/17?

1=yes
 2=no, go to section 4.4

Please list the stocks you kept since May 2016

Livestock ID	0	2a	2	3	3a	4	5	5a	6	6a	7	7a	8	10	18	9	9a	12	13	14	15	16	17		
		Animal species/ production activity		Stock at the beginning of the period (1 May 2016)		Changes over the year										Stock at the end of 4/17	Cash expenditures between 5/16 - 4/17					Family labour			
		A1	A	(value)		Births	Additions		Losses (e.g. death, theft)	Disposals							(value)	THB/ 1000 VND					Person hour		
			(units)	THB/ 1000 VND	(units)	(units)		THB/ 1000 VND	(units)	THB/ 1000 VND	(units)	THB/ 1000 VND	(units)	THB/ 1000 VND	(units)	(units)	THB/ 1000 VND	for restocking	feed	veterinary treatment	Hired labour	others	Person hour		
1																									
2																									
3																									
4																									
5																									
6																									
7																									
8																									

Code A

- 1 Milk (l)
- 4 Chicken Eggs (pieces)
- 5 Duck eggs (pieces)
- 6 Silk (kg)
- 8 Silkworm pupae (kg)
- 24 honey (Lit.)
- 25 stag (pieces)
- 26 dung (kg)
- 90 others, specify

4.3.2 Livestock Products

1 Did you get livestock products between 5/16 - 4/17?

1=yes

2=no, go to section 4.4

Now, please list and quantify livestock products produced between 5/16 - 4/17.

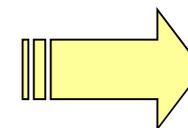
0	2	4	5	5a	6	7	18	8	8a	9	10	11
Livestock Product ID	Livestock products	Total Production	Home consumption	Total value	Quantity sold	Sales value	used as an input in own business	Cash cost of inputs				Family labour
		(individual unit)	(individual unit)	THB/ 1000 VND	(individual unit)	THB/ 1000 VND	(individual unit)	packaging and storage	Hired labour	Specificatio n of other inputs	Other cash costs (THB/1000 VND)	Person hour
		THB/ 1000 VND										
		Others, specify										
1												
2												
3												
4												
5												
6												
7												
14												
15												
16												

Section 4.4: Fishing, hunting, collecting, logging

1 Is your household involved in fishing, hunting, collecting or logging?

1 yes

2 no, go to section 5



Please report for the period from 5/16 - 4/17:

* Interviewer: for labour cost ask: no. of laborers, days, rate per man day

1a	2	3	19	4	5	6	8	9	10	11	12	12a	13a / 13b
Activity ID	Type of activity	Where do you conduct any of these activities?	Distance to place where you conduct these activities	Who controls access to this resource	Payment for access in cash or kind per year/activity (If in kind, pls. estimate the value)	If no payment, please specify access regulations	What is the normal season for the activity between 5/16 - 4/17?		How often do you conduct the activity during a season?	Fuel cost between 5/16 - 4/17	*Hired labor cost last year in cash and in kind	Other variable costs	Type of product extracted
	A	B	km	C	THB/ 1000 VND (0 if no payment)	(99 if no access regulation)	from (month)	to (month)	(days/season)	THB/ 1000 VND	THB/ 1000 VND	THB/ 1000 VND	D1 D
1													
2													
3													
4													
5													
6													
7													
8													

Code E

- 1 ton
- 2 kg
- 3 Ta
- 4 m³
- 5 gram
- 7 cart load/truck load (only use it for wood)
- 8 bundle
- 10 piece
- 14 Muen (12 kg)
- 15 Bottle
- 16 Liter
- 90 others, specify

* Whenever possible convert to kg

1a	14	*15a	18	16	16a	17a
Activity ID	Total output between 5/16 - 4/17	Specify Unit	Quantity sold (use unit of 15a)	Quantity consumed (use unit of 15a!)	Value of home consumption	Value of total output
		E			THB/ 1000 VND	THB/ 1000 VND
1						
2						
3						
4						
5						
6						
7						
8						

Code A**1 - Agriculture**

- 1 Agricultural wage labourer
- 2 Logger
- 3 Fisher

2 - Industry worker

- 4 Food processing
- 5 Textile, Apparel
- 6 Electronics
- 7 Wood Products
- 8 Furniture
- 9 Metal Products and Machinery
- 66 rubber production
- 67 brickyard
- 10 other industry
- 18 Miner, Quarryman
- 19 Construction worker

3 - Service - First half

- 21 Watchman
- 22 Carwasher
- 24 Shoemaker
- 25 Barber
- 26 Tailor
- 27 Vendor / Salesman
- 28 Cook
- 29 Waiter
- 30 Cleaner

4 - Service - Second half

- 31 Housemaid
- 32 Driver
- 33 Carpenter
- 34 Mechanician
- 35 Electrician
- 36 Plumber
- 57 accountant / bank clerk
- 71 Tourist guide
- 37 Other service worker

5 - Public sector

- 38 Repair shop
- 68 Carpenter
- 39 Policeman
- 40 Teacher
- 41 Soldier
- 72 central governmental administration
- 73 local government administration
- 74 another civil servant

6 - Other

- 90 Other, specify
- 98 no answer

Code L**1 - Local**

- 1 in the home village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 30 In household owned family business

2 - International and other

- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other Non-asian country
- 98 no answer

Code J

- 2 Day
- 4 Month
- 5 Year
- 6 lumpsum payment
- 90 Other, specify
- 98 no answer

Code AA

- 1 yes
- 2 no
- 98 no answer

Section 5: Wage employment

In this section we would like to talk about all off-farm employment that your household members have maintained between 5/16 - 4/17. By off-farm employment we refer to all activities not related to agricultural production on your own farm.

First, we ask you about the wage-employed activities, for example: agricultural worker on other farms, factory worker, construction worker, service worker, ...

1 Have any of your household members worked as a wage-employee between 5/16 - 4/17?

1 yes

2 no, go to next section

2 How many members of your household have worked as a wage-employee between 5/16 - 4/17?

Interviewer: Use separate rows for each off-farm occupation for each household member.

2a	3	4	5a	6	7	9a	8a	21	22	23	31	28	28a	29	30	
Occupation I.D.	HH Member I.D.	Occupation No.	Type of Occupation	Does [Name] have a leading position?	Since when has [Name] been working in this job? <i>if started less than 1 year ago give month (1-12)</i>	Where is [Name] working? <i>if code =1 or 30 go to Q21</i>	Is [Name] commuting daily from home village to place of work?	How many years of job experience does [Name] have in this job?	Net wage in cash (including regular & irregular bonuses)			Please estimate the value of in-kind benefit [Name] received per year	Average number of hours worked per day in this job between 5/16 - 4/17	Average number of days worked per month in this job between 5/16 - 4/17	Number of months worked per job between 5/16 - 4/17	Will [Name] also work in this job between 5/17 - 4/18
			A	AA	Year	L	AA		THB/ 1000 VND	Time unit J	THB/ 1000 VND				AA	
1																
2																
3																
4																
5																
6																
7																
8																
9																
10																
11																
12																

Code A	5 - Crafts and services - first half
1 - Agriculture related	
28 Agricultural services	17 Hair salon / barber
50 Livestock trader	
2 - Production	18 Repair shop
1 Rice mill	19 Carpenter
2 Silk spinnery/weaving	20 Mechanic
3 Mat making	21 Electrician
4 Basket making	22 Plumber
5 Brickyard	23 Shoe-maker
6 Pottery	
3 - Trade, transport and communication	6 - Crafts and services - second half
7 Retail-Shop (sales store)	24 Tailor
8 Petty trader (sales on street)	74 Car-washing
9 Wholesale	26 Shoe-cleaner
10 Taxi and transport	37 Handicrafts/Carver
11 Internet-shop	
4 - Hotel and food	32 Doctor
	48 cement tile yard
	49 carver
12 Hotel / guesthouse	
13 Restaurant/ Bar	7 - Other
14 Foodstall operator	90 other, specify
15 Butchery	98 no answer
16 other small scale food processing incl. alcohol production	

- Code B**
- 1 Sole proprietorship
 - 2 Private Limited Company
 - 3 Public Limited Company
 - 4 Limited Partnership
 - 5 Partnership
 - 6 HH-enterprises
 - 7 Informal/Not registered
 - 90 Other, specify
 - 98 no answer

- Code CC**
- 1 in the home village/commune
 - 2 in the same district (rural area)
 - 3 in the same district (urban area)
 - 4 in the same province (rural area)
 - 5 in the same province (urban area)
 - 6 in another province (rural area)
 - 7 in another province (urban area)
 - 9 in Bangkok
 - 10 in Hanoi
 - 11 in Ho Chi Minh City
 - 20 Laos
 - 21 Cambodia
 - 29 Other Asian country
 - 90 Other Non-asian country
 - 98 no answer

- Code H**
- 1 1
 - 2 2-5
 - 3 6-10
 - 4 More than 10

- Code E**
- 1 inherited the business
 - 2 previous experience in this kind of business
 - 3 saw other successful businesses of that kind
 - 4 figured that this kind of business can be successful
 - 5 unemployment
 - 6 insufficient income from farming
 - 7 insufficient income from agricultural job
 - 8 insufficient income from non-agricultural job
 - 9 nature related shock
 - 10 economy related shock
 - 90 Other, specify

- Code AA**
- 1 yes
 - 2 no
 - 98 no answer

- Code C**
- 1 mostly own savings
 - 2 mostly borrowed
 - 3 money sent by relatives
 - 4 Village saving or investment club
 - 90 Other, specify
 - 98 no answer

Section 6: Non-farm self-employment including cottage industries

In this Section we would like to talk about all non-farm self-employed activities your household members have maintained between 5/16 - 4/17.

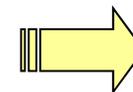
By non-farm self-employment we mean that you are an own-account worker without employees (e.g.: handicraftman, petty-trader, mat-making, ...) or that you are running an own business with family workers or other employees (e.g.: restaurant owner, shop owner, hair salon, taxi/bus owner, rice miller, coffee roasting, ...).

1a Do you or any of your household members have concrete plans to start a new business in the next 12 months?

AA

1b Do you think there are good opportunities for starting a new business in the village where you live?

AA



1 Have any of this household's members been engaged in non-farm self-employment between 5/16 - 4/17?

- 1 yes
- 2 no, go to next section

2 How many household members were engaged in non-farm self-employment between 5/16 - 4/17?

person (s)

2a	3	4	5a	6	6a	7	7a	8	10a	15	16a	16b	21	22	41	29
Business I.D.	HH Member I.D. (head of business)	Business No.	Type of Business	Since when have you run this business? if started less than 1 year ago give month (1-12)	What was the most important reason for starting your present business?	Amount of initial investment	How did you finance the initial investment?	Form of organisation (legal form)	Where is the business located? Name of City/ Province/ Country	Does [Name] have employees (including family members)? If 2 go to Q22	Number of workiers and employees		Monthly payroll (average per month)	No. of customers (per month)	Location of major customers	Volume of sales (average per month)?
A				Year	E	THB/ 1000 VND	C	B	CC	AA	Household members	Non-household members	THB/ 1000 VND	H	CC	THB/ 1000 VND
1																
2																
3																
4																
5																
6																
7																
8																
9																

Code CC

- 1 in the home village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other Non-asian country
- 98 no answer

Code A		Code B	Code F
* 01 Bank for social policy		* 1 Business investments	1 Jewellery
* 02 Bank for agriculture and rural development		* 2 Agricultural investments	2 Other durable goods
* 03 Credit organization (e.g. PCF)		* 3 Business related expenses	3 Agricultural inputs (fertilizer, pesticides etc.)
* 07 Job placement support fund		Agriculture related expenses (e.g.	4 Food (rice etc.)
* 08 Socio-political organization(VWU, agricultural or		4 fertilizer pesticides)	5 Cash
* 11 Business partner/trader/supplier		* 5 Pay back other debt	90 Other, specify
* 12 money lender		* 6 House or land purchase/construction	98 no answer
* 13 pawnshop		* 7 Buy durable household goods	
* 14 Commercial bank			
** 20 relative in village		* 8 Improving infrastructure (water supply, sanitation etc.)	
** 21 relative outside village (same province)		* 9 buying consumption good (e.g. food)	code G
** 22 relative other province		* 10 Medical treatment	1 land
** 23 relative abroad			2 use savings to guarantee credit
** 24 friends in village		* 11 Ceremony (wedding, funeral, tet)	3 use future crops to guarantee credit
** 25 friends outside village (same province)		* 12 Study	
** 26 friends other province		* 14work abroad	4 life insurance
** 27 friends abroad		* 18 relend to family members or relatives	5 other assets (e.g. farm
** 28 credit group (Ho/Hui or Phuong)		* 19 relend to non-relatives	equipment, livestock, valuables)
*** 90 other		** 90 other, specify	6 single guarantor
*** 98 no answer		** 98 no answer	7 multiple guarantors
			8 no collateral required
			90 other, specify
Code AA	Code I	Code D	Code H
1 yes	1 Days	1 Lack of collaterals	1 none
2 no	2 Weeks	2 Bad business plan	2 not able to borrow again from this lender
98 no answer	3 Months	3 Low social standing	3 not able to borrow again from this lender and others
	98 no answer	4 Previously defaulted on loan from this credit source	4 collateral was seized
Code E		5 Previously repaid late on loan from this credit source	5 had to pay higher interest
1 default		6 lender has limited amount of money to lend	90 other, specify
2 late payment		8 not in the lending period	98 no answer
98 no answer		90 other, specify	
		98 no answer	

Vietnamese Codelist

Section 7.1: Borrowing and Lending - Credit Rationing

I. Credit Rationing (7.1.C)

1 Did you apply for credit between 5/16 - 4/17 without getting it or without getting the full amount you applied for?

Yes

No, go to section 7.1 D

1a	2	4	5	6	7	8	9a / 9b	10	11
Rationing ID	When?	Where did you apply for credit?	Amount of Loan applied for	Amount actually received	Why did you apply for a credit?	Did you have to apply for credit because of a shock affecting your household? <i>if no, go to Q10</i>	Pls. indicate the I.D. of event in shock from section 3.1	Why was your application rejected?	How many times was your application (partially or completely) rejected?
	month	A	THB/ 1000 VND	THB/ 1000 VND	B	AA	3.1 A 3.1 B	D	
1									
2									
3									
4									
5									

II. Default history (7.1.D.)

12 Between 5/16 and 4/17, have you ever defaulted or failed to pay back a loan on time?

1 Yes

2 No, go to next section

1	14	14a	14b	15	16a / 16b	17	18	19	20	21	22
Default ID	Did you default or pay late?	Paid late by		Did you pay late or default as a consequence of a shock affecting your household? <i>if no, go to Q17</i>	Pls. indicate the I.D. of event in shock from section 3.1	What was the amount of loan you borrowed?		Where did you borrow?	What was the collateral of this loan?	What was the value of the collateral?	What were the consequence of default or late payment?
	<i>If paid late, answer Q14a+14b</i>	Individual unit	Time unit		3.1 A 3.1 B	Type	Value of loan			THB/ 1000 VND	
	E		I	AA		F	THB/ 1000 VND	A	G		H
1											
2											
3											

Code A		Code CC1	Code C
1 Jewellery	*	0 in the same village	1 pay fixed amount periodically
2 Other durable goods	*	1 in the same commune	
3 Agricultural inputs (fertilizer, pesticides etc.)	*	2 in the same district (rural area)	2 pay varied but specific amounts at scheduled time.
4 Food (rice etc.)	*	3 in the same district (urban area)	3 pay whenever the borrower has enough money.
5 Cash	*	4 in the same province (rural area)	98 no answer
90 Other, specify	*	5 in the same province (urban area)	Code D
98 no answer	*	6 in another province (rural area)	* 01 Bank for social policy
	*	7 in another province (urban area)	* 02 Bank for agriculture and rural development
	*	9 in Bangkok	
	*	10 in Hanoi	
	*	11 in Ho Chi Minh City	
Code B	**	20 Laos	* 03 Credit organization (e.g. PCF)
* 1 Business investments	**	21 Cambodia	* 07 Job placement support fund
* 2 Agricultural investments	**	29 Other Asian country	* 08 Socio-political organization(VWU,
* 3 Business related expenses	**	90 Other Non-asian country	* 11 Business partner/trader/supplier
* 4 Agriculture related expenses (e.g. fertilizer pesti	**	98 no answer	* 12 money lender
* 5 Pay back other debt			* 13 pawnshop
* 6 House or land purchase/construction		Code E	* 14 Commercial bank
* 7 Buy durable household goods		1 year	** 20 relative in village
* 8 Improving infrastructure (water supply, sanitation etc.)		2 month	** 21 relative outside village (same province)
* 9 buying consumption good (e.g. food)		3 week	
* 10 Medical treatment		4 day	** 22 relative other province
* 11 Ceremony (wedding, funeral, tet)			** 23 relative abroad
* 12 Study		Code AA	** 24 friends in village
* 14 work abroad		1 yes	** 25 friends outside village (same province)
* 18 relend to family members or relatives		2 no	
* 19 relend to non-relatives		98 no answer	** 26 friends other province
** 20 no second usage			** 27 friends abroad
** 21 no third usage			** 28 credit group (Ho/Hui or Phuong)
** 90 Other, specify			
** 98 no answer			*** 90 Other, specify
			*** 98 no answer

Vietnamese Codelist 1

Section 7.1: Borrowing and Lending - Credit Rationing

VIETNAM

A. Borrowing

1a For each of the following financial institutions, ask for the location of the nearest branch of each institution and the travel times from the household to the nearest branch. (Ask every household, not only households that have borrowed.)

	Institution	Location		Travel time	
		CC	km.	minutes	
33	VBARD	a	b	c	
34	VBSP	a	b	c	
35	Credit organization (e.g. PCF)	a	b	c	
36	Commercial bank	a	b	c	

1 Did you ever borrow cash or goods (rice, fertilizer etc.) or buy on installments? 1 Yes
 2 No

2a Do you have any loans that are still owed or that have been completely repaid between 5/16 - 4/17?

1 Yes
 2 No, go to section 7.1 B

Please record all loans that are still owed or loans that have been completely repaid in the period between 5/16 - 4/17 in decreasing order of value.

3	4	5	6a	6b	6c	6d	6e	7	8a/8b	9	10	11	12	13	14	14a	14b	16	17
Loan ID	What is the amount of loan the HH borrowed? (if non-cash loan, indicate the good and estimate its value)	For what did HH actually use the loan? (give three most important usages)			If business related, give business ID (Sec. 6)	If business related, give the share of loan used for the business (in percent)	Did you have to borrow because of a shock affecting your household? <i>If no, go to Q9</i>	What was the shock that caused you to borrow? (Use shock ID from sec. 3.1)	Where did you borrow?	When did you receive the loan?	What is the duration of the loan?	Repayment as initially agreed							
	Type	Value	<i>If not business related (B not 1 or 3) go to Q7</i>									Type of payment	Repayment schedule	Frequency of repayment per year	Interest rate	Interest rate as agreed	time unit of interest rate		
	A	THB/ 1000 VND	B	B	B	%	AA	D	month	year	duration	E	A	C	%	E			
																		%	
																		%	
																		%	
																		%	
																		%	
																		%	
																		%	

Code F

- 1 land
- 2 use savings to guarantee credit
- 3 use future crops to guarantee credit
- 4 life insurance
- 5 other assets (e.g. farm equipment, livestock, valuables)
- 6 single guarantor
- 7 multiple guarantors
- 8 no collateral required
- 13 salary/work contract
- 98 no answer

Code G

- 0 no other requirement
- 1 credit group membership
- 2 membership in social/political group (e.g. VWU, farmers' union, party, church)
- 3 other multiple guarantors
- 4 individual guarantor
- 5 savings account at the bank
- 6 currently enrolled in school or university
- 10 salary/work contract
- 98 no answer

Code AA

- 1 yes
- 2 no
- 98 no answer

Code CC1 (Vietnam)

- * 0 in the same village
- * 1 in the same commune
- * 2 in the same district (rural area)
- * 3 in the same district (urban area)
- * 4 in the same province (rural area)
- * 5 in the same province (urban area)
- * 6 in another province (rural area)
- * 7 in another province (urban area)
- * 9 in Bangkok
- * 10 in Hanoi
- * 11 in Ho Chi Minh City
- ** 20 Laos
- ** 21 Cambodia
- ** 29 Other Asian country
- ** 90 Other Non-asian country
- ** 98 no answer

Vietnamese Codelist 2

no code for lending section

B. Lending

1 Did you ever lend out cash or goods (rice, fertilizer etc.) or sell by installments?

1 Yes

2 No, go to section 7.1 E

2a Do you have any lendings that still own or that have been completely repaid to you between 5/16 - 4/17?

1 Yes, please ask Q2b

2 No, go to section 7.1 E

2b How much lending that still own or that have been completely repaid to you between 5/16 - 4/17?

THB/ 1000 VND

Code A**Thailand**

- * 51 Government Housing Bank
- * 52 Bank for Agriculture and Agricultural Cooperatives (BAAC)
- * 53 Government Savings Bank
- * 54 Small Industry Finance Corporation/ SME Development Bank
- * 55 Export-Import Bank of Thailand or Business
- * 56 Urban Community Development Organization
- * 57 Agricultural cooperatives
- * 58 Other socio-political organization
- * 59 Village bank
- * 60 Village Fund/Community Fund (Taksin village fund)
- * 61 Business partner/trader/supplier
- * 62 Money lender
- * 63 pawnshop
- * 64 Commercial bank
- ** 65 Credit companies (e.g. Easy Buy, Quick Cash, AEON etc.)
- ** 70 relative in village
- ** 71 relative outside village (same province)
- ** 72 relative other province
- ** 73 relative abroad
- ** 74 friends in village
- ** 75 friends outside village (same province)
- ** 76 friends other province
- ** 77 friends abroad
- ** 78 Self help credit group
- ** 79 poverty eradication project
- ** 80 student loan fund
- ** 82 saving cooperative and credit union
- ** 83 insurance company
- *** 90 Other, specify
- *** 98 no answer

Code AA

- 1 yes
- 2 no
- 98 no answer

Code A (continued)**Vietnam**

- * 1 Bank for social policy
- * 2 Bank for agriculture and rural development
- * 3 Credit organization (e.g. PCF)
- * 4 Vietnam Post (VNPT)
- * 7 Job placement support fund
- * 8 Socio-political organization(VWU, agricultural organization)
- * 11 Business partner/trader/supplier
- * 12 money lender
- * 13 pawnshop
- * 14 Commercial bank
- ** 20 relative in village
- ** 21 relative outside village (same province)
- ** 22 relative other province
- ** 23 relative abroad
- ** 24 friends in village
- ** 25 friends outside village (same province)
- ** 26 friends other province
- ** 27 friends abroad
- ** 28 credit group

Code C

- 1 salary/wages
- 2 profits from farm and non-farm business
- 3 money transfers from relatives
- 4 money transfers from friends
- 5 public transfers
- 6 selling land
- 7 selling other assets
- 8 inheritance
- 9 no second source of saving
- 10 no third source of saving
- 90 Other, specify
- 98 no answer

Code CC1

- * 0 in the same village
- * 1 in the same commune
- * 2 in the same district (rural area)
- * 3 in the same district (urban area)
- * 4 in the same province (rural area)
- * 5 in the same province (urban area)
- * 6 in another province (rural area)
- * 7 in another province (urban area)
- * 9 in Bangkok
- * 10 in Hanoi
- * 11 in Ho Chi Minh City
- ** 20 Laos
- ** 21 Cambodia
- ** 29 Other Asian country
- ** 90 Other Non-asian country
- ** 98 no answer

Code D

- * 1 save for old age
- * 2 leave bequest for children
- * 3 business investment
- * 4 agricultural investment
- * 5 house or land purchase/construction
- * 6 buy durable household good (e.g. cell phone, tv)
- * 7 buy bicycle, motorcycle or car
- * 8 buy food in case of emergency
- * 9 use for medical treatment
- * 10 use for ceremony (wedding, funeral, tet)
- * 11 study
- * 12 save for family member to work abroad
- * 13 to be eligible for receiving loan
- ** 14 no second expected use
- ** 15 no third expected use
- ** 90 Other, specify
- ** 98 no answer

E. Savings

Read out to household head:

We reassure you that all information given is strictly confidential. It will not be given to others and will only serve scientific purposes.

1 Do you have any savings?

1 Yes

2 No, go to next section

2 During the period of 5/16 - 4/17, could you save parts of your income?

1 Yes

2 No, go to Q11

10 What were the 3 most important sources of savings during the period 5/16 - 4/17?

a	b	c
---	---	---

Code C

11 For what do you expect to use savings in the future (please ask for three most important expectations)?

a	b	c
---	---	---

Code D

0	12	13	14	15	16	17	5	18	19	20
Savings ID	Kinds of savings	Do HH members have any of the following kinds of savings? AA	How much is the value by (4/17) of this kind of saving? THB/ 1000 VND	What was the value of this kind of saving by 5/16? THB/ 1000 VND	How many times did you withdraw money from [...] during 5/16 - 4/17?	How many times did you add money to [...] during 5/16 - 4/17?	At what institution do you have your savings account? A	Where do you hold this kind of saving? CC1	Travel time from the household to the savings institution minutes	Returns received during 5/16 - 4/17 (interest, dividends, profits etc.) THB/ 1000 VND
1	bank account 1									
2	bank account 2									
3	bank account 3									
4	bank account 4									
5	more than 4 bank accounts (pls. sum up the remaining accounts)									
6	Other financial assets (shares, deposits etc.)									
7	Ho/Hui or Phuong									
8	Save at Home									
9	community/ village savings club									

Code A

Vietnam

1 - Social Assistance

- 1 Social guarantee fund for regular relief
- 2 contingency fund for pre-harvest starvation and disaster relief
- 3 hunger eradication and poverty reduction (HERP) program (VN)
- 4 allowances for war veterans and martyrs
- 13 payment / compensation for agent orange victims

2 - Social Security

- 5 retirement pensions
- 6 work accidents and industrial disease
- 7 sickness benefits
- 8 maternity leave benefits
- 9 survivor benefits
- 10 disability benefits (children and adults)

3 - Other payments

- 12 support from church/temple or other religious institution
- 65 support for the elderly
- 66 scholarship, financial aid
- 67 allowance for government official
- 68 Social Security cash benefit for children
- 70 project of donors
- 80 Other government program. Please specify exactly.
- 81 Other commune program. Please specify exactly.
- 90 other payments

Code A

Thailand

1 - Social Assistance

- 52 Social relief for natural disasters
- 53 Poverty alleviation program (TH)
- 54 allowances for war veterans and martyrs

2 - Social Security

- 59 survivor benefits
- 65 support for the elderly
- 67 allowance for government official
- 70 project of donors
- 71 Support for handicapped
- 72 Support to reduce electricity consumption
- 73 Study for free project
- 74 Other scholarship, financial support for education
- 80 Other government program. Please specify exactly.
- 81 Other commune program. Please specify exactly.
- 82 Rice support program

3 - Other

- 90 other payments

Code F

- 1 yes
- 2 yes, under certain circumstances
- 3 no

Code C

- 1 in cash
- 2 in kind
- 3 in cash and kind

Section 7.2: Public Transfers, other payments and Insurance

A. Public transfers and other payments

7 Has the household or any of the household member received public transfers between 5/16 - 4/17?

 1 yes

2 if no, go to section 7.2B

Please list public and other payments the household has received in the period between 5/16 - 4/17.

Interviewer: Read out items of code A

0	1	2	2a	3	6	7a	7b	8
Transfer I.D.	HH member I.D. of recipient	Which public and other payments did the household receive during 5/16 - 4/17? type of program if A = 70, 80, 81 or 90, please specify the program or purpose of the payment exactly	type of payment	Total value over 5/16 - 4/17	When did the HH receive payment for the last time between 5/16 - 4/17?	When did the HH receive the payment for the first time ever?		Does the HH have to pay it back? (e.g. in case of scholarship)
	A		C	THB/ 1000 VND	(month)	(month)	(year)	F
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								

Code A

- 1 They don't offer insurance here
- 2 I don't need insurance
- 3 Insurance is too expensive
- 4 There is no adequate insurance for me
- 90 Other, specify
- 98 no answer

Code B

Thailand

Insurance from private company

- 51 Life insurance, private
- 52 Property insurance, private
- 53 Health insurance, private
- 54 Disability health insurance, private
- 55 Livestock insurance, private
- 56 Crop insurance, private
- 57 Funeral insurance, private
- 58 accident insurance, private
- 60 Other private insurance, please specify!

Insurance from government

- 61 Informal Workers Insurance, government

- 62 Health insurance, government
- 63 Crop price insurance, government
- 90 Other government insurance, please specify exactly!

Code C

- 1 Yes
- 2 No
- 3 Employer paid for insurance
- 98 no answer

Vietnam

Insurance from private company

- 1 Life insurance, private
- 2 Property insurance, private
- 3 Health insurance, private
- 4 Disability health insurance, private
- 5 Livestock insurance, private
- 6 Crop insurance, private
- 7 Funeral insurance, private
- 8 Accident Insurance
- 60 Other private insurance, please specify!

Insurance from government

- 62 Health insurance, government
- 90 Other government insurance, please specify exactly!

Code D

- 1 mandatory

- 2 voluntary
- 98 no answer

Section 7.2: Public Transfers, other payments and Insurance

B. Insurance provided by private companies and insurance provided by government

2 Does this household have any other insurance?

Interviewer: Read out items of Code B

1 yes, go to Q4

2 no, go to Q3

3 If your household members do not have any insurance, why not?

A

→ go to next section

Please list insurance arrangements the household maintains at the moment (excluding the free health card)

0	4	5	5a	9	12	30	31	32	41	
Insurance ID	HH member I.D. of recipient	Type of insurance	Did HH pay a premium for the insurance?	How much premium did your HH pay between 5/16 - 4/17? (Total amount)	Total amount of compensation payment HH received between 5/16 - 4/17?	Is the membership in the insurance mandatory or voluntary?	In case your HH needs the insurance...		Since when does the HH have this insurance?	
		B	C	THB/1000 VND	THB/1000 VND	D	C	C	(year)	

Code A

1	2	3	4	5	6	7
---	---	---	---	---	---	---

1 = does not apply to me at all

7 = applies to me perfectly

Section 10: Character Traits

(Info: The following questions are about how you see yourself as a person. Do not ask other people for help when answering. Just decide on your own. Please answer each statement using the scale provided using the answer that describes you best. In this case 1 means "does not apply to me at all" and 7 means "applies to me perfectly")

Please answer the following questions about yourself:

Do you see yourself as someone who....

- 1 ... works thoroughly? Code A
- 2 ... is talkative? Code A
- 3 ... worries a lot? Code A
- 4 ... is original, comes up with new ideas? Code A
- 5 ... has a forgiving nature? Code A
- 6 ... tends to be lazy? Code A
- 7 ... is outgoing, sociable? Code A
- 8 ... gets nervous easily? Code A
- 9 ... values artistic, aesthetic experiences? Code A
- 10 ... is considerate and kind to almost everyone? Code A
- 11 ... does tasks efficiently? Code A
- 12 ... is reserved? Code A
- 13 ... is relaxed, handles stress well? Code A
- 14 ... has an active imagination? Code A
- 15 ... is interested in learning new things? Code A
- 16 ... is sometimes a bit rude to others? Code A

Code A**Land and buildings**

- 1 Farm land (m2)
- 2 Residential land (m2)
- 3 Land for business purposes (m2)
- 4 Barn (m2)
- 5 Cow stable (m2)
- 6 Pig stable (m2)
- 7 Chicken stable (m2)
- 8 Pond (m2)
- 9 Storage facilities (m2)

- 11 Shop/ office (m2)
- 12 residential building (m2)
- 13 other real estate, specify (m2)

Farm equipment

- 14 Tractor 2 wheel (pushcart) (HP)
- 15 Tractor 4 wheel (HP)
- 16 Rice mill (t/h)
- 17 Threshing machine (kg/h)
- 18 Water tank (field) (l)
- 19 Water pump (l/min)
- 20 Pipe (m)
- 21 Engine spray (l)
- 75 Coffee processing equipment (watering, drying) (kg/h)
- 76 seeding drill (m)
- 79 plowing machine (discs)
- 81 harrowing machine (width in m)
- 82 harvesting machine (width in m)
- 83 mechanical weeder (width in m)
- 23 other farm equipment, specify

Non-farm equipment

- 80 lawn mower (width in m)
- 77 well (diameter in m)
- 78 sewing machine
- 25 Boat (length in m)
- 26 Nets (m2)
- 29 other fishing equipment, specify
- 30 furniture for shop (pieces)
- 70 HH appliances (fridge, freezer) (l)
- 71 generator (HP)
- 72 electronic equipment, please specify

Code A (Continued)

- 74 computer
- 31 non farm machinery, specify
- 32 other non-farm equipment, specify

Vehicles

- 33 Truck (HP)
- 34 Pick up (HP)
- 35 car (HP)
- 36 Motorcycle (cc)
- 38 vending cart (m3)
- 39 other vehicles, specify

Livestock and crops

- 40 Buffalo
- 41 Beef cattle
- 43 Pig (fattening)
- 44 Pig (piglet production)
- 45 Goat
- 46 Chicken
- 47 Duck
- 48 other livestock, specify
- 49 Para rubber plantation (m2)
- 50 Coffee plantation (m2)
- 51 Eucalyptus plantation (m2)
- 53 other permanent crops (m2)

Others

- 90 Others, specify

Code B

- 1 m2 (e.g. for buildings/VN: land/ plantations)
- 2 HP (e.g. for tractors/ vehicles/ machines)
- 3 l (e.g. for tanks and sprays)
- 4 l/ min (e.g. for water pumps)
- 5 m (e.g. for pipes)
- 24 t/h
- 9 cc (e.g. motorcycles)
- 25 kg/h
- 26 Discs
- 90 Others, specify
- 98 no answer

Code E

- 1 increase income
- 2 reduce income risk
- 3 diversify income sources
- 4 improve food security
- 5 make work easier
- 6 replacement
- 90 Others, specify
- 98 no answer

Code C**Location**

- 0 next to house
- 1 In the same village/commune
- 2 In the same district (rural area)
- 3 In the same district (urban area)
- 4 In the same province (rural area)
- 5 In the same province (urban area)
- 6 In another province (rural area)
- 7 In another province (urban area)
- 9 In Bangkok
- 10 In Hanoi
- 11 In Ho Chi Minh City
- 20 Laos
- 21 Cambodia

Other

- 29 Other Asian country
- 90 Other Non-asian country

98 no answer

Code D

- 1 private
- 2 farm
- 3 non-farm business
- 98 no answer

Code J

- 1 increase / invest
- 3 no change
- 2 decrease / divested
- 4 don't have / use this, and will not invest
- 98 no answer

Code K

- 1 Most of them in the same village
- 2 Most of them in a provincial city
- 3 Most of them in Bangkok/ Hanoi/ Ho Chi Minh City
- 4 don't have children or grandchildren
- 90 Others, specify
- 98 no answer

Code H

- 1 needed the money for consumption
- 2 needed the money for investment
- 6 needed the money to pay back a loan
- 3 the item did not bring enough return
- 4 replacement
- 5 no need for it anymore
- 90 Others, specify
- 98 no answer

Code G

- 1 mostly own savings
- 2 mostly borrowed
- 3 money from business partner
- 4 money sent by relatives
- 5 village savings or investment club
- 90 Others, specify
- 98 no answer

Section 6.2: Investment

What are your plans for the next 5 years?

20 Invest in agriculture

21 Invest in Non-farm enterprise

Code J

Code J

What do you plan in regard of the...

22 land area for crop production

23 land area for livestock

24 number of crop varieties grown

25 number of livestock

26 time used for agricultural production

27 labor hired in

Code J

Code J

Code J

Code J

Code J

Code J

code K

28 Where do you expect your children/ grandchildren to live when they are grown up?

--

1 Did your HH buy any durable goods between 5/16 - 4/17 for a purchase price of 5000 THB/ 1.5 Mio VND or higher (investment)?

--

1 yes

2 no, go to Q 13

13 Did your HH sell any durable goods between 5/16 - 4/17 for a price of 5000 THB/ 1.5 Mio VND or higher (divestment)?

--

1 yes

2 no, go to next section

Durable goods are those used longer than 1 year and with a purchase price of 5000 THB/1.5 million VND or higher

Please list all investments for a price at 5000 THB/ 1.5 Mio VND or higher that your HH undertook between 5/16 - 4/17, start with the newest one.

2	3a	4	4a	5a	5	6	6a	7	8	9	10	12
Investment I.D.	Investment type	Location	Number of items/ animals/ landplots bought	Technical characteristics		When did you invest?		Price	Main use	For how many years did you plan to use the item when you bought it?	Primary reason to invest into [INV.-TYPE]	What was the main source of finance for [INV-TYPE]
	A	C	B	size/quantity	MM	year	THB/ 1000 VND	D	years	E	G	
1												
2												
3												
4												
5												
6												
7												

Please list all divestments for a price at 5000 THB/ 1.5 Mio VND or higher that your HH undertook in the last year, start with the newest one.

14	16	29b	29	29a	17	18	18a	19
Divestment I.D.	Type	Number of items/ animals/ landplots bought	Technical characteristics		How much did you get?	When did you sell the item?		Why did you sell the item?
	A	B	size/quantity	THB/ 1000 VND	MM	year	H	
1								
2								
3								
4								
5								
6								
7								

Code A

- 1 mostly business use
- 2 business and private use
- 3 mostly private use
- 98 no answer

Section 9.1: Household Wealth

0	1	2	3	4	9	8a
Asset ID	Assets	How many items does the household own?	What was the value of the most recently obtained item at the time when you got/bought it? THB/1000 VND	How old is the most recently obtained item? year	How much would you get if you sold all items today? THB/1000 VND	What is the use of the asset? A
1	Tractor 2 wheel (s)					
2	Tractor 4 wheel (s)					
3	Knapsack sprayer (s)					
4	Engine spray (s)					
5	Water tanks (house)					
6	Water pump (s)					
7	Water tanks (field use)					
8	Pipe (s)					
9	Other farm tools/implements					
10	rice mill					
11	threshing machine					
114	pushcart					
124	pigsty, stable or pen					
13	Boat					
14	Fishing Net (s)					
15	Fishing Traps					
16	Floating trawl (s)					
17	Non-farm productive assets					
21	biogas plant					
22	Truck (s)					
23	Pick up (s)					
24	Motorcycle (s)					
25	Bicycle (s)					
51	Car (s)					

0	1	2	3	4	9	8a
Asset ID	Assets	How many items does the household own?	What was the value of the most recently obtained item at the time when you got/bought it? THB/1000 VND	How old is the most recently obtained item? year	How much would you get if you sold all items today? THB/1000 VND	What is the use of the asset? A
26	TV (s)					
27	Video cassette player/DVD (s)					
28	Satellite Dish (s)					
29	Radio (s) and Stereo (s)					
30	Regular Phone (s)					
31	Mobile Phone (s)					
49	Smart phone					
50	Tablet					
32	Refrigerator (s)					
33	Gas Stove (s)					
34	Water heater (s)					
35	Washing Machine (s)					
36	Sewing Machine (s)					
37	Iron (s)					
38	Vacuum cleaner (s)					
39	Air Conditioner (s)					
40	Electric Fan (s)					
41	Electric rice cooker					
42	Personal computer					
43	Jewellery					
44	Furniture					
45	Sofa set					
46	Mattress					
47	Bed					
48	Watches and clocks					
90	Interviewer: ask for further assets					

Code A

- 1 Much better off
- 2 Better off
- 3 Same
- 4 Worse off
- 5 Much worse off
- 98 no answer

Code B

- 1 Coming from a wealthy family
- 2 Good education
- 3 Hard work/Effort
- 4 Productive capital (e.g. Land, machinery)
- 5 Pure luck/destiny
- 6 living in the right place
- 7 getting a good salaried job
- 8 paying bribes/corruption
- 9 knowing the right people (having connections)
- 10 illegitimate practices
- 11 no second reason
- 12 no third reason
- 90 others, please specify
- 98 no answer

Code C**Reasons**

- 1 Coming from a poor family
- 2 Bad education
- 3 Don't work hard/lazy
- 4 No productive capital (land. Machinery)
- 5 Bad luck/destiny
- 6 Living in a bad location
- 7 Not getting a good salaried job
- 8 Not being able to pay bribes
- 9 Having no connections
- 10 Ill health
- 11 Social problems
- 12 Illegitimate practices

Other

- 13 no second reason
- 14 no third reason
- 90 others, please specify
- 98 no answer

Code D

- 1 friends
- 2 neighbor
- 3 rural people
- 4 people in nearby urban towns
- 5 people in big cities HCMC/Hanoi/Bangkok/provincial capital...
- 6 people in Thailand/Vietnam as a whole
- 7 with the majority
- 8 with other ethnic minorities
- 9 my previous self
- 11 I don't compare myself to anyone
- 90 others, please specify
- 98 no answer

Code E

- 1 Very small
- 2 Somewhat small
- 3 Average
- 4 Somewhat large
- 5 Very large
- 98 no answer

Code F

- 1 Decreased a lot
- 2 decreased a little
- 3 Stayed the same
- 4 Increased a little
- 5 Increased a lot
- 98 no answer

Section 9.1:Household Wealth

5 How well-off do you consider your household in comparison to other residents of your village? Code A

6 How well-off do you consider your household in comparison to other residents of your country? Code A

8 Among the following, which do you think are the 3 most important reasons for why rich people have high incomes?
a Code B
b Code B
c Code B

9 Among the following, which do you think are the 3 most important reasons for why poor people have low incomes?
a Code C
b Code C
c Code C

10 When you compare your standard of living with others, who are they ? Code D

11 Do you think that the disparities in standard of living in this community are very small, somewhat small, about average, somewhat large or very large? Code E

12 Do you think that the disparities in standard of living in this community have decreased, increased or stayed the same over the last five years? Code F

Code G

- 1 house
- 2 apartment
- 3 boat
- 90 others, please specify
- 98 no answer

Code CC

- 1 in the same village/commune
- 2 in the same district (rural area)
- 3 in the same district (urban area)
- 4 in the same province (rural area)
- 5 in the same province (urban area)
- 6 in another province (rural area)
- 7 in another province (urban area)
- 9 in Bangkok
- 10 in Hanoi
- 11 in Ho Chi Minh City
- 20 Laos
- 21 Cambodia
- 29 Other Asian country
- 90 Other Non-Asian country
- 98 no answer

Code I

- 1 no improvements
- 2 improve roof
- 3 improve window
- 4 increase size
- 5 repair
- 6 improve toilet
- 7 improve water
- 9 improve kitchen
- 90 others, please specify
- 98 no answer

Code K

- 1 dirt
- 2 cement
- 3 granite
- 4 marble
- 5 wooden
- 6 tiles
- 90 others, please specify
- 98 no answer

Code L

- 1 Tap inside house
- 2 Tap in compound
- 3 Tap outside shared
- 4 Well
- 5 Rain water
- 6 River, lake, pond
- 7 bottled water / buy water
- 98 no answer

Code M

- 1 Flush Toilet (private)
- 2 Flush Toilet (shared)
- 3 Latrine (private)
- 4 Latrine(shared)
- 5 None (outside)
- 98 no answer

Code O

- 1 Firewood
- 2 Charcoal
- 3 Kerosine
- 4 Gas (bottle)
- 5 Gas (pipe)
- 6 Electricity (net)
- 7 Electricity (generator)
- 8 rice husks
- 10 candle
- 90 others, please specify
- 98 no answer

Code KK

- 1 smartphone
- 2 computer (PC and/or laptop)
- 3 tablet
- 4 device in internet café /shop
- 5 does not apply (no access)
- 90 others, please specify

Code LL**Use**

- 1 carry out financial transactions
- 2 used for entertainment
- 3 contact family member via email or messenger app
- 4 contact friends via email or messenger app
- 5 contact business partners via email or messenger app
- 6 find information about job opportunities
- 7 offer information about jobs
- 8 search for information about the weather
- 9 find information about things to buy
- 10 offer information about own things to sell
- 11 receive medical/pharmaceutical information
- 12 learning or studying
- 13 Used for trading activities (buy-sell)
- 14 Household does not own such device
- 15 Search for social or economic news

Other

- 90 others, please specify

Section 9.2: Housing conditions

0 How many houses do you inhabit?
***give answer based on the newest house if inhabit more than one for Q0a, Q4-5 and Q8a-15*

0a Type of dwelling Code G

1 What is the size of the house/dwelling? m²

2 Number of rooms rooms

4 For how many years has this household been living in this dwelling?

give answer based on the newest house if inhabit more than one

5 Where has the household been living before? Code CC

16 What is the current value of the house(s) you live in (also ask if house is rented in)? (excluding land) THB / 1000 VND

17 What are the three major improvements you made on your house between 5/16 - 4/17?
 Code I
 Code I
 Code I

18 What was the sum of investments in your house(es) between 5/16 - 4/17? THB / 1000 VND

8a What is the material of the floor in the house? Code K

12 What is the main source of drinking water? Code L

13 What is the main kind of toilet facilities this household uses? Code M

What is the main source of fuel for ... ?
 14 lighting Code O

15 cooking Code O

19 When your household uses the internet what is the major device used? Code KK

20 If your household has a smartphone, what is the internet on the smartphone mainly used for?
 a Code LL
 b Code LL

21 If household has other internet access than the smartphone, what is this access mainly used for?
 a Code LL
 b Code LL

Code LL		
01	Section 1	
02	Section 2.1	
03	Section 2.2	
04	Section 2.3	
05	Section 2.4	
06	Section 2.5	
07	Section 3.1	
08	Section 3.2	
09	Section 4.1	
10	Section 4.2	
11	Section 4.3	
12	Section 4.4	
13	Section 5	
14	Section 6	
15	Section 6.2	
16	Section 7.1	
17	Section 7.2	
18	Section 7.3	
19	Section 8	
20	Section 9.1	
21	Section 9.2	
22	Section 10	
23	No second section	Only 6b
24	No third section	Only 6c

Code AA	
01	Yes
02	No
98	No answer

Code JJ	
01	No assistance
02	Somewhat assisted
03	Greatly assisted

Code KK	
01	Very good
02	Good
03	Adequate
04	Poor
05	Very poor

Code NN	
01	Highly interested
02	Somewhat interested
03	Neutral
04	Somewhat disinterested
05	Highly disinterested

Code MM	
01	Very negatively
02	Somewhat negatively
03	No effect

Section 11: Interview Evaluation - Enumerator

Enumerator: Throughout the following section you, as the enumerator, are required to give an assessment of the interview. Please answer the questions truthfully and note that these questions capture your perceptions of the interview as a whole.

- 1 Time finished (hh:mm)
- 2 Comprehension level of respondent Code KK
- 3 Cooperation level of respondent Code KK
- 4 Was anyone else present during the interview? Code AA
- 4a How many others were present?

Enumerator: Answer the questions in this table if Q4 == 1. (Only account for individuals who sat through at least 25% of the total interview duration)

8 I.D. Code	9 Name of person who was present during the interview	10 Is %name% a member of the household? Code AA	11 Did %name% assist the respondent with answers? <i>If no, skip to next row.</i> Code JJ	12 In which section did %name% assist the respondent the most? Code LL
01				
02				
03				
04				
05				
06				
07				
08				
09				
10				

Enumerator: Please answer the following questions if Q4 == 1

- 5 Did the main respondent answer most of the questions in each section? Code AA

Enumerator: Please answer Q6 if Q5 == 2

- 6 In which sections were you unable to interview the main respondent?
 - a. Code LL
 - b. Code LL
 - c. Code LL

- 13 Did the tablet have a technical malfunction during the interview? Code AA

Enumerator: Please answer Q14 if Q13 == 1

- 14 How did this influence the conduct of the interview? Code MM

- 15 How would you describe the degree of interest/participation of the respondent? Code NN

- 16 Did the respondent have difficulties understanding questions? Code AA

Enumerator: Please answer Q17 if Q16 == 1

- 17 In which section did the respondent have the most difficulties? Code LL

Comment field: specifics about these questions or other data quality issues

- 18